PART 2 Test Under Dynamic Transmission Condition

No. I221Z60940-SEM01

For

TCL Communication Ltd.

Mobile Hot Spot

Model Name: MW513U

With

Hardware Version: 06

Software Version: MW513U_ZZ_02.00_06

FCC ID: 2ACCJB183

Issued Date: 2022-8-25

Note:

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REPORT HISTORY

Report Number	Revision	Issue Date	Description
I22Z60940-SEM01	Rev.0	2022-7-22	Initial creation of test report
I22Z60940-SEM01	Rev.1	2022-8-2	Update the information on section 7.4.
I22Z60940-SEM01	Rev.2	2022-8-25	Remove the information for n257.

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1 Test Laboratory

1.1 Testing Location

Company Name:	CTTL(Shouxiang)
Address:	No. 51 Shouxiang Science Building, Xueyuan Road, Haidian District, Beijing, P. R. China100191

1.2 Testing Environment

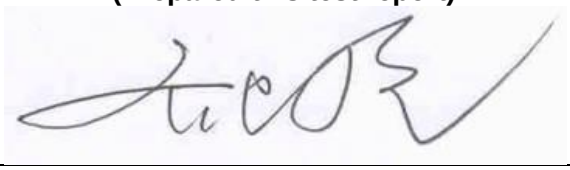
Temperature:	18°C~25°C,
Relative humidity:	30%~ 70%
Ground system resistance:	< 0.5 Ω
Ambient noise & Reflection:	< 0.012 W/kg

1.3 Project Data

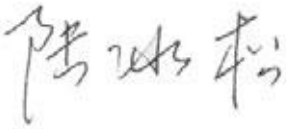
Project Leader:	Qi Dianyuan
Test Engineer:	Lin Xiaojun
Testing Start Date:	July 05,2022
Testing End Date:	July 13,2022

1.4 Signature

Lin Xiaojun
(Prepared this test report)



Qi Dianyuan
(Reviewed this test report)



Lu Bingsong
Deputy Director of the laboratory
(Approved this test report)

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2 Introduction

The equipment under test (EUT) is a smart phone. It contains the Qualcomm modem supporting 4G technologies and 5G NR bands. These modems enable Qualcomm Smart Transmit feature to control and manage transmitting power in real time and to ensure at all times the time-averaged RF exposure is in compliance with the FCC requirement.

This purpose of the Part 2 report is to demonstrate the EUT complies with FCC RF exposure requirement under Tx varying transmission scenarios, thereby validity of Qualcomm Smart Transmit feature for FCC equipment authorization

The P_{limit} and input.power.limit used in this report is determined in Part 0 and Part 1 reports.

Refer to PART 0 SAR AND POWER DENSITY CHAR REPORT, for product description and terminology used in this report.

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3 Tx Varying Transmission Test Cases and Test Proposal

To validate time averaging feature and demonstrate the compliance in Tx varying transmission conditions, the following transmission scenarios are covered in Part 2 test:

1. During a time-varying Tx power transmission: To prove that the Smart Transmit feature accounts for Tx power variations in time accurately.

2. During a call disconnect and re-establish scenario: To prove that the Smart Transmit feature accounts for history of past Tx power transmissions accurately.

3. During DSI (Device State Index) change: To prove that the Smart Transmit feature functions correctly during transition from one device state (DSI) to another.

4. During antenna (or beam) switch: To prove that the Smart Transmit feature functions correctly during transitions in antenna (such as AsDiv scenario) or beams (different antenna array configurations).

5. SAR vs. PD exposure switching during sub-6+mmW transmission: To prove that the Smart Transmit feature functions correctly and ensures total RF exposure compliance during transitions in SAR dominant exposure, SAR+PD exposure, and PD dominant exposure scenarios.

6. During time window switch: To prove that the Smart Transmit feature correctly handles the transition from one time window to another specified by FCC, and maintains the normalized time-averaged RF exposure to be less than normalized FCC limit of 1.0 at all times.

7. SAR exposure switching between two active radios (radio1 and radio2): To prove that the Smart Transmit feature functions correctly and ensures total RF exposure compliance when exposure varies among SAR_radio1 only, SAR_radio1 + SAR_radio2, and SAR_radio2 only scenarios.

As described in Part 0 report, the RF exposure is proportional to the Tx power for a SAR- and PD-characterized wireless device. Thus, feature validation in Part 2 can be effectively performed through conducted (for f < 6GHz) and radiated (for f ⩾ 6GHz) power measurement. Therefore, the compliance demonstration under dynamic transmission conditions and feature validation are done in conducted/radiated power measurement setup for transmission scenario 1 through 8.

To add confidence in the feature validation, the time-averaged SAR and PD measurements are also performed but only performed for transmission scenario 1 to avoid the complexity in SAR and PD measurement (such as, for scenario 3 requiring change in SAR probe calibration file to accommodate different bands and/or tissue simulating liquid).

The strategy for testing in Tx varying transmission condition is outlined as follows:

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- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR and PD limits, through time-averaged power measurements
  - Measure conducted Tx power (for $f < 6$GHz) versus time, and radiated Tx power (EIRP for $f > 10$GHz) versus time.
  - Convert it into RF exposure and divide by respective FCC limits to get normalized exposure versus time.
  - Perform running time-averaging over FCC defined time windows.
  - Demonstrate that the total normalized time-averaged RF exposure is less than 1 for all transmission scenarios (i.e., transmission scenarios 1, 2, 3, 4, 5, 6, 7, and 8) at all times.

    Mathematical expression:

    - For sub-6 transmission only:

$$1g_or_10gSAR(t) = \frac{conducted_Tx_power(t)}{conducted_Tx_power_P_{limit}} * 1g_or_10gSAR_P_{limit} \quad (1a)$$

$$\frac{\frac{1}{T_{SAR}}\int_{t-T_{SAR}}^{t} 1g_or_10gSAR(t)dt}{FCC\ SAR\ limit} \leq 1 \quad (1b)$$

    - For sub-6+mmW transmission:

$$1g_or_10gSAR(t) = \frac{conducted_Tx_power(t)}{conducted_Tx_power_P_{limit}} * 1g_or_10gSAR_P_{limit} \quad (2a)$$

$$4cm^2PD(t) = \frac{radiated_Tx_power(t)}{radiated_Tx_power_input.power.limit} * 4cm^2PD_input.power.limit \quad (2b)$$

$$\frac{\frac{1}{T_{SAR}}\int_{t-T_{SAR}}^{t} 1g_or_10gSAR(t)dt}{FCC\ SAR\ limit} + \frac{\frac{1}{T_{PD}}\int_{t-T_{PD}}^{t} 4cm^2PD(t)dt}{FCC\ 4cm^2\ PD\ limit} \leq 1 \quad (2c)$$

    where, $conducted_Tx_power(t)$, $conducted_Tx_power_P_{limit}$, and $1g_or_10gSAR_P_{limit}$ correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at P_{limit}, and measured *1gSAR or 10gSAR* values at P_{limit} corresponding to sub-6 transmission. Similarly, $radiated_Tx_power(t)$, $radiated_Tx_power_input.power.limit$, and $4cm^2PD_input.power.limit$ correspond to the measured instantaneous radiated Tx power, radiated Tx power at *input.power.limit* (i.e., radiated power limit), and $4cm^2$PD value at *input.power.limit* corresponding to mmW transmission. Both P_{limit} and *input.power.limit* are the parameters pre-defined in Part 0 and loaded via Embedded File System (EFS) onto the EUT. T_{SAR} is the FCC defined time window for sub-6 radio; T_{PD} is the FCC defined time window for mmW radio.

- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR and PD limits, through time-averaged SAR and PD measurements. Note as mentioned earlier, this measurement is performed for transmission scenario 1 only.

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- For sub-6 transmission only, measure instantaneous SAR versus time; for LTE+sub6 NR transmission, request low power (or all-down bits) on LTE so that measured SAR predominantly corresponds to sub6 NR.
- For LTE + mmW transmission, measure instantaneous E-field versus time for mmW radio and instantaneous conducted power versus time for LTE radio.
- Convert it into RF exposure and divide by respective FCC limits to obtain normalized exposure versus time.
- Perform time averaging over FCC defined time window.
- Demonstrate that the total normalized time-averaged RF exposure is less than 1 for transmission scenario 1 at all times.

  Mathematical expression:

  - For sub-6 transmission only:

$$1g_or_10gSAR(t) = \frac{pointSAR(t)}{pointSAR_P_{limit}} * 1g_or_10gSAR(t)_P_{limit} \qquad (3a)$$

$$\frac{\frac{1}{T_{SAR}}\int_{t-T_{SAR}}^{t} 1g_or_10gSAR(t)dt}{FCC\ SAR\ limit} \leq 1 \qquad (3b)$$

  - For LTE+mmW transmission:

$$1g_or_10gSAR(t) = \frac{conducted_Tx_power(t)}{conducted_Tx_power_P_{limit}} * 1g_or_10gSAR_P_{limit} \qquad (4a)$$

$$4cm^2PD(t) = \frac{[pointE(t)]^2}{[pointE_input.power.limit]^2} * 4cm^2PD_input.power.limit \qquad (4b)$$

$$\frac{\frac{1}{T_{SAR}}\int_{t-T_{SAR}}^{t} 1g_or_10gSAR(t)dt}{FCC\ SAR\ limit} + \frac{\frac{1}{T_{PD}}\int_{t-T_{PD}}^{t} 4cm^2PD(t)dt}{FCC\ 4cm^2PD\ limit} \leq 1 \qquad (4c)$$

  where, $pointSAR(t)$, $pointSAR_P_{limit}$, and $1g_or_10gSAR_P_{limit}$ correspond to the measured instantaneous point SAR, measured point SAR at P_{limit}, and measured *1gSAR* or 10gSAR values at P_{limit} corresponding to sub-6 transmission. Similarly, $pointE(t)$, $pointE_input.power.limit$, and $4cm^2PD_input.power.limit$ correspond to the measured instantaneous E-field, E-field at *input.power.limit*, and $4cm^2PD$ value at *input.power.limit* corresponding to mmW transmission.

NOTE: cDASY6 measurement system by Schmid & Partner Engineering AG (SPEAG) of Zurich, Switzerland measures relative E-field, and provides ratio of $\frac{[pointE(t)]^2}{[pointE_input.power.limit]^2}$ versus time.

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4 SAR Time Averaging Validation Test Procedures

This chapter provides the test plan and test procedure for validating Qualcomm Smart Transmit feature for sub-6 transmission. The 100 seconds time window for operating f < 3GHz is used as an example to detail the test procedures in this chapter. The same test plan and test procedures described in this chapter apply to 60 seconds time window for operating $f \geqslant$3GHz.

4.1 Test sequence determination for validation

Following the FCC recommendation, two test sequences having time-variation in Tx power are predefined for sub-6 (f < 6 GHz) validation:

- Test sequence 1: request EUT's Tx power to be at maximum power, measured P_{max}†, for 80s, then requesting for half of the maximum power, i.e., measured $P_{max}/2$, for the rest of the time.
- Test sequence 2: request EUT's Tx power to vary with time. This sequence is generated relative to measured P_{max}, measured P_{limit} and calculated $P_{reserve}$ (= measured P_{limit} in dBm - *Reserve_power_margin* in dB) of EUT based on measured P_{limit}.

The details for generating these two test sequences is described and listed in Appendix A.

Note: For test sequence generation, "measured P_{limit}" and "measured P_{max}" are used instead of the "P_{limit}" specified in EFS entry and "P_{max}" specified for the device, because Smart Transmit feature operates against the actual power level of the "P_{limit}" that was calibrated for the EUT. The "measured P_{limit}" accurately reflects what the feature is referencing to, therefore, it should be used during feature validation testing. The RF tune up and device-to-device variation are already considered in Part 0 report prior to determining P_{limit}.

4.2 Test configuration selection criteria for validating Smart Transmit feature

For validating Smart Transmit feature, this section provides a general guidance to select test cases. In practice, an adjustment can be made in test case selection. The justification/clarification may be provided.

4.2.1 Test configuration selection for time-varying Tx power transmission

The Smart Transmit time averaging feature operation is independent of bands, modes, and channels for a given technology. Hence, validation of Smart Transmit in one band/mode/channel per technology is sufficient. Two bands per technology are proposed and selected for this testing to provide high confidence in this validation.

The criteria for the selection are based on the P_{limit} values determined in Part 0 report. Select two bands* in each supported technology that correspond to least** and highest*** P_{limit} values that are less than P_{max} for validating Smart Transmit.

* If one P_{limit} level applies to all the bands within a technology, then only one band needs to be tested. In this case, within the bands having the same P_{limit}, the radio configuration (e.g., # of RBs, channel#) and device position that correspond to the highest *measured* 1g SAR at P_{limit} shown in Part 1 report is selected.

** In case of multiple bands having the same least P_{limit} within the technology, then select the band having the highest *measured* 1g SAR at P_{limit}.

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*** The band having a higher P_{limit} needs to be properly selected so that the power limiting enforced by Smart Transmit can be validated using the pre-defined test sequences. If the highest P_{limit} in a technology is too high where the power limiting enforcement is not needed when testing with the pre-defined test sequences, then the next highest level is checked. This process is continued within the technology until the second band for validation testing is determined.

4.2.2 Test configuration selection for change in call

The criteria to select a test configuration for call-drop measurement is:

- Select technology/band with least P_{limit} among all supported technologies/bands, and select the radio configuration (e.g., # of RBs, channel#) in this technology/band that corresponds to the highest *measured* 1g SAR at P_{limit} listed in Part 1 report.
- In case of multiple bands having same least P_{limit}, then select the band having the highest *measured* 1g SAR at P_{limit} in Part 1 report.

This test is performed with the EUT's Tx power requested to be at maximum power, the above band selection will result in Tx power enforcement (i.e., EUT forced to have Tx power at $P_{reserve}$) for longest duration in one FCC defined time window. The call change (call drop/reestablish) is performed during the Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at $P_{reserve}$). One test is sufficient as the feature operation is independent of technology and band.

4.2.3 Test configuration selection for change in antenna

The criteria to select a test configuration for antenna switch measurement is:

- Whenever possible and supported by the EUT, first select antenna switch configuration within the same technology/band (i.e., same technology and band combination).
- Then, select any technology/band that supports multiple Tx antennas, and has the highest difference in P_{limit} among all supported antennas.
- In case of multiple bands having same difference in P_{limit} among supported antennas, then select the band having the highest *measured* 1g SAR at P_{limit} in Part 1 report.

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band, and antenna change is conducted during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at $P_{reserve}$).

4.2.4 Test configuration selection for change in DSI

The criteria to select a test configuration for DSI change test is:

- Select a technology/band having the $P_{limit} < P_{max}$ within any technology and DSI group, and for the same technology/band having a different P_{limit} in any other DSI group. Note that the selected DSI transition need to be supported by the device.

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band, and DSI change is conducted during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at $P_{reserve}$).

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4.2.5 Test configuration selection for SAR exposure switching

If supported, the test configuration for SAR exposure switching should cover:

1. SAR exposure switch when two active radios are in the same time window

2. SAR exposure switch when two active radios are in different time windows. One test with two active radios in any two different time windows is sufficient as Smart Transmit operation is the same for RF exposure switch in any combination of two different time windows. For device supporting LTE + mmW NR, this test is covered in Section 8.2.3 and 8.2.4.

The Smart Transmit time averaging operation is independent of the source of SAR exposure (for example, LTE vs. Sub6 NR) and ensures total time-averaged RF exposure compliance. Hence, validation of Smart Transmit in any one simultaneous SAR transmission scenario (i.e., one combination for LTE + Sub6 NR transmission) is sufficient, where the SAR exposure varies among SAR_{radio1} only, SAR_{radio1} + SAR_{radio2}, and SAR_{radio2} only scenarios.

The criteria to select a test configuration for validating Smart Transmit feature during SAR exposure switching scenarios is:

- Select any two < 6GHz technologies/bands that the EUT supports simultaneous transmission (for example, LTE+Sub6 NR).
- Among all supported simultaneous transmission configurations, the selection order is

1. select one configuration where both P_{limit} of radio1 and radio2 is less than their corresponding P_{max}, preferably, with different P_{limits}. If this configuration is not available, then,

2. select one configuration that has P_{limit} less than its P_{max} for at least one radio. If this cannot be found, then,

3. select one configuration that has P_{limit} of radio1 and radio2 greater than P_{max} but with least ($P_{limit} - P_{max}$) delta.

Test for one simultaneous transmission scenario is sufficient as the feature operation is the same.

4.3 Test procedures for conducted power measurements

This section provides general conducted power measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

4.3.1 Time-varying Tx power transmission scenario

This test is performed with the two pre-defined test sequences described in Section 3.1 for all the technologies and bands selected in Section 3.2.1. The purpose of the test is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged SAR (corresponding time- averaged Tx power) does not exceed the FCC limit at all times (see Eq. (1a) and (1b)).

Test procedure

1. Pmax, measure P_{limit} and calculate Preserve (= measured P_{limit} in dBm – Reserve_power_margin in dB) and follow Section 3.1 to generate the test sequences for all the technologies and bands selected in Section 3.2.1. Both test sequence 1 and test sequence 2 are

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created based on measured P_{max} and measured P_{limit} of the EUT. Test condition to measure P_{max} and P_{limit} is:

□ Measure P_{max} with Smart Transmit disabled and callbox set to request maximum power.

□ Measure P_{limit} with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB; call-box set to request maximum power.

2. Set *Reserve_power_margin* to actual (intended) value (3dB for this EUT based on Part 1 report) and reset power on EUT to enable Smart Transmit, establish radio link in desired radio configuration, with callbox requesting the EUT's Tx power to be at pre-defined test sequence 1, measure and record Tx power versus time, and then convert the conducted Tx power into 1g SAR or 1g SAR value (see Eq. (1a)) using measured P_{limit} from above Step 1. Perform running time average to determine time-averaged power and 1g SAR or 1g SAR versus time as illustrated in Figure 3-1 where using 100-seconds time window as an example.

Note: In Eq.(1a), instantaneous Tx power is converted into instantaneous 1g SAR or 1g SAR value by applying the measured worst-case 1g SAR or 1g SAR value at Plimit for the corresponding technology/band/antenna/DSI reported in Part 1 report.

Note: For an easier computation of the running time average, 0 dBm can be added at the beginning of the test sequences the length of the responding time window, for example, add 0dBm for 100-seconds so the running time average can be directly performed starting with the first 100-seconds data using excel spreadsheet. This technique applies to all tests performed in this Part 2 report for easier time-averaged computation using excel spreadsheet.

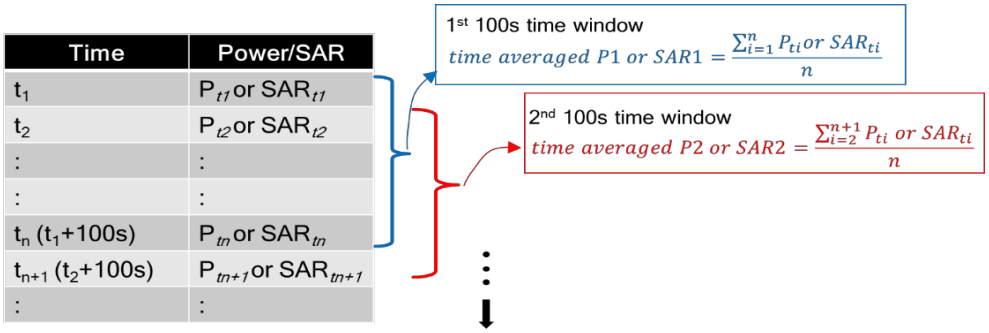

Figure 3-1 100s running average illustration

3. Make one plot containing:

a. Instantaneous Tx power versus time measured in Step 2,

b. Requested Tx power used in Step 2 (test sequence 1),

c. Computed time-averaged power versus time determined in Step 2,

d. Time-averaged power limit (corresponding to FCC SAR limit of 1.6 W/kg for 1g SAR or 1.6W/kg for 1g SAR) given by:

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$$Time\ avearged\ power\ limit = meas.P_{limit} + 10 \times \log(\frac{FCC\ SAR\ limit}{meas.SAR_Plimit}) \qquad (5a)$$

where $meas.\ P_{limit}$ and $meas.\ SAR_Plimit$ correspond to measured power at P_{limit} and measured SAR at P_{limit}.

4. Make another plot containing:

a Amputed time-averaged 1g SAR or 1g SAR versus time determined in Step 2

b SARlimit of 1.6W/kg or FCC 1g SAR limit of 1.6W/kg.

5. Repeat Steps 2 ~ 4 for pre-defined test sequence 2 and replace the requested Tx power (test sequence 1) in Step 2 with test sequence 2.

6. Repeat Steps 2 ~ 5 for all the selected technologies and bands.

The validation criteria are, at all times, the time-averaged power versus time shown in Step 3 plot shall not exceed the time-averaged power limit (defined in Eq. (5a)), in turn, the time- averaged 1g SAR or 1g SAR versus time shown in Step 4 plot shall not exceed the FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR (i.e., Eq. (1b)).

4.3.2 Change in call scenario

This test is to demonstrate that Smart Transmit feature accurately accounts for the past Tx powers during time-averaging when a new call is established.

The call disconnects and re-establishment needs to be performed during power limit enforcement, i.e., when the EUT's Tx power is at $P_{reserve}$ level, to demonstrate the continuity of RF exposure management and limiting in call change scenario. In other words, the RF exposure averaged over any FCC defined time window (including the time windows containing the call change) doesn't exceed FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR.

Test procedure

1. Measure P_{limit} for the technology/band selected in Section 3.2.2. Measure P_{limit} with Smart Transmit <u>enabled</u> and *Reserve_power_margin* set to 0 dB; callbox set to request maximum power.

2. Set *Reserve_power_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit.

3. Establish radio link with callbox in the selected technology/band.

4. Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about ~60 seconds, and then drop the call for ~10 seconds. Afterwards, re-establish another call in the

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same radio configuration (i.e., same technology/band/channel) and continue callbox requesting EUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time. Once the measurement is done, extract instantaneous Tx power versus time, convert the measured conducted Tx power into 1g SAR or 1g SAR value using Eq. (1a), and then perform the running time average to determine time-averaged power and 1g SAR or 1g SAR versus time.

Note: In Eq.(1a), instantaneous Tx power is converted into instantaneous 1g SAR or 1g SAR value by applying the measured worst-case 1g SAR or 1g SAR value at Plimit for the corresponding technology/band/antenna/DSI reported in Part 1 report.

5. Make one plot containing: (a) instantaneous Tx power versus time, (b) requested power, (c) computed time-averaged power, (d) time-averaged power limit calculated using Eq.(5a).

6. Make another plot containing: (a) computed time-averaged 1g SAR or 1g SAR versus time, and

(b) FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR.

The validation criteria are, at all times, the time-averaged power versus time shall not exceed the time- averaged power limit (defined in Eq.(5a)), in turn, the time-averaged 1g SAR or 1g SAR versus time shall not exceed the FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR (i.e., Eq. (1b)).

4.3.3 Change in antenna

This test is to demonstrate the correct power control by Smart Transmit during antenna switches from one antenna to another. The test procedure is identical to Section 3.3.3, by replacing technology/band switch operation with antenna switch. The validation criteria are, at all times, the time-averaged 1g SAR or 1g SAR versus time shall not exceed FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR.

Note: If the EUT does not support antenna switch within the same technology/band, but has multiple antennas to support different frequency bands, then the antenna switch test is included as part of change in technology and band (Section 3.3.3) test.

4.3.4 Change in DSI

This test is to demonstrate the correct power control by Smart Transmit during DSI switches from one DSI to another. The test procedure is identical to Section 3.3.3, by replacing technology/band switch operation with DSI switch. The validation criteria are, at all times, the time-averaged 1g SAR or 1g SAR versustime shall not exceed FCC limit of 1.6 W/kg for 1g SAR or 4.0 W/kg for 10g SAR.

4.3.5 Change in time window

This test is to demonstrate the correct power control by Smart Transmit during the change in averaging time window when a specific band handover occurs. FCC specifies time-averaging windows of 100s for Tx frequency < 3GHz, and 60s for Tx frequency between 3GHz and 6GHz.

To validate the continuity of RF exposure limiting during the transition, the band handover test needs to be performed when EUT handovers from operation band less than 3GHz to greater than 3GHz and vice versa. The equations (3a) and (3b) in Section 2 can be written as follows for

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transmission scenario having change in time window,

$$1gSAR_1(t) = \frac{conducted_Tx_power_1(t)}{conducted_Tx_power_P_{limit_1}} * 1g_or\ 10g_SAR_P_{limit_1} \quad (7a)$$

$$1gSAR_2(t) = \frac{conducted_Tx_power_2(t)}{conducted_Tx_power_P_{limit_2}} * 1g_or\ 10g_SAR_P_{limit_2} \quad (7b)$$

$$\frac{1}{T1_{SAR}}\left[\int_{t-T1_{SAR}}^{t_1} \frac{1g_or\ 10g_SAR_1(t)}{FCC\ SAR\ limit} dt\right] + \frac{1}{T2_{SAR}}\left[\int_{t-T2_{SAR}}^{t} \frac{1g_{or}10g_SAR_2(t)}{FCC\ SAR\ limit} dt\right] \leq 1 \quad (7c)$$

where, *conducted_Tx_power_1(t)*, *conducted_Tx_power_*P_{limit_1}*(t)*, and *1g_ or 10g_SAR_*P_{limit_1} correspond to the instantaneous Tx power, conducted Tx power at P_{limit}, and compliance *1g_ or 10g_SAR* values at P_{limit_1} of band1 with time-averaging window '$T1_{SAR}$'; *conducted_Tx_power_2(t)*, *conducted_Tx_power_*P_{limit_2}*(t)*, and *1g_ or 10g_SAR_*P_{limit_2} correspond to the instantaneous Tx power, conducted Tx power at P_{limit}, and compliance *1g_ or 10g_SAR* values at P_{limit_2} of band2 with time-averaging window '$T2_{SAR}$'. One of the two bands is less than 3GHz, another is greater than 3GHz. Transition from first band with time-averaging window '$T1_{SAR}$' to the second band with time-averaging window '$T2_{SAR}$' happens at time-instant 't_1'.

Test procedure

1. Measure Plimit for both the technologies and bands selected in Section 3.2.6. Measure Plimit with Smart Transmit enabled and Reserve_power_margin set to 0 dB, callbox set to request maximum power.

2. Set Reserve_power_margin to actual (intended) value and enable Smart Transmit

Transition from 100s time window to 60s time window, and vice versa

10. Establish radio link with callbox in the technology/band having 100s time window selected in Section 3.2.6.

11. Request EUT's Tx power to be at 0 dBm for at least 100 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~140 seconds, and then switch to second technology/band (having 60s time window) selected in Section 3.2.6. Continue with callbox requesting EUT's Tx power to be at maximum power for about ~60s in this second technology/band, and then switch back to the first technology/band. Continue with callbox requesting EUT's Tx power to be at maximum power for at least another 100s. Measure and record Tx power versus time for the entire duration of the test.

12. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1gSAR or 10gSAR value (see Eq. (7a) and (7b)) using corresponding technology/band Step 1 result, and then perform 100s running average to determine time-averaged 1gSAR or 10gSAR versus time. Note that in Eq.(7a) & (7b), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the worst-case 1gSAR or 10gSAR value tested in Part 1 for the selected technologies/bands at Plimit.

13. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 4.

14. Make another plot containing: (a) instantaneous 1gSAR versus time determined in

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Step 5, (b) computed time-averaged 1gSAR versus time determined in Step 5, and (c) corresponding regulatory $1gSAR_{limit}$ of 1.6W/kg.

Transition from 60s time window to 100s time window, and vice versa

15. Establish radio link with callbox in the technology/band having 60s time window selected in Section 3.2.6.

16. Request EUT's Tx power to be at 0 dBm for at least 60 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~80 seconds, and then switch to second technology/band (having 100s time window) selected in Section 3.2.6. Continue with callbox requesting EUT's Tx power to be at maximum power for about ~100s in this second technology/band, and then switch back to the first technology/band. Continue with callbox requesting EUT's Tx power to be at maximum power for the remaining time for a total test time of 500 seconds. Measure and record Tx power versus time for the entire duration of the test.

17. Repeat above Step 5~7 to generate the plots

The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory 1gSARlimit of 1.6W/kg.

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4.3.6 SAR exposure switching

This test is to demonstrate that Smart Transmit feature is accurately accounts for switching in exposures among SAR from radio1 only, SAR from both radio1 and radio2, and SAR from radio2 only scenarios, and ensures total time-averaged RF exposure complies with the FCC limit. Here, radio1 represents primary radio (for example, LTE anchor in a NR non-standalone mode call) and radio2 represents secondary radio (for example, sub6 NR or mmW NR). The detailed test procedure for SAR exposure switching in the case of LTE+Sub6 NR non-standalone mode transmission scenario is provided in Appendix B.2.

Test procedure

1. Measure conducted Tx power corresponding to P_{limit} for radio1 and radio2 in selected band. Test condition to measure conducted P_{limit} is:

☐ Establish device in call with the callbox for radio1 technology/band. Measure conducted Tx power corresponding to radio1 P_{limit} with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.

☐ Repeat above step to measure conducted Tx power corresponding to radio2 P_{limit}. If radio2 is dependent on radio1 (for example, non-standalone mode of Sub6 NR requiring radio1 LTE as anchor), then establish radio1 + radio2 call with callbox, and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from radio2 Sub6 NR, measured conducted Tx power corresponds to radio2 P_{limit} (as radio1 LTE is at all-down bits)

2. Set *Reserve_power_margin* to actual (intended) value, with EUT setup for radio1 + radio2 call. In this description, it is assumed that radio2 has lower priority than radio1. Establish device in radio1+radio2 call, and request all-down bits or low power on radio1, with callbox requesting EUT's Tx power to be at maximum power in radio2 for at least one time window. After one time window, set callbox to request EUT's Tx power to be at maximum power on radio1, i.e., all-up bits. Continue radio1+radio2 call with both radios at maximum power for at least one time window, and drop (or request all-down bits on) radio2. Continue radio1 at maximum power for at least one time window. Record the conducted Tx power for both radio1 and radio2 for the entire duration of this test.

3. Once the measurement is done, extract instantaneous Tx power versus time for both radio1 and radio2 links. Convert the conducted Tx power for both these radios into 1g SAR or 1g SAR value (see Eq. (6a) and (6b)) using corresponding technology/band P_{limit} measured in Step 1, and then perform the running time average to determine time-averaged 1g SAR or 1g SAR versus time.

4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 2.

5. Make another plot containing: (a) instantaneous 1g SAR versus time determined in Step 3, (b) computed time-averaged 1g SAR versus time determined in Step 3, and (c) corresponding regulatory $1g\ SAR_{limit}$ of 1.6W/kg or $1g\ SAR_{limit\ of\ 1.6}$W/kg.

The validation criteria is, at all times, the time-averaged 1g SAR or 1g SAR versus time shall not exceed the regulatory $1g\ SAR_{limit}$ of 1.6W/kg or $1g\ SAR_{limit\ of\ 1.6}$W/kg

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4.4 Test procedure for time-varying SAR measurements

This section provides general time-varying SAR measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

To perform the validation through SAR measurement for transmission scenario 1 described in Section 2, the “path loss” between callbox antenna and EUT needs to be calibrated to ensure that the EUT Tx power reacts to the requested power from callbox in a radiated call. It should be noted that when signaling in closed loop mode, protocol-level power control is in play, resulting in EUT not solely following callbox TPC (Tx power control) commands. In other words, EUT response has many dependencies (RSSI, quality of signal, path loss variation, fading, etc.,) other than just TPC commands. These dependencies have less impact in conducted setup (as it is a controlled environment and the path loss can be very well calibrated) but have significant impact on radiated testing in an uncontrolled environment, such as SAR test setup. Therefore, the deviation in EUT Tx power from callbox requested power is expected, however the time-averaged SAR should not exceed FCC SAR requirement at all times as Smart Transmit controls Tx power at EUT.

The following steps are for time averaging feature validation through SAR measurement:

1. “Path Loss” calibration: Place the EUT against the phantom in the worst-case position determined based on Section 3.2.1. For each band selected, prior to SAR measurement, perform “path loss” calibration between callbox antenna and EUT. Since the SAR test environment is not controlled and well calibrated for OTA (Over the Air) test, extreme care needs to be taken to avoid the influence from reflections. The test setup is described in Section 6.1.

2. Time averaging feature validation:

i For a given radio configuration (technology/band) selected in Section 3.2.1, enable Smart Transmit and set *Reserve_power_margin* to 0 dB, with callbox to request maximum power, perform area scan, conduct pointSAR measurement at peak location of the area scan. This point SAR value, *pointSAR_P*$_{limit}$, corresponds to point SAR at the measured P_{limit} (i.e., measured P_{limit} from the EUT in Step 1 of Section 3.3.1).

ii Set *Reserve_power_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit. Note, if *Reserve_power_margin* cannot be set wirelessly, care must be taken to re-position the EUT in the exact same position relative to the SAM phantom as in above Step 2.i. Establish radio link in desired radio configuration, with callbox requesting the EUT’s Tx power at power levels described by test sequence 1 generated in Step 1 of Section 3.3.1, conduct point SAR measurement versus time at peak location of the area scan determined in Step 2.i of this section. Once the measurement is done, extract instantaneous point SAR vs time data, *pointSAR(t)*, and convert it into instantaneous 1g SAR or 1g SAR vs. time using Eq. (3a), re-written below:

$$1g_or_10gSAR(t) = \frac{pointSAR(t)}{pointSAR_P_{limit}} * 1g_or_10gSAR_P_{limit}$$

where, *pointSAR_P*$_{limit}$ is the value determined in Step 2.i, and *pointSAR(t)* is the instantaneous point SAR measured in Step 2.ii, $1g_or_10gSAR_P_{limit}$ is the measured 1g SAR or 1g SAR value listed in Part 1 report.

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iii Perform 100s running average to determine time-averaged 1g SAR or 1g SAR versus time.

iv Make one plot containing: (a) time-averaged 1g SAR or 1g SAR versus time determined in Step 2.iii of this section, (b) FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR.

v Repeat 2.ii ~ 2.iv for test sequence 2 generated in Step 1 of Section 3.3.1.

vi Repeat 2.i ~ 2.v for all the technologies and bands selected in Section 3.2.1.

The time-averaging validation criteria for SAR measurement is that, at all times, the time-averaged 1g SAR or 1g SAR versus time shall not exceed FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR (i.e., Eq. (3b)).

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5 PD Time Averaging Validation Test Procedures

This chapter provides the test plan and test procedures for validating Qualcomm Smart Transmit feature for mmW transmission. For this EUT, millimeter wave (mmW) transmission is only in non-standalone mode, i.e., it requires an LTE link as anchor.

5.1 Test sequence determination for validation

In 5G mmW NR transmission, the test sequence for validation is with the callbox requesting EUT's Tx power in 5G mmW NR at maximum power all the time.

5.2 Test configuration selection criteria for validating Smart Transmit feature

5.2.1 Test configuration selection for time-varying Tx power transmission

The Smart Transmit time averaging feature operation is independent of bands, modes, channels, and antenna configurations (beams) for a given technology. Hence, validation of Smart Transmit in any one band/mode/channel per technology is sufficient.

5.2.2 Test configuration selection for change in antenna configuration (beam)

The Smart Transmit time averaging feature operation is independent of bands, modes, channels, and antenna configurations (beams) for a given technology. Hence, validation of Smart Transmit with beam switch between any two beams is sufficient.

5.2.3 Test configuration election for SAR vs. PD exposure switch during transmission

The Smart Transmit time averaging feature operation is independent of the nature of exposure (SAR vs. PD) and ensures total time-averaged RF exposure compliance. Hence, validation of Smart Transmit in any one band/mode/channel/beam for mmW + sub-6 (LTE) transmission is sufficient, where the exposure varies among SAR dominant scenario, SAR+PD scenario, and PD dominant scenario.

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5.3 Test procedures for mmW radiated power measurements

Perform conducted power measurement (for f < 6GHz) and radiated power measurement (for f > 6GHz) for LTE + mmW transmission to validate Smart Transmit time averaging feature in the various transmission scenarios described in Section 2.

This section provides general conducted power measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided

5.3.1 Time-varying Tx power scenario

The purpose of the test is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged Tx power when converted into RF exposure values does not exceed the FCC limit at all times (see Eq. (2a), (2b) & (2c) in Section 2).

Test procedure:

1. Measure conducted Tx power corresponding to *Plimit* for LTE in selected band, and measure radiated Tx power corresponding to *input.power.limit* in desired mmW band/channel/beam by following below steps:
   a) Measure radiated power corresponding to mmW *input.power.limit* by setting up the EUT's Tx power in desired band/channel/beam at *input.power.limit* in Factory Test Mode (FTM). This test is performed in a calibrated anechoic chamber. Rotate the EUT to obtain maximum radiated Tx power, keep the EUT in this position and do not disturb the position of the EUT inside the anechoic chamber for the rest of this test.
   b) Reset EUT to place in online mode and establish radio link in LTE, measure conducted Tx power corresponding to LTE P_{limit} with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.

2. Set *Reserve_power_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit. With EUT setup for a mmW NR call in the desired/selected LTE band and mmW NR band, perform the following steps:
   a) Establish LTE and mmW NR connection in desired band/channel/beam used in Step 1. As soon as the mmW connection is established, immediately request all-down bits on LTE link. With callbox requesting EUT's Tx power to be at maximum mmW power to test predominantly PD exposure scenario (as SAR exposure is less when LTE's Tx power is at low power).
   b) After 120s, request LTE to go all-up bits for at least 100s. SAR exposure is dominant. There are two scenarios:

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i If $P_{limit} < P_{max}$ for LTE, then the RF exposure margin (provided to mmW NR) gradually runs out (due to high SAR exposure). This results in gradual reduction in the 5G mmW NR transmission power and eventually seized 5G mmW NR transmission when LTE goes to $P_{reserve}$ level.

ii If $P_{limit} \geq P_{max}$ for LTE, then the 5G mmW NR transmission's averaged power should gradually reduce but the mmW NR connection can sustain all the time (assuming TxAGC uncertainty = 0dB).

c) Record the conducted Tx power of LTE and radiated Tx power of mmW for the full duration of this test of at least 300s.

3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and mmW links. Convert the conducted Tx power for LTE into 1gSAR or 10gSAR value using Eq. (2a) and *Plimit* measured in Step 1.b, and then divide by FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR to obtain instantaneous normalized 1gSAR or 10gSAR versus time. Perform 100s running average to determine normalized 100s-averaged 1gSAR or 10gSAR versus time.

   NOTE: In Eq.(2a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at *Plimit* for the corresponding technology/band/antenna/DSI reported in Part 1 report.

4. Similarly, convert the radiated Tx power for mmW into 4cm^{2}PD value using Eq. (2b) and the radiated Tx power limit (i.e., radiated Tx power at *input.power.limit*) measured in Step 1.a, then divide by FCC 4cm^{2}PD limit of 10W/m^2 to obtain instantaneous normalized 4cm^{2}PD versus time. Perform 4s running average to determine normalized 4s-averaged 4cm^{2}PD versus time.

   NOTE: In Eq.(2b), instantaneous radiated Tx power is converted into instantaneous 4cm^{2}PD by applying the worst-case 4cm^{2}PD value measured at *input.power.limit* for the selected band/beam in Part 1 report.

5. Make one plot containing: (a) instantaneous conducted Tx power for LTE versus time, (b) computed 100s-averaged conducted Tx power for LTE versus time, (c) instantaneous radiated Tx power for mmW versus time, as measured in Step 2, (d) computed 4s-averaged radiated Tx power for mmW versus time, and (e) time-averaged conducted and radiated power limits for LTE and mmW radio using Eq. (5a) & (5b), respectively:

$$Time\ avearged\ LTE\ power\ limit = meas.P_{limit} + 10 \times \log\left(\frac{FCC\ SAR\ limit}{meas.SAR_Plimit}\right) \qquad (5a)$$

$$Time\ avearged\ mmW\ NR\ power\ limit = meas.EIRP_{input.power.limit} + 10 \times \log\left(\frac{FCC\ PD\ limit}{meas.PD_input.power.limit}\right) \qquad (5b)$$

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6. Make another plot containing: (a) computed normalized 100s-averaged 1gSAR or 10gSAR versus time determined in Step 3, (b) computed normalized 4s-averaged 4cm^{2}PD versus time determined in Step 4, and (c) corresponding total normalized time-averaged RF exposure (sum of steps (6.a) and (6.b)) versus time

The validation criteria are, at all times, the total normalized time-averaged RF exposure versus time determined in Step 6.c shall not exceed the normalized limit of 1.0 of FCC requirement (i.e., Eq. (2c).

5.3.2 Switch in SAR vs. PD exposure during transmission

This test is to demonstrate that Smart Transmit feature is independent of the nature of exposure (SAR vs. PD), accurately accounts for switching in exposures among SAR dominant, SAR+PD, and PD dominant scenarios, and ensures total time-averaged RF exposure compliance.

Test procedure:

1. Measure conducted Tx power corresponding to *Plimit* for LTE in selected band, and measure radiated Tx power corresponding to *input.power.limit* in desired mmW band/channel/beam by following below steps:

    a. Measure radiated power corresponding to *input.power.limit* by setting up the EUT's Tx power in desired band/channel/beam at *input.power.limit* in FTM. This test is performed in a calibrated anechoic chamber. Rotate the EUT to obtain maximum radiated Tx power, keep the EUT in this position and do not disturb the position of the EUT inside the anechoic chamber for the rest of this test.

    b. Reset EUT to place in online mode and establish radio link in LTE, measure conducted Tx power corresponding to LTE P_{limit} with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.

2. Set *Reserve_power_margin* to actual (intended) value and reset power in EUT, with EUT setup for LTE + mmW call, perform the following steps:

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a. Establish LTE (sub-6) and mmW NR connection with callbox.

b. As soon as the mmW connection is established, immediately request all-down bits on LTE link. Continue LTE (all-down bits) + mmW transmission for more than 100s duration to test predominantly PD exposure scenario (as SAR exposure is negligible from all-down bits in LTE).

c. After 120s, request LTE to go all-up bits, mmW transmission should gradually run out of RF exposure margin if LTE's $P_{limit} < P_{max}$ and seize mmW transmission (SAR only scenario); or mmW transmission should gradually reduce in Tx power and will sustain the connection if LTE's $P_{limit} > P_{max}$.

d. After 120s, request LTE to go all-down bits, mmW transmission should start getting back RF exposure margin and resume transmission again.

e. Record the conducted Tx power of LTE and radiated Tx power of mmW for the entire duration of this test of at least 300s.

3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and mmW links. Convert the conducted Tx power for LTE into 1gSAR or 10gSAR value using Eq. (2a) and *Plimit* measured in Step 1.b, and then divide by FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR to obtain instantaneous normalized 1gSAR or 10gSAR versus time. Perform 100s running average to determine normalized 100s-averaged 1gSAR or 10gSAR versus time.

   NOTE: In Eq.(2a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at *Plimit* for the corresponding technology/band/antenna/DSI reported in Part 1 report.

4. Similarly, convert the radiated Tx power for mmW into 4cm^{2}PD value using Eq. (2b) and the radiated Tx power limit (i.e., radiated Tx power at *input.power.limit*) measured in Step 1.a, then divide this by FCC 4cm^{2}PD limit of 10W/m^2 to obtain instantaneous normalized 4cm^{2}PD versus time. Perform 4s running average to determine normalized 4s-averaged 4cm^{2}PD versus time.

   NOTE: In Eq.(2b), instantaneous radiated Tx power is converted into instantaneous 4cm^{2}PD by applying the worst-case 4cm^{2}PD value measured at *input.power.limit* for the selected band/beam in Part 1 report.

5. Make one plot containing: (a) instantaneous conducted Tx power for LTE versus time, (b) computed 100s-averaged conducted Tx power for LTE versus time, (c) instantaneous radiated Tx power for mmW versus time, as measured in Step 2, (d) computed 4s-averaged radiated Tx power for mmW versus time, and (e) time- averaged conducted and radiated power limits for LTE and mmW radio using Eq. (5a) & (5b), respectively.

6. Make another plot containing: (a) computed normalized 100s-averaged 1gSAR or 10gSAR versus time determined in Step 3, (b) computed normalized 4s-averaged 4cm^{2}PD versus time determined in Step 4, and (c) corresponding total normalized time-averaged RF

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exposure (sum of steps (6.a) and (6.b)) versus time.

The validation criteria are, at all times, the total normalized time-averaged RF exposure versus time determined in Step 6.c shall not exceed the normalized limit of 1.0 of FCC requirement (i.e., Eq. (2c).

5.3.3 Change in antenna configuration (beam)

This test is to demonstrate the correct power control by Smart Transmit during changes in antenna configuration (beam). Since the *input.power.limit* varies with beam, the Eq. (2a), (2b) and (2c) in Section 2 are written as below for transmission scenario having change in beam,

$$1g_or_10gSAR(t) = \frac{conducted_Tx_power(t)}{conducted_Tx_power_P_{limit}} * 1g_or_10gSAR_P_{limit} \qquad (8a)$$

$$4cm^2PD_1(t) = \frac{radiated_Tx_power_1(t)}{radiated_Tx_power_input.power.limit_1} * 4cm^2PD_input.power.limit_1 \qquad (8b)$$

$$4cm^2PD_2(t) = \frac{radiated_Tx_power_2(t)}{radiated_Tx_power_input.power.limit_2} * 4cm^2PD_input.power.limit_2 \qquad (8c)$$

$$\frac{\frac{1}{T_{SAR}}\int_{t-T_{SAR}}^{t} 1g_or_10gSAR(t)dt}{FCC\ SAR\ limit} + \frac{\frac{1}{T_{PD}}\left[\int_{t-T_{PD}}^{t_1} 4cm^2PD_1(t)dt + \int_{t_1}^{t} 4cm^2PD_2(t)dt\right]}{FCC4cm^2\ PD\ limit} \leq 1 \qquad (8d)$$

where, $conducted_Tx_power(t)$, $conducted_Tx_power_P_{limit}$, and $1g_or_10gSAR_P_{limit}$ correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at P_{limit}, and measured *1gSAR or 10gSAR* values at P_{limit} corresponding to LTE transmission. Similarly, *radiated_Tx_power_1(t)*, *radiated_Tx_power_input.power.limit_1*, and *4cm²PD_input.power.limit_1* correspond to the measured instantaneous radiated Tx power, radiated Tx power at *input.power.limit*, and *4cm²PD* value at *input.power.limit* of beam 1; *radiated_Tx_power_2(t)*, *radiated_Tx_power_input.power.limit_2*, and *4cm²PD_input.power.limit_2* correspond to the measured instantaneous radiated Tx power, radiated Tx power at *input.power.limit*, and *4cm²PD* value at *input.power.limit* of beam 2 corresponding to mmW transmission.

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Test procedure:

1. Measure conducted Tx power corresponding to P_{limit} for LTE in selected band, and measure radiated Tx power corresponding to *input.power.limit* in desired mmW band/channel/beam by following below steps:

   a. Measure radiated power corresponding to mmW *input.power.limit* by setting up the EUT's Tx power in desired band/channel at *input.power.limit* of beam 1 in FTM. Do not disturb the position of the EUT inside the anechoic chamber for the rest of this test. Repeat this Step 1.a for beam 2.

   b. Reset EUT to place in online mode and establish radio link in LTE, measure conducted Tx power corresponding to LTE P_{limit} with Smart Transmit enabled and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.

2. Set *Reserve_power_margin* to actual (intended) value and reset power in EUT, With EUT setup for LTE + mmW connection, perform the following steps:

   a. Establish LTE (sub-6) and mmW NR connection in beam 1. As soon as the mmW connection is established, immediately request all-down bits on LTE link with the callbox requesting EUT's Tx power to be at maximum mmW power.

   b. After beam 1 continues transmission for at least 20s, request the EUT to change from beam 1 to beam 2, and continue transmitting with beam 2 for at least 20s.

   c. Record the conducted Tx power of LTE and radiated Tx power of mmW for the entire duration of this test.

3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and mmW links. Convert the conducted Tx power for LTE into 1gSAR or 10gSAR value using the similar approach described in Step 3 of Section 4.3.2. Perform 100s running average to determine normalized 100s-averaged 1gSAR versus time.

4. Similarly, convert the radiated Tx power for mmW NR into 4cm^{2}PD value using Eq. (8b), (8c) and the radiated Tx power limits (i.e., radiated Tx power at *input.power.limit*) measured in Step 1.a for beam 1 and beam 2, respectively, and then divide the resulted PD values by FCC 4cm^{2}PD limit of 10W/m^2 to obtain instantaneous normalized 4cm^{2}PD versus time for beam 1 and beam 2. Perform 4s running average to determine normalized 4s-averaged 4cm^{2}PD versus time.

NOTE: In Eq.(8b) and (8c), instantaneous radiated Tx power of beam 1 and beam 2 is converted into instantaneous 4cm2PD by applying the worst-case 4cm2PD value measured at the input.power.limit of beam 1 and beam 2 in Part 1 report, respectively.

5. Since the measured radiated powers for beam 1 and beam 2 in Step 1.a were performed at an arbitrary rotation of EUT in anechoic chamber, repeat Step 1.a of this procedure by rotating the EUT to determine maximum radiated power at *input.power.limit* in FTM mode for both beams separately. Re-scale the measured instantaneous radiated power in Step 2.c by the delta in

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radiated power measured in Step 5 and the radiated power measured in Step 1.a for plotting purposes in next Step. In other words, this step essentially converts measured instantaneous radiated power during the measurement in Step 2 into maximum instantaneous radiated power for both beams. Perform 4s running average to compute 4s-avearged radiated Tx power. Additionally, use these EIRP values measured at *input.power.limit* at respective peak locations to determine the EIRP limits (using Eq. (5b)) for both these beams.

6. Make one plot containing: (a) instantaneous conducted Tx power for LTE versus time, (b) computed 100s-averaged conducted Tx power for LTE versus time, (c) instantaneous radiated Tx power for mmW versus time, as obtained in Step 5, (d) computed 4s-averaged radiated Tx power for mmW versus time, as obtained in Step 5, and (e) time-averaged conducted and radiated power limits for LTE and mmW radio, respectively.

7. Make another plot containing: (a) computed normalized 100s-averaged 1gSAR versus time determined in Step 3, (b) computed normalized 4s-averaged $4cm^2$PD versus time determined in Step 4, and (c) corresponding total normalized time- averaged RF exposure (sum of steps (6.a) and (6.b)) versus time.

   The validation criteria are, at all times, the total normalized time-averaged RF exposure versus time determined in Step 6.c shall not exceed the normalized limit of 1.0 of FCC requirement (i.e., (8d).

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5.3.4 Change in antenna configuration (beam)

The purpose of the test is to demonstrate that Smart Transmit applies backoff for the selected mmW NR band when Wi-Fi is transmitting. This test procedure with EUT requested to transmit at maximum mmW power all the time, except WiFi is also enabled to transmit during the entire test.

Test procedure:

1. Measure conducted Tx power corresponding to Plimit for LTE in selected band, and measure radiated Tx power corresponding to input.power.limit in desired mmW band/channel/beam. Test condition to measure conducted Plimit and radiated input.power.limit is.

a. Measure radiated.power corresponding to mmW input.power.limit by setting up the UE to transmit in desired band/channel/beam at input.power.limit in Factory Test Mode. Do not disturb the position of the EUT inside the anechoic chamber for the rest of this test.

b. Measure conducted Tx power corresponding to LTE Plimit with Smart Transmit Peak exposure mode enabled, and callbox set to request maximum power, and with WiFi turned OFF.

NOTE: If the mmW test performed in Section 6.3.1 has backoff configured for the mmW NR band, then Step1 here can be avoided if this test is performed after Section 6.3.1 test without disturbing the test setup.

2.Set EUT to the intended Smart Transmit exposure mode.

3.Enable WiFi to transmit.

4. Setup EUT for LTE + mmW call. First, establish LTE connection in all-up bits with the callbox, and then mmW connection is added with callbox requesting UE to transmit at maximum mmW power. As soon as the mmW connection is established, request all-down bits on LTE link (otherwise, mmW will not have sufficient RF exposure margin to sustain the call with LTE in all-up bits). Continue LTE (all-down bits)+mmW transmission for the entire duration of this test of ~200s.

5. Once the measurement is done, extract instantaneous mmW Tx power versus time. convert the radiated Tx power for mmW into 4cm2PD value (see Eq. (4b)) using Step 1.a result, and then divide this by FCC 4cm2PD limit of 10W/m2 to obtain instantaneous normalized 4cm2PD versus time. Perform 4s running average to determine normalized 4s-averaged 4cm2PD versus time as illustrated in Figure 5-1. Note that in Eq.(4b), instantaneous Tx power is converted into instantaneous 4cm2PD by applying the worst-case 4cm2PD value for the selected band/beam at input.power.limit as reported in 80-W2112-4 Part 1 report.

6. Plot computed normalized 4s-averaged 4cm2 PD versus time determined in Step 5.

The validation criteria is, at all times, the computed normalized 4s-averaged 4cm2 PD versus time determined in Step 6 shall not exceed the normalized limit of {[PD_design_target * 10(-Backoff (dB)/10)]/ (FCC 4cm2 PD limit of 10W/m2)} within mmW device uncertainty.

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5.4 Test procedures for time-varying PD measurements

The following steps are used to perform the validation through PD measurement for transmission scenario 1 described in Section 2:

1. Place the EUT on the cDASY6 platform to perform PD measurement in the worst- case position/surface for the selected mmW band/beam. In PD measurement, the callbox is set to request maximum Tx power from EUT all the time. Hence, "path loss" calibration between callbox antenna and EUT is not needed in this test.

2. Time averaging feature validation:
   a. Measure conducted Tx power corresponding to P_{limit} for LTE in selected band, and measure point E-field corresponding to *input.power.limit* in desired mmW band/channel/beam by following the below steps:
      i. Measure conducted Tx power corresponding to LTE P_{limit} with Smart Transmit <u>enabled</u> and *Reserve_power_margin* set to 0 dB, with callbox set to request maximum power.
      ii. Measure point E-field at peak location of fast area scan corresponding to *input.power.limit* by setting up the EUT's Tx power in desired mmW band/channel/beam at *input.power.limit* in FTM. Do not disturb the position of EUT and mmW cDASY6 probe.
   b. Set *Reserve_power_margin* to actual value (i.e., intended value) and reset power on EUT, place EUT in online mode. With EUT setup for LTE (sub-6) + mmW NR call, as soon as the mmW NR connection is established, request all-down bits on

      LTE link. Continue LTE (all-down bits) + mmW transmission for more than 100s duration to test predominantly PD exposure scenario. After 120s, request LTE to go all-up bits, mmW transmission should gradually reduce. Simultaneously, record the conducted Tx power of LTE transmission using power meter and point E-field (in terms of ratio of $\frac{[pointE(t)]^2}{[pointE_input.power.limit]^2}$) of mmW transmission using cDASY6 E-field probe at peak location identified in Step 2.a.ii for the entire duration of this test of at least 300s.
   c. Once the measurement is done, extract instantaneous conducted Tx power versus time for LTE transmission and $\frac{[pointE(t)]^2}{[pointE_input.power.limit]^2}$ ratio versus time from cDASY6 system for mmW transmission. Convert the conducted Tx power for LTE into 1gSAR or 10gSAR value using Eq. (4a) and P_{limit} measured in Step 2.a.i, and then divide this by FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR to obtain instantaneous normalized 1gSAR or 10gSAR versus time. Perform 100s running average to determine normalized 100s-averaged 1gSAR or 10gSAR versus time

      NOTE: In Eq.(4a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at P_{limit} for the corresponding technology/band reported in Part 1 report.

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d. Similarly, convert the point E-field for mmW transmission into $4cm^2$PD value using Eq. (4b) and radiated power limit measured in Step 2.a.ii, and then divide this by FCC $4cm^2$PD limit of $10W/m^2$ to obtain instantaneous normalized $4cm^2$PD versus time. Perform 4s running average to determine normalized 4s-averaged $4cm^2$PD versus time.

e. Make one plot containing: (i) computed normalized 100s-averaged 1gSAR or 10gSAR versus time determined in Step 2.c, (ii) computed normalized 4s-averaged $4cm^2$PD versus time determined in Step 2.d, and (iii) corresponding total normalized time-averaged RF exposure (sum of steps (2.e.i) and (2.e.ii)) versus time.

The validation criteria are, at all times, the total normalized time-averaged RF exposure versus time determined in Step 2.e.iii shall not exceed the normalized limit of 1.0 of FCC requirement (i.e., Eq. (4c).

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6 Test Configurations

6.1 WWAN (sub-6) transmission

The P_{limit} values, corresponding to SAR_design_target, for technologies and bands supported by EUT are derived in Part 0 report and summarized in Table 6-1. Note all P_{limit} power levels entered in Table 6-1 correspond to average power levels after accounting for duty cycle in the case of TDD modulation schemes (for e.g., GSM, LTE TDD & Sub6 NR TDD).

Table 6-1 P_{limit} for supported technologies and bands (P_{limit} in EFS file)

Band	Antenna	Plimit				Pmax
		DSI0		DSI1		
		without wifi	with wifi	without wifi	with wifi	
LTE_B2	1	23	23	23	21	23
LTE_B2	3	23	23	23	21	23
LTE_B4	1	23	23	22.5	20.5	23
LTE_B5	1	23	23	23	23	23
LTE_B7	1	23	23	22.5	20.5	23
LTE_B12	4	23	23	23	23	23
LTE_B13	0	23	23	23	23	23
LTE_B48	5	22	22	22	22	22
LTE_B66	1	23.5	23.5	22.5	20.5	23.5
LTE_B66	3	23.5	23.5	22.5	20.5	23.5
NR5G_N2	1	23	22	22	20	23
NR5G_N2	3	23	23	23	22	23
NR5G_N5	1	23	23	23	23	23
NR5G_N48	5	22	22	22	22	22
NR5G_N66	1	23.5	23.5	22.5	20.5	23.5
NR5G_N66	3	23.5	23.5	22.5	21	23.5
NR5G_N78	5	25	23	22	20	26
NR5G_N78	7	24	22.5	22	20.5	24
NR5G_N77	5	25	23	22	20	26
NR5G_N77	7	24	22.5	22	20.5	24

Note: Maximum tune up target power, P_{max}, is configured in NV settings in EUT to limit maximum transmitting power. This power is converted into peak power in NV settings for TDD schemes. The EUT maximum allowed output power is equal to P_{max} + device uncertainty.

Based on selection criteria described in Section 4.2.1, the selected technologies/bands for testing time-varying test sequences are listed in Table 6-1. During Part 2 testing, the *Reserve_power_margin*(dB) for this EUT is set to 3dB in EFS.

The radio configurations used in Part 2 test for selected technologies, bands, DSIs and antennas are listed in Table 6-2. The corresponding worst-case radio configuration 1g SAR or 1g SAR

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values for selected technology/band/DSI are extracted from Part 1 report and are listed in the last column of Table 6-2.

Based on equations (1a), (2a), (3a) and (4a), it is clear that Part 2 testing outcome is normalized quantity, which implies that it can be applied to any radio configuration within a selected technology/band/DSI. Thus, as long as applying the worst-case SAR obtained from the worst radio configuration in Part 1 testing to calculate time-varying SAR exposure in equations (1a), (2a), (3a) and (4a), the accuracy in compliance demonstration remains the same.

Table 6-2: Radio configurations selected for Part 2 test

Test case #	Test scenario	Tech	Band	Ant	DSI	Channel	Freq (MHz)	BW	RB size	RB offset	mode	position	Position details	Part 1 worst-case radio config 1g measured at Plimit(W/kg)
1	time-varying Tx power transmission	LTE	B7	1	1	20850	2510	20M	1	0	QPSK	Top	10mm	0.952
2		Sub6 NR	N77	5	0	657800	3867	10M	12	6	DFT-OFDM QPSK	Rear	15mm	0.986
3		Sub6 NR	N77	5	1	636332	3544.98	10M	12	6	DFT-OFDM QPSK	Left	10mm	0.74
4	Call Drop	Sub6 NR	N77	5	0	657800	3867	10M	12	6	DFT-OFDM QPSK	Rear	15mm	0.986
5	Change In DSI	Sub6 NR	N77	5	0	657800	3867	10M	12	6	DFT-OFDM QPSK	Rear	15mm	0.986
		Sub6 NR	N77	5	1	636332	3544.98	10M	12	6	DFT-OFDM QPSK	Left	10mm	0.74

Note that the EUT has a several DSI states to manage power for different RF exposure conditions, detail DSI states and trigger conditions shown on the following table, the maximum 1gSAR/or 10gSAR among all exposure scenarios is used in Smart Transmit feature for time averaging operation.

Exposure conditions	DSI	SAR design target W/kg(1g)	Remark
without wifi	0/1	1.2	/
with wifi	0/1	0.78	/

Based on the selection criteria described in Section 4.2, the radio configurations for the Tx varying transmission test cases listed in Section 2 are:

1. Technologies and bands for time-varying Tx power transmission: The test case 1~3 listed in Table 5-2 are selected to test with the test sequences defined in Section 4.1 in both time-varying conducted power measurement and time-varying SAR measurement.

2. Technology and band for change in call test: The test case 4 listed in Table 6-2 is selected for performing the call drop test in LTE + Sub6 NR in conducted power setup.

3. Technologies and bands for change in DSI: The test case 5 listed in Table 6-2 is selected for DSI switch test by establishing a call in N77 in DSI=0, and then handing over to DSI = 1 exposure scenario in conducted power setup.

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6.2 LTE + mmW NR transmission

Based on the selection criteria described in Section 4.2, the selections for LTE and mmW NR validation test are listed in Table 5-3. The radio configurations used in this test are listed in Table 5-4.

Table 5-3 Selections for LTE + mmW NR validation measurements

Transmission Scenario	Test	Technology and Band	mmW Beam
Time-varying Tx power test	Cond. & Rad. Power meas. PD meas.	LTE Band 5 and n260	Beam ID 31
		LTE Band 5 and n261	Beam ID 41
Switch in SAR vs. PD	Cond. & Rad. Power meas	LTE Band 5 and n260	Beam ID 31
		LTE Band 5 and n261	Beam ID 41
WiFi Backoff	Cond. & Rad. Power meas	LTE Band 5 and n260	Beam ID 31
		LTE Band 5 and n261	Beam ID 41
Beam switch test	Cond. & Rad. Power meas	LTE Band 5 and n260	Beam ID 31 to Beam ID 5
		LTE Band 5 and n261	Beam ID 31 to Beam ID 9

Table 5-4: Test configuration for LTE + mmW NR validation

Tech	Band	Beam ID	Antenna	DSI	Mode	UL Duty Cycle
LTE	5	/	0	1	QPSK	100%
mmW NR	N260	31/5	Module 0	/	CW	100%
	N261	41/31/9	Module 0	/	CW	100%

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7 Conducted Power Test Results for Sub-6 Smart Transmit Feature Validation

7.1 Measurement setup

The Rohde & Schwarz CMW500 callbox is used in this test. The test setup picture and schematic are shown in Figures 5-1a & 5-1c for measurements with a single antenna of EUT, and in Figures 5-1b & 5-1d for measurements involving antenna switch (see Appendix C for missing figures). For single antenna measurement, one port (RF1 COM) of the callbox is connected to the RF port of the EUT using a directional coupler. For antenna & technology switch measurement, two ports (RF1 COM and RF3 COM) of the callbox used for signaling two different technologies are connected to a combiner, which is in turn connected to a directional coupler. The other end of the directional coupler is connected to a splitter to connect to two RF ports of the EUT corresponding to the two antennas of interest. In both the setups, power meter is used to tap the directional coupler for measuring the conducted output power of the EUT. For time averaging validation test (Section 3.3.1), call drop test (Section 3.3.2), and DSI switch test (Section 3.3.4), only RF1 COM port of the callbox is used to communicate with the EUT. For technology/band switch measurement (Section. 3.3.3), both RF1 COM and RF3 COM port of callbox are used to switch from one technology communicating on RF1 COM port to another technology communicating on RF3 COM port. Note that for this EUT, antenna switch test (Section 3.3.4) is included within time-window switch test (Section 3.3.6) as the selected technology/band combinations for the time-window switch test are on two different antennas. All the path losses from RF port of EUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.

<u>LTE+Sub6 NR test setup:</u>
If LTE conducted port and Sub6 NR conducted port are same on this EUT (i.e., they share the same antenna), then low-/high-pass filter is used to separate LTE and Sub6 NR signals for power meter measurement via directional couplers, as shown in below Figures 6-1a, 6-1b & 6-1c.

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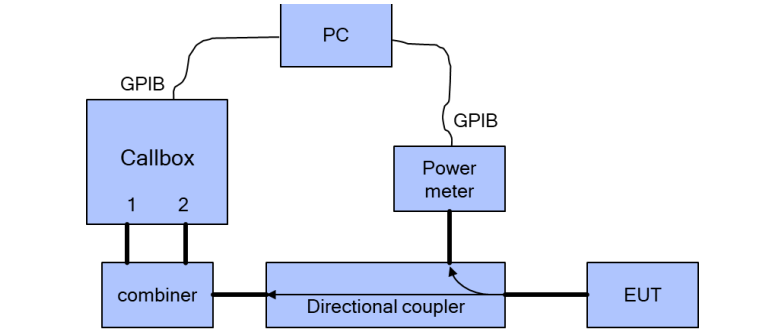
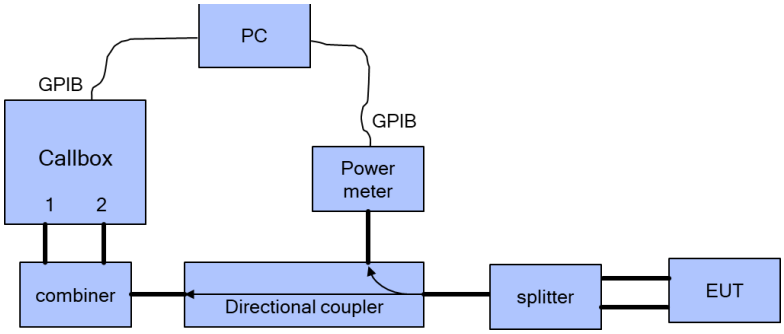
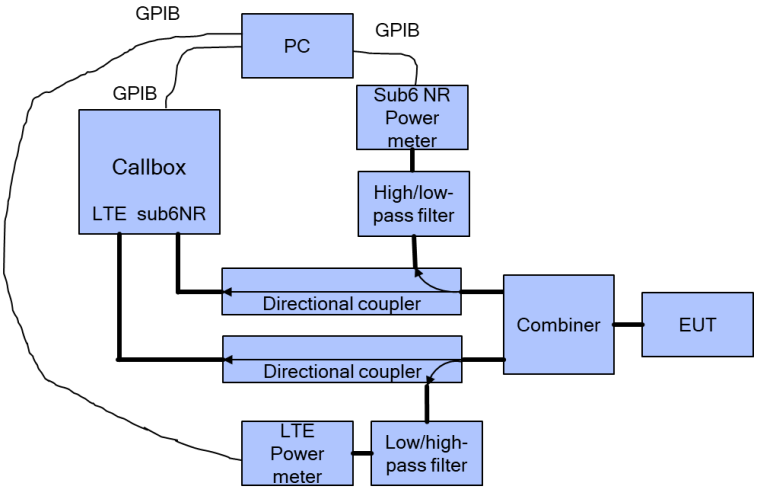


(a)



(b)



(c)

Figure 5-1 Example conducted power measurement setup

Both the callbox and power meter are connected to the PC using GPIB cables. Two test scripts are custom made for automation, and the test duration set in the test scripts is 500 seconds.

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For time-varying Tx power measurement, the PC runs the 1st test script to send GPIB commands to control the callbox's requested power versus time, while at the same time to record the conducted power measured at EUT RF port using the power meter. The commands sent to the callbox to request power are:

- 0dBm for 100 seconds
- Test sequence 1 or test sequence 2 (defined in Section 3.1 and generated in Section 3.2.1), for 360 seconds
- Stay at the last power level of test sequence 1 or test sequence 2 for the remaining time. Power meter readings are periodically recorded every 100ms. A running average of this measured Tx power over 100 seconds is performed in the post-data processing to determine the 100s-time averaged power.

For call drop, technology/band/antenna switch, and DSI switch tests, after the call is established, the callbox is set to request the EUT's Tx power at 0dBm for 100 seconds while simultaneously starting the 2nd test script runs at the same time to start recording the Tx power measured at EUT RF port using the power meter. After the initial 100 seconds since starting the Tx power recording, the callbox is set to request maximum power from the EUT for the rest of the test. Note that the call drop/re-establish, or technology/band/antenna switch or DSI switch is manually performed when the Tx power of EUT is at $P_{reserve}$ level. See Section 3.3 for detailed test procedure of call drop test, technology/band/antenna switch test and DSI switch test.

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7.2 P_{limit} and P_{max} measurement results

The measured P_{limit} for all the selected radio configurations given in Table 6-2 are listed in below Table 7-1. Pmax was also measured for radio configurations selected for testing time-varying Tx power transmission scenarios in order to generate test sequences following the test procedures in Section 4.1.

Table 7-1: Measured P_{limit} and P_{max} of selected radio configurations

Test case #	Test scenario	Tech	Band	Ant	DSI	Channel	Freq (MHz)	BW	RB size	RB offset	mode	position	Position details	Plimit EFS setting (dBm)	Target pmax (dBm)	measured plimit (dBm)	measured pmax (dBm)
1	time-varying Tx power transmission	LTE	B7	1	1	20850	2510	20M	1	0	QPSK	Top	10mm	22.5	23	22.41	22.84
2		Sub6 NR	N77	5	0	657800	3867	10M	12	6	DFT-OFDM QPSK	Rear	15mm	25	26	25.18	26.23
3		Sub6 NR	N77	5	1	636332	3544.98	10M	12	6	DFT-OFDM QPSK	Left	10mm	22	26	22.71	26.23
4	Call Drop	Sub6 NR	N77	5	0	657800	3867	10M	12	6	DFT-OFDM QPSK	Rear	15mm	25	26	25.18	26.23
5	Change In DSI	Sub6 NR	N77	5	0	657800	3867	10M	12	6	DFT-OFDM QPSK	Rear	15mm	25	26	25.18	26.23
		Sub6 NR	N77	5	1	636332	3544.98	10M	12	6	DFT-OFDM QPSK	Left	10mm	22	26	22.71	26.23

Note: the device uncertainty of P_{max} is +1db/-1db as provided by manufacturer

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7.3 Time-varying Tx power measurement results

The measurement setup is shown in Figures 5-1(a) and 5-1(c). The purpose of the time-varying Tx power measurement is to demonstrate the effectiveness of power limiting enforcement and that the time- averaged Tx power when represented in time-averaged 1g SAR or 1g SAR values does not exceed FCC limit as shown in Eq. (1a) and (1b), rewritten below:

$$1g_or_10gSAR(t) = \frac{conducted_Tx_power(t)}{conducted_Tx_power_P_{limit}} * 1g_or_10gSAR_P_{limit} \quad (1a)$$

$$\frac{\frac{1}{T_{SAR}}\int_{t-T_{SAR}}^{t} 1g_or_10gSAR(t)dt}{FCC\ SAR\ limit} \leq 1 \quad (1b)$$

where, $conducted_Tx_power(t)$, $conducted_Tx_power_Plimit$, and $1g_or_10gSAR_Plimit$ correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at Plimit, and measured 1g SAR and 1g SAR values at Plimit reported in Part 1 test (listed in Table 5-2 of this report as well).

Following the test procedure in Section 3.3, the conducted Tx power measurement for all selected configurations are reported in this section. In all the conducted Tx power plots, the dotted line represents the requested power by callbox (test sequence 1 or test sequence 2), the blue curve represents the instantaneous conducted Tx power measured using power meter, the green curve represents time- averaged power and red line represents the conducted power limit that corresponds to FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR .

Similarly, in all the 1g or 1g SAR plots (when converted using Eq. (1a)), the green curve represents the 100s/60s-time averaged 1g SAR or 1g SAR value calculated based on instantaneous 1g SAR or 1g SAR ; and the red line limit represents the FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR .

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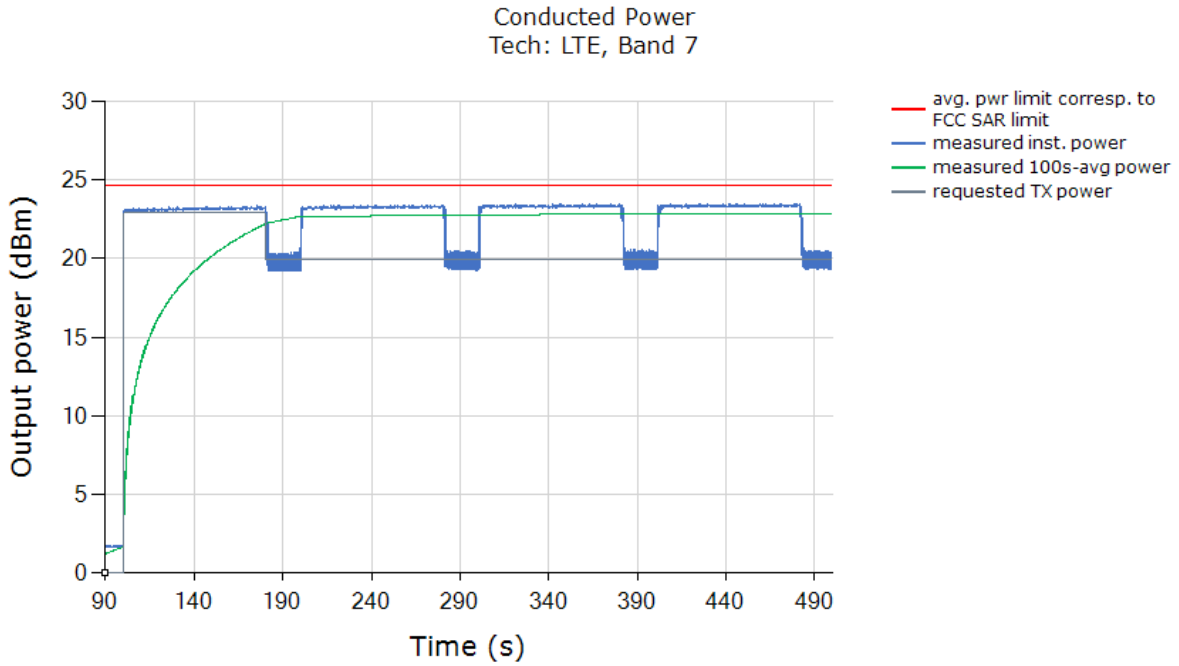
7.3.1 LTE B7 DSI1 (Test case 1)

Test result for test sequence 1:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1g SAR:

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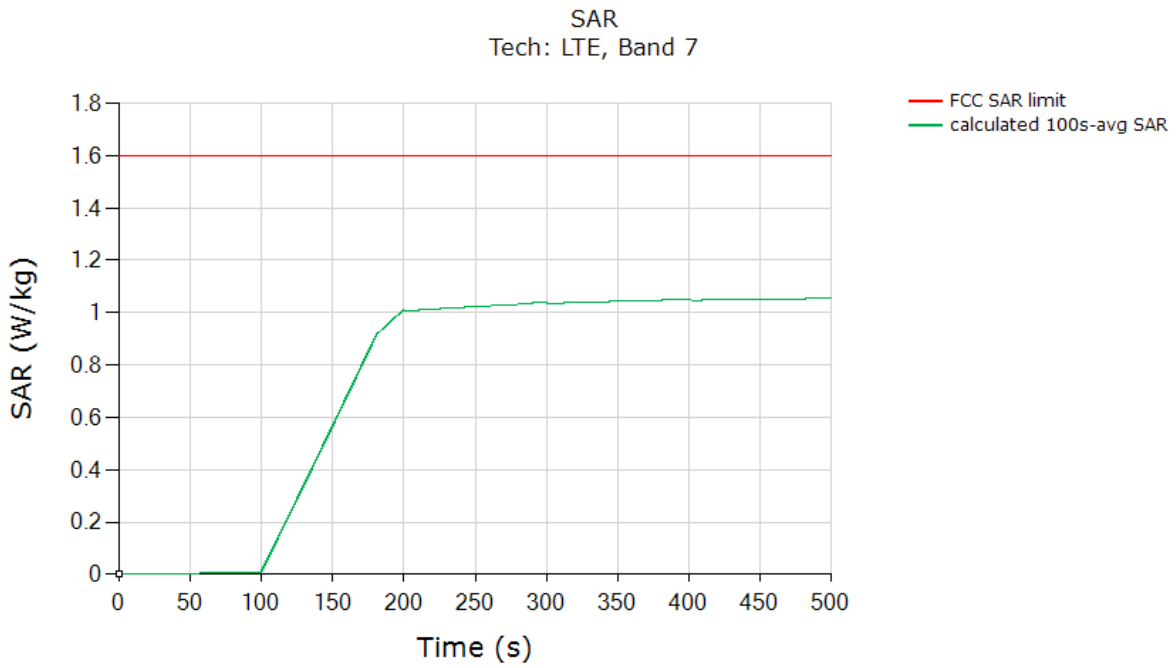

\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	1.056
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at P_{limit}	

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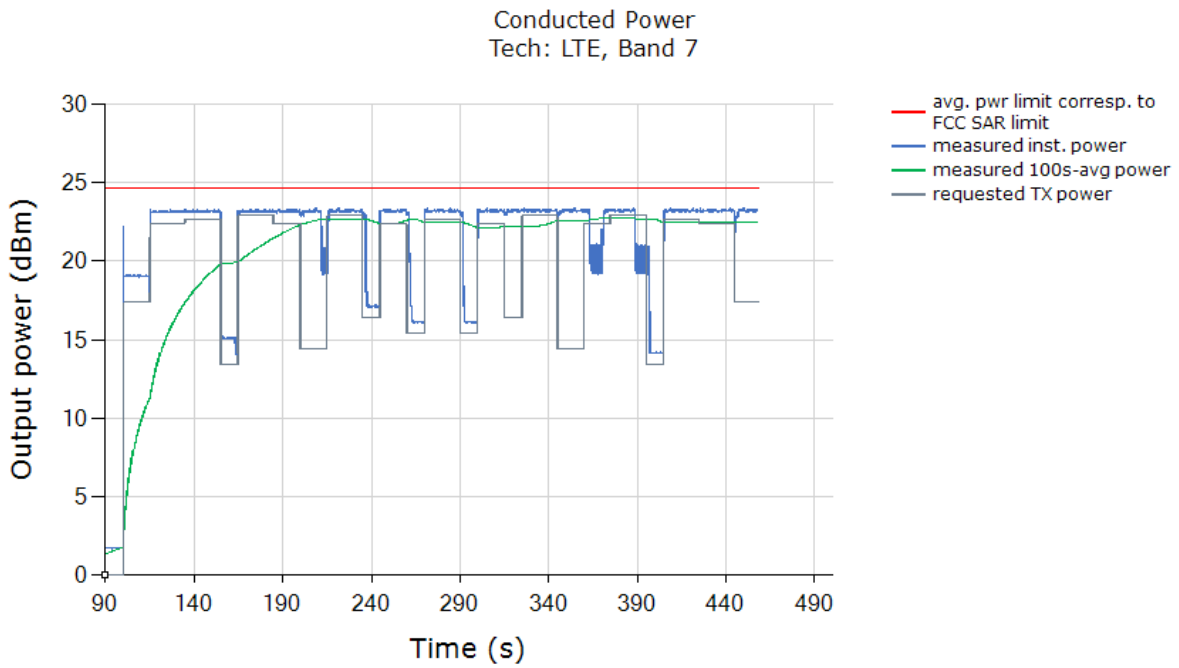
Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

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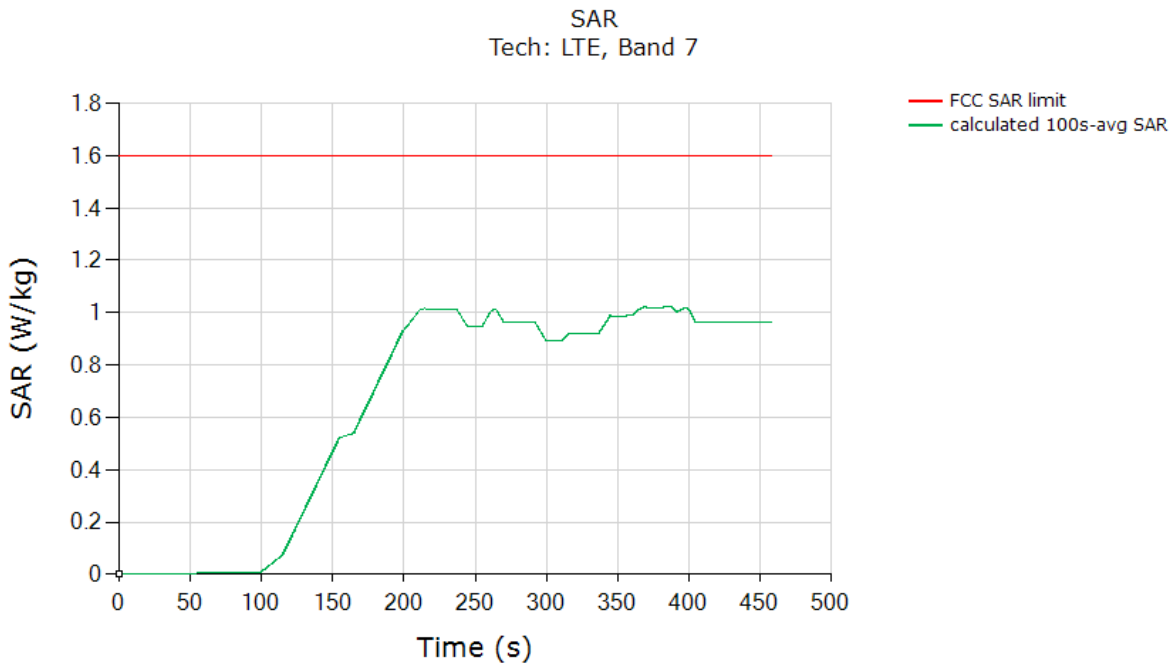

\	(W/kg)
FCC 1g SAR limit	1.6
Max 100s-time averaged 1g SAR (green curve)	1.024
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at P_{limit}	

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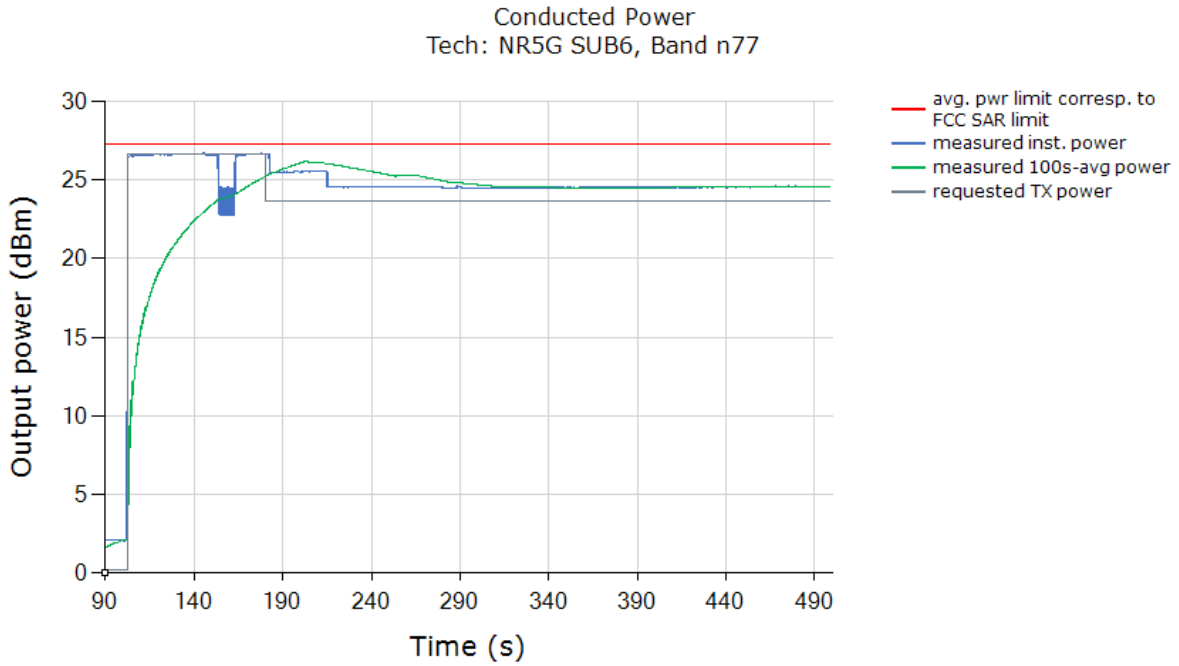
7.3.2 SUB6G N77 DSI0 (Test case 2)

Test result for test sequence 1:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

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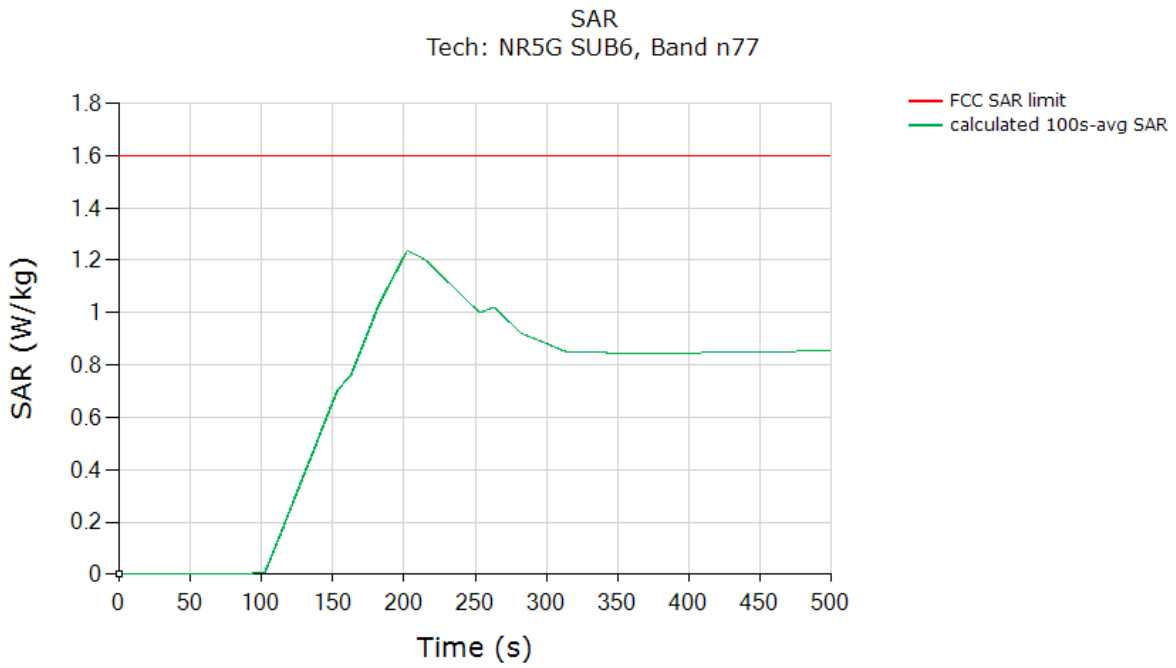

\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	1.237
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

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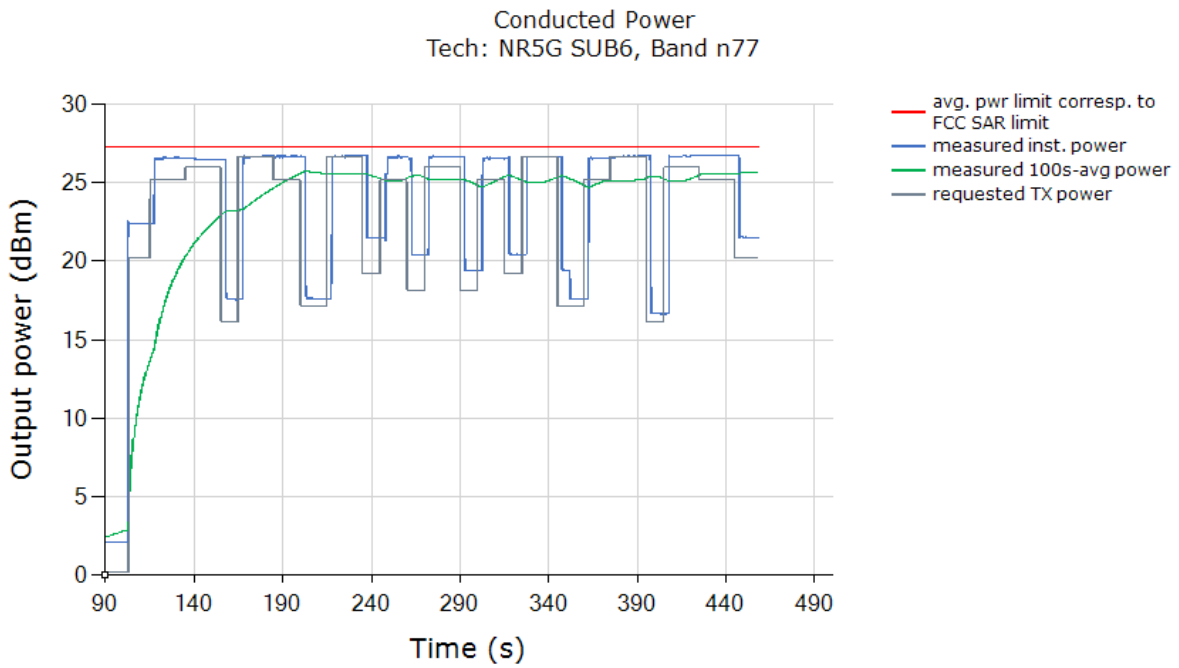
Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

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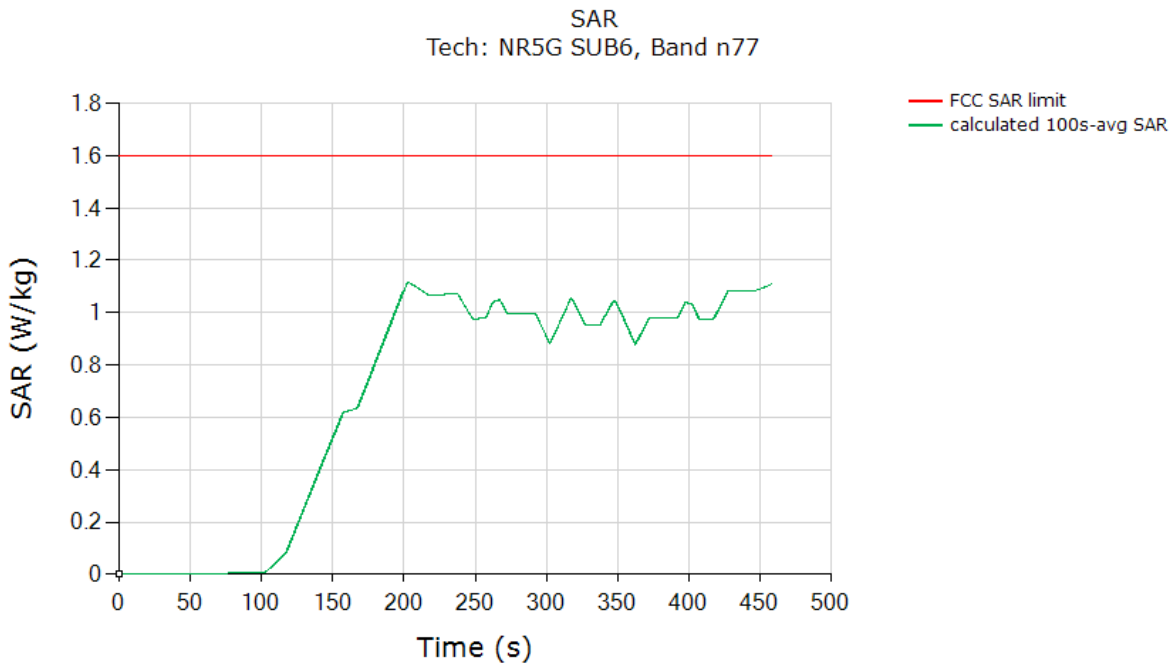

\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	1.117
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

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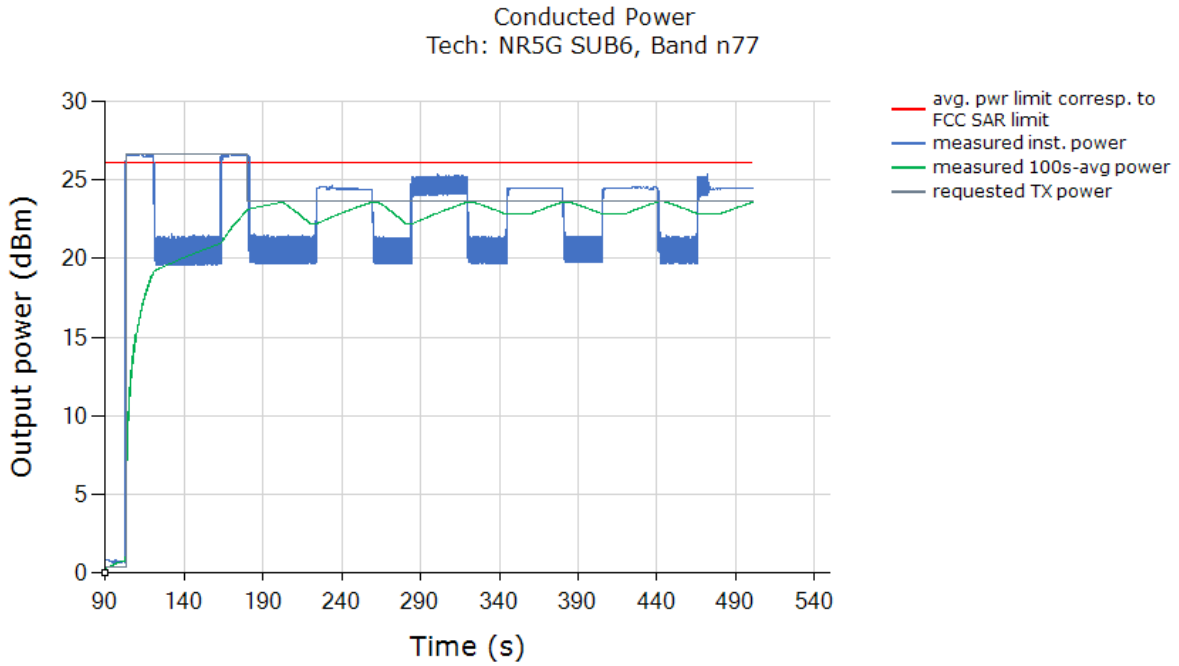
7.3.3 SUB6G N77 DSI1 (Test case 3)

Test result for test sequence 1:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

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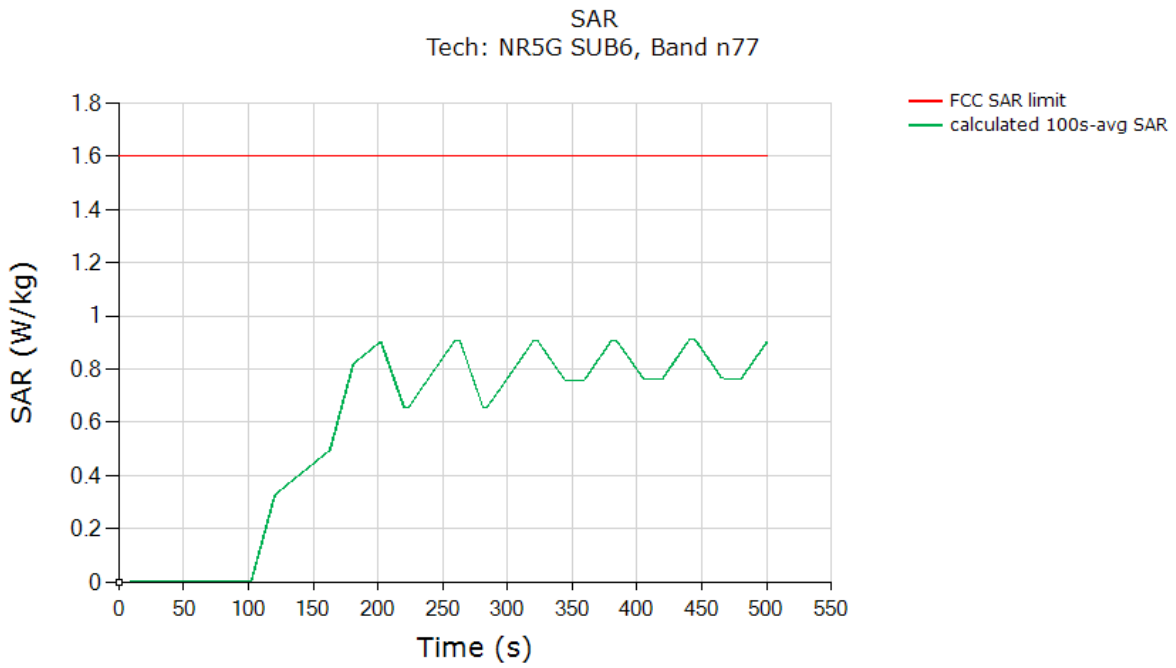

\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.913
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at P_{limit}	

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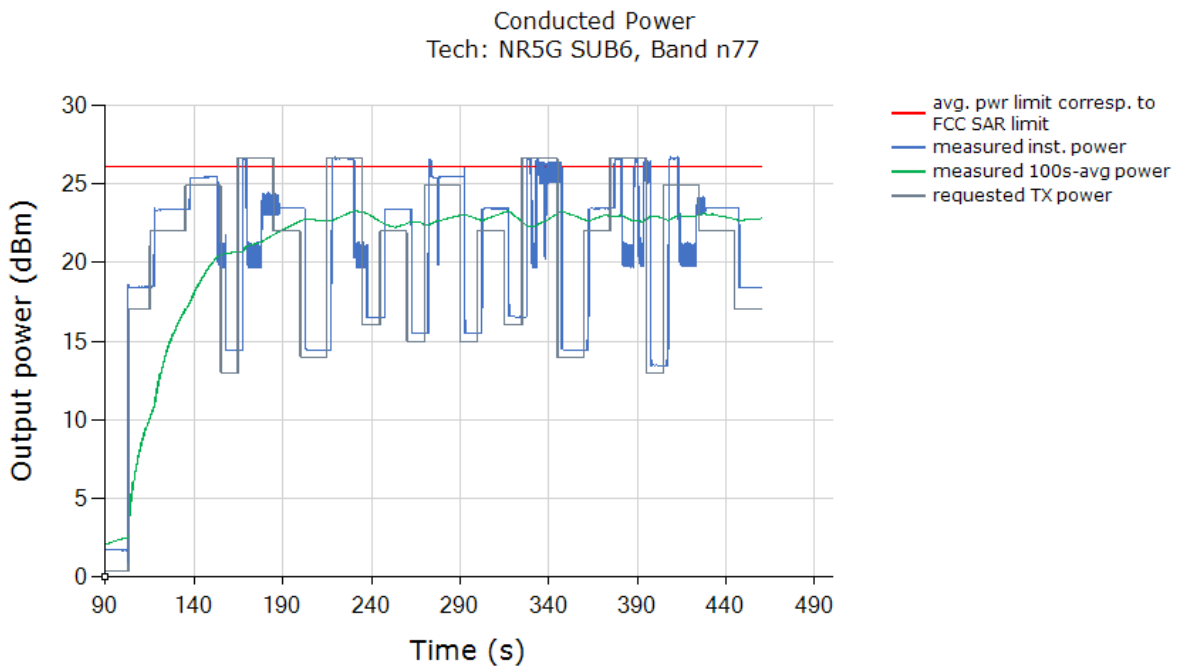
Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

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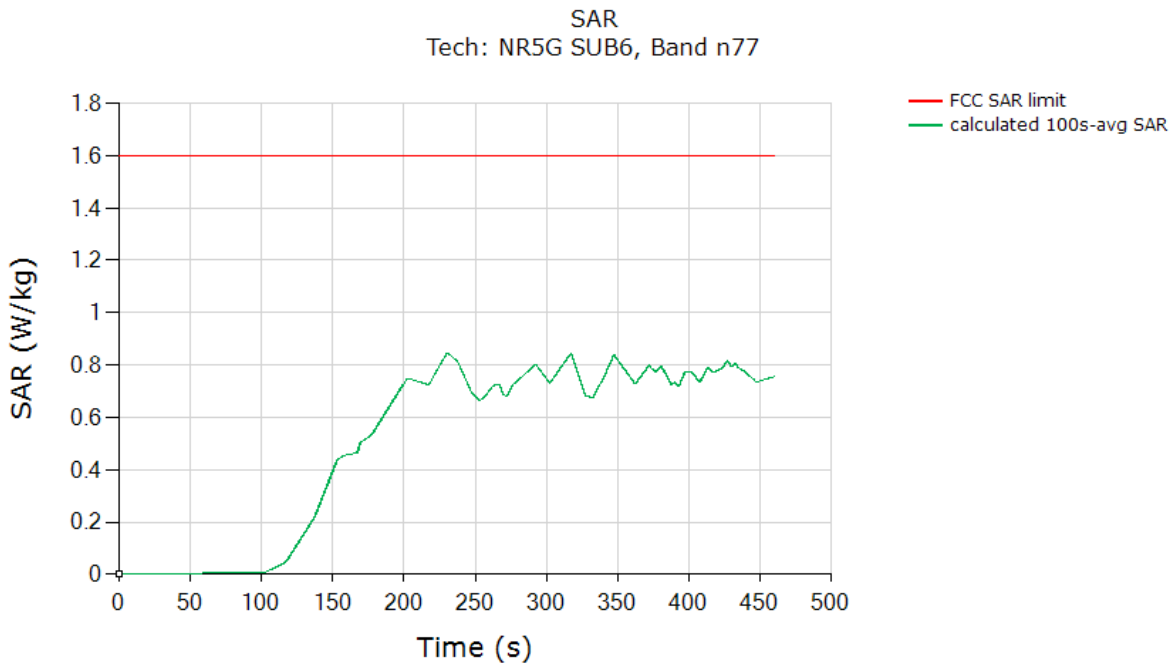

\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.847
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

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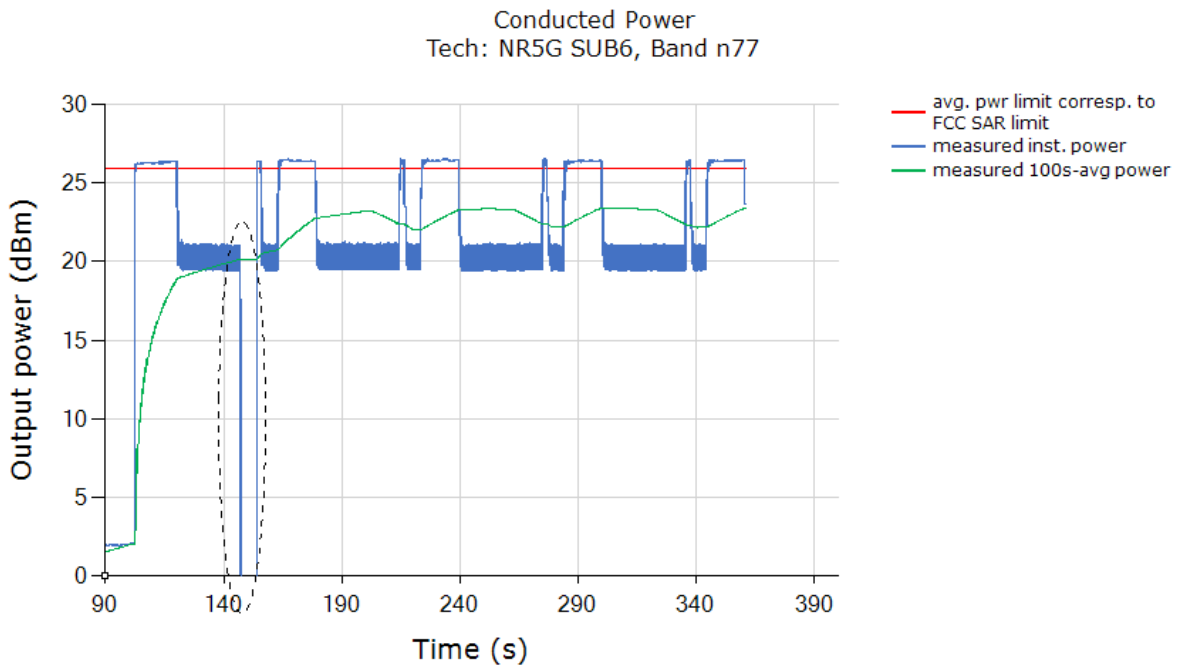
7.4 Change in Call Test Results (Test case 4)

This test was measured with Sub6G n77 and with callbox requesting maximum power. The call drop was manually performed when the EUT is transmitting at $P_{reserve}$ level as shown in the plot below (dotted black region). The measurement setup is shown in Figure 7-1(a) and (c). The detailed test procedure is described in Section 4.3.2.

<u>Call drop test result:</u>

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power kept the same $P_{reserve}$

level of Sub6 n66 after the call was re-established:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

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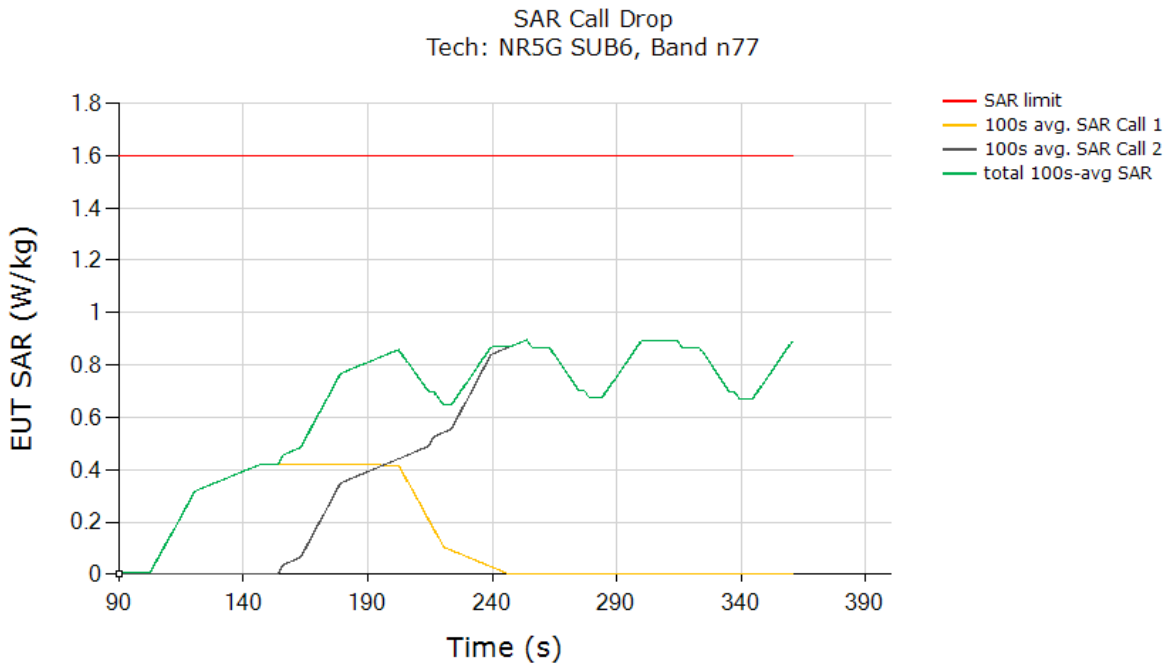

\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.895
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at P_{limit}	

The test result validated the continuity of power limiting in call change scenario.

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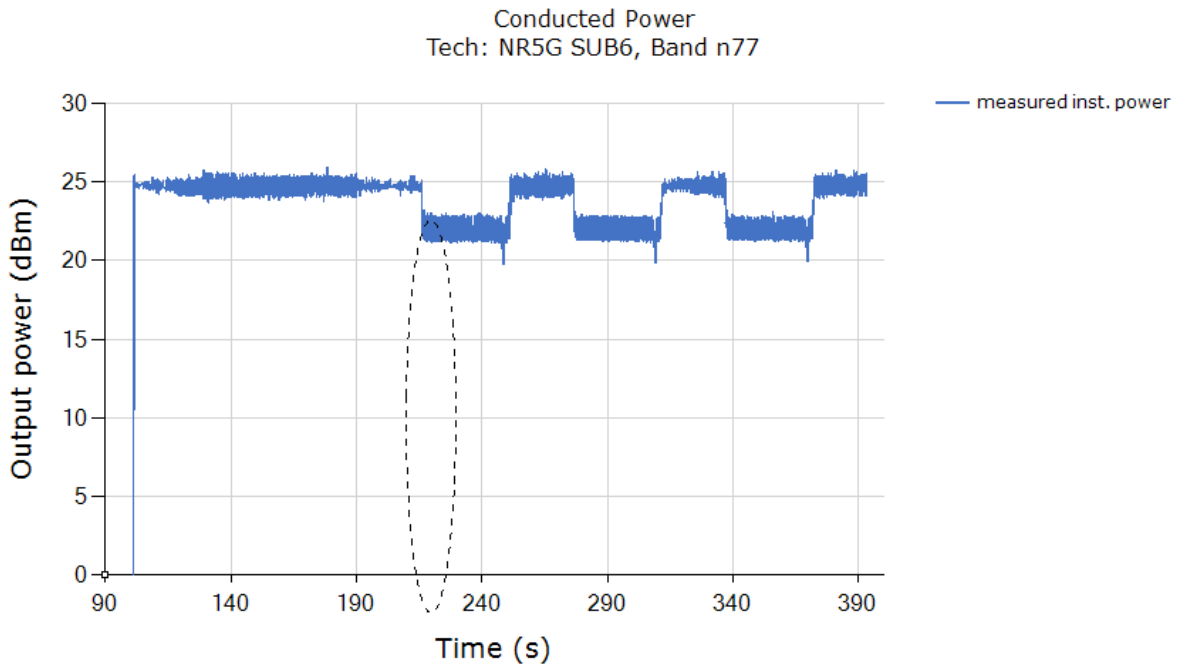
7.5 Change in DSI test results (Test case 5)

This test was conducted with callbox requesting maximum power, and with DSI switch from N77 DSI = 0 to DSI = 1. Following procedure detailed in Section 4.3.4 using the measurement setup shown in Figure 6-1(a) and (c), the DSI switch was performed when the EUT is transmitting at $P_{reserve}$ level as shown in the plot below (dotted black circle).

Test result for change in DSI:

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed when DSI = 0 switches to DSI = 1:



Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time- averaged normalized SAR versus time does not exceed the normalized FCC limit of 1.0:

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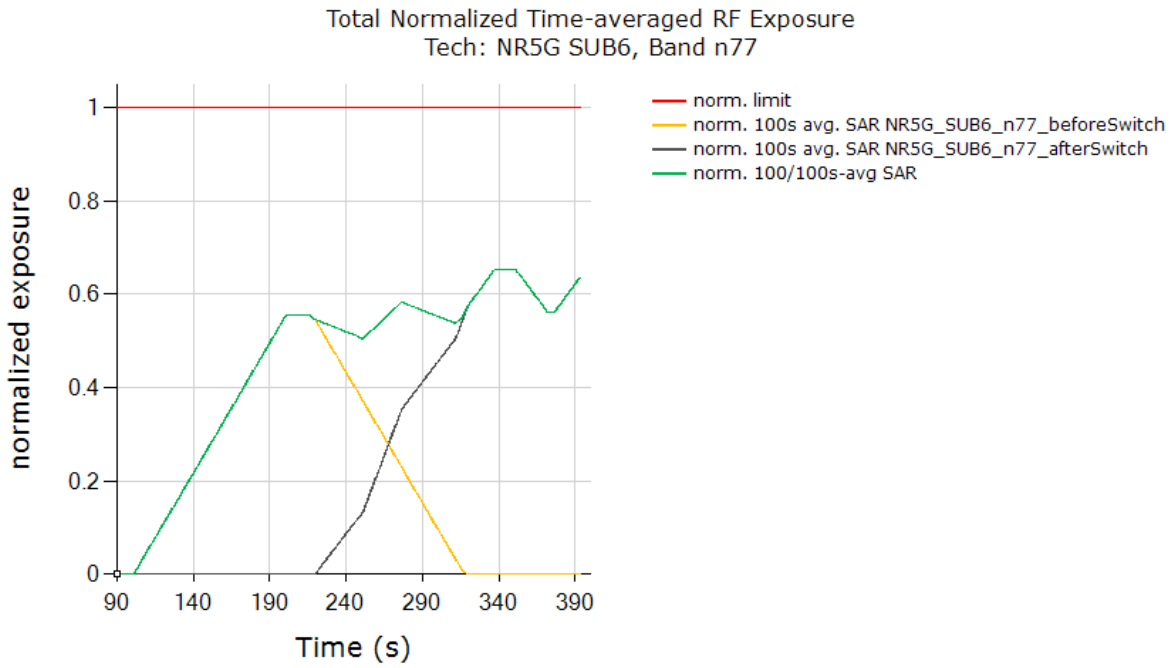

\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.654
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at P_{limit}	

The above test result validated the continuity of power limiting in DSI switch scenario.

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8 SAR Test Results for Sub-6 Smart Transmit Feature

8.1 Measurement setup

The measurement setup is similar to normal SAR measurements. The difference in SAR measurement setup for time averaging feature validation is that the callbox is signaling in close loop power control mode (instead of requesting maximum power in open loop control mode) and callbox is connected to the PC using GPIB so that the test script executed on PC can send GPIB commands to control the callbox's requested power over time (test sequence). The same test script used in conducted setup for time-varying Tx power measurements is also used in this section for running the test sequences during SAR measurements, and the recorded values from the disconnected power meter by the test script were discarded.

As mentioned in Section 4.4, for EUT to follow TPC command sent from the callbox wirelessly, the "path loss" between callbox antenna and the EUT needs to be very well calibrated. Since the SAR chamber is in uncontrolled environment, precautions must be taken to minimize the environmental influences on "path loss". Similarly, in the case of time-varying SAR measurements in Sub6 NR (with LTE as anchor), "path loss" between callbox antenna and the EUT needs to be carefully calibrated for both LTE link as well as for Sub6 NR link.

The EUT is placed in worst-case position according to Table 5-2.

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8.2 SAR measurement results for time-varying Tx power transmission scenario

Following Section 3.4 procedure, time-averaged SAR measurements are conducted using EX3DV4 probe at peak location of area scan over 500 seconds. cDASY6 system verification for SAR measurement is provided in Appendix C, and the associated SPEAG certificates are attached in Appendix D.

SAR probe integration times depend on the communication signal being tested. Integration times used by SPEAG for their probe calibrations can be downloaded from here (integration time is listed on the bottom of the first page for each tech):

https://www.speag.com/assets/downloads/services/cs/UIDSummary171205.pdf

Since the sampling rate used by cDASY6 for pointSAR measurements is not in user control, the number of points in 100s or 60s interval is determined from the scan duration setting in cDASY6 time-average pointSAR measurement by (100s or 60s / cDASY6_scan_duration * total number of pointSAR values recorded). Running average is performed over these number of points in excel spreadsheet to obtain 100s-/60s-averaged pointSAR.

Following Section 4.4, for each of selected technology/band (listed in Table 5-2):

1 With Reserve_power_margin set to 0 dB, area scan is performed at Plimit, and time-averaged pointSAR measurements are conducted to determine the pointSAR at Plimit at peak location, denoted as pointSARPlimit.

2 With Reserve_power_margin set to actual (intended) value, two more time-averaged pointSAR measurements are performed at the same peak location for test sequences 1 and 2.

To demonstrate compliance, all the pointSAR measurement results were converted into 1gSAR or 10gSAR values by using Equation (3a), rewritten below:

$$1g_or_10gSAR(t) = \frac{pointSAR(t)}{pointSAR_P_{limit}} * 1g_or_10gSAR_P_{limit} \qquad (3a)$$

where, $pointSAR(t)$, $pointSAR_Plimit$, and $1g_or_10gSAR_Plimit$ correspond to the measured instantaneous point SAR, measured point SAR at Plimit from above step 1 and 2, and measured 1gSAR or 10gSAR values at Plimit obtained from Part 1 report and listed in Table 5-2 in Section 5.1 of this report.

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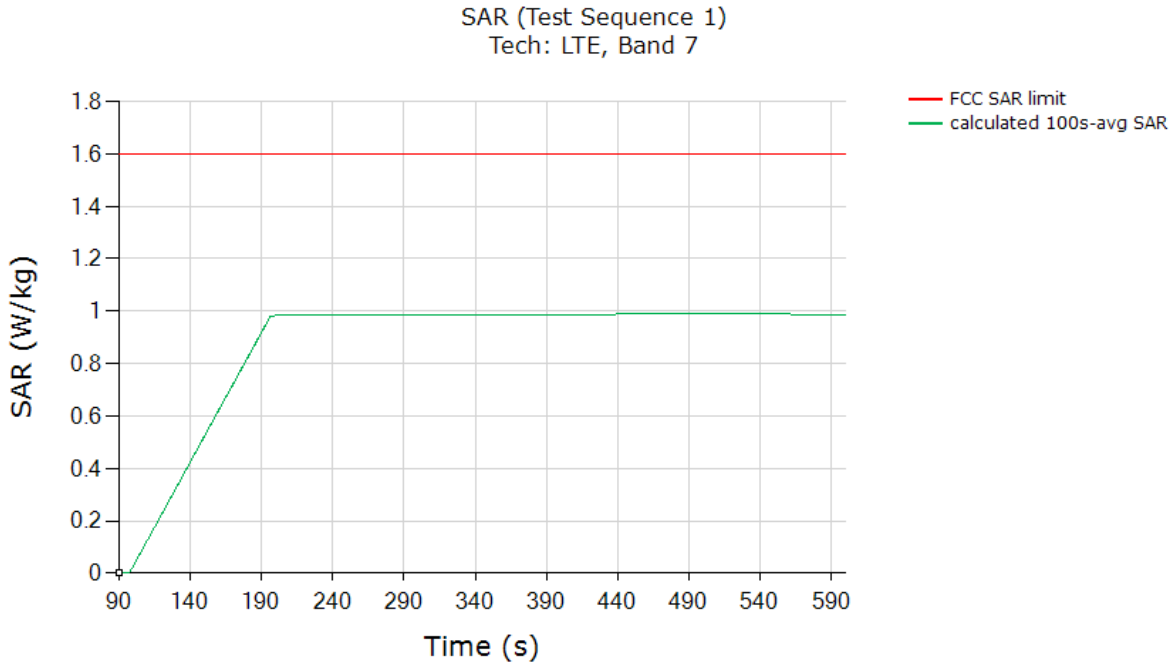
8.2.1 LTE B7 SAR test results (Test case 1)

SAR test result for test sequence 1:



\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.989
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

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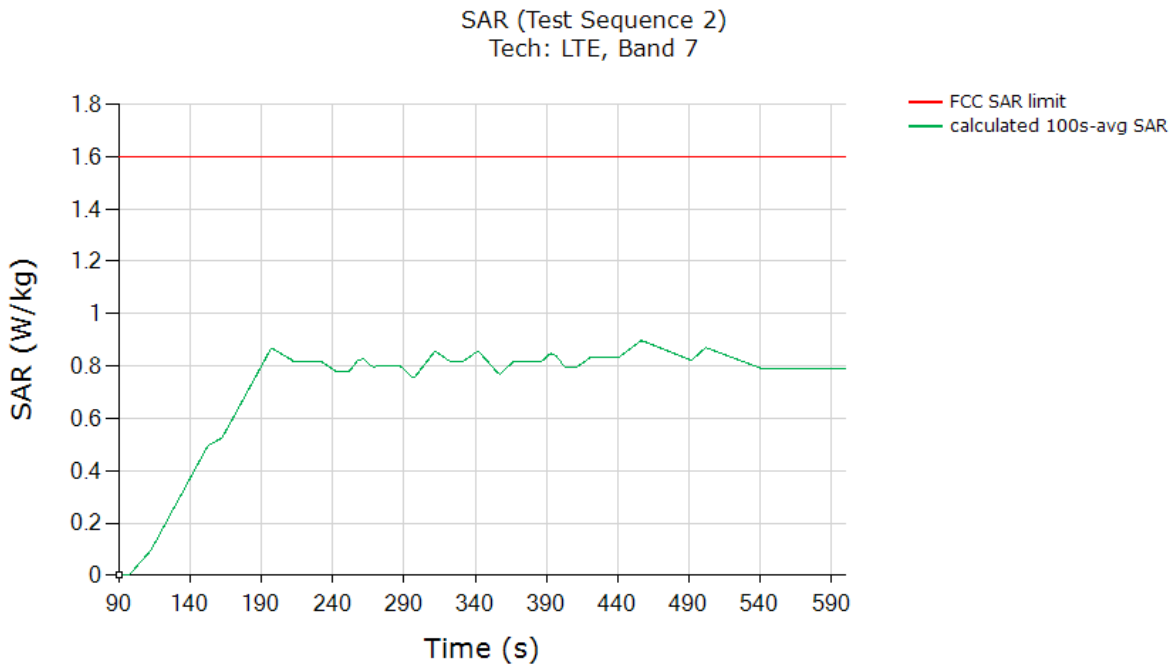
Test result for test sequence 2:



\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.896
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

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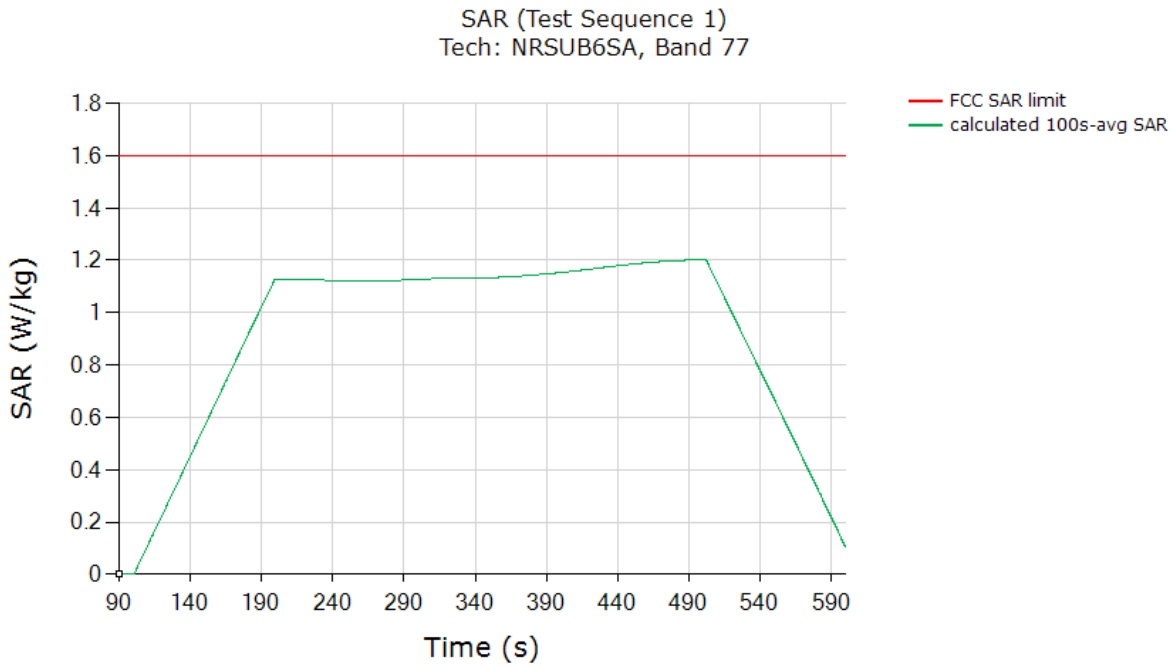
8.2.2 SUB6G N77 SAR test results (Test case 2)

SAR test result for test sequence 1:



\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	1.203
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at P_{limit}	

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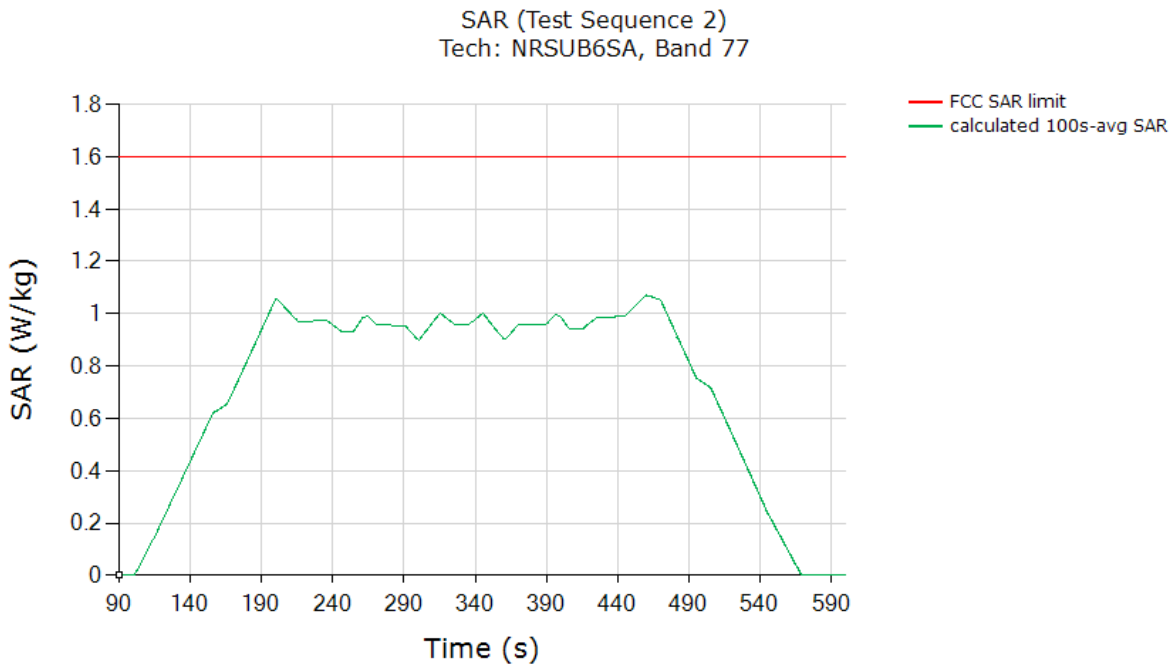
Test result for test sequence 2:



\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	1.070
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at P_{limit}	

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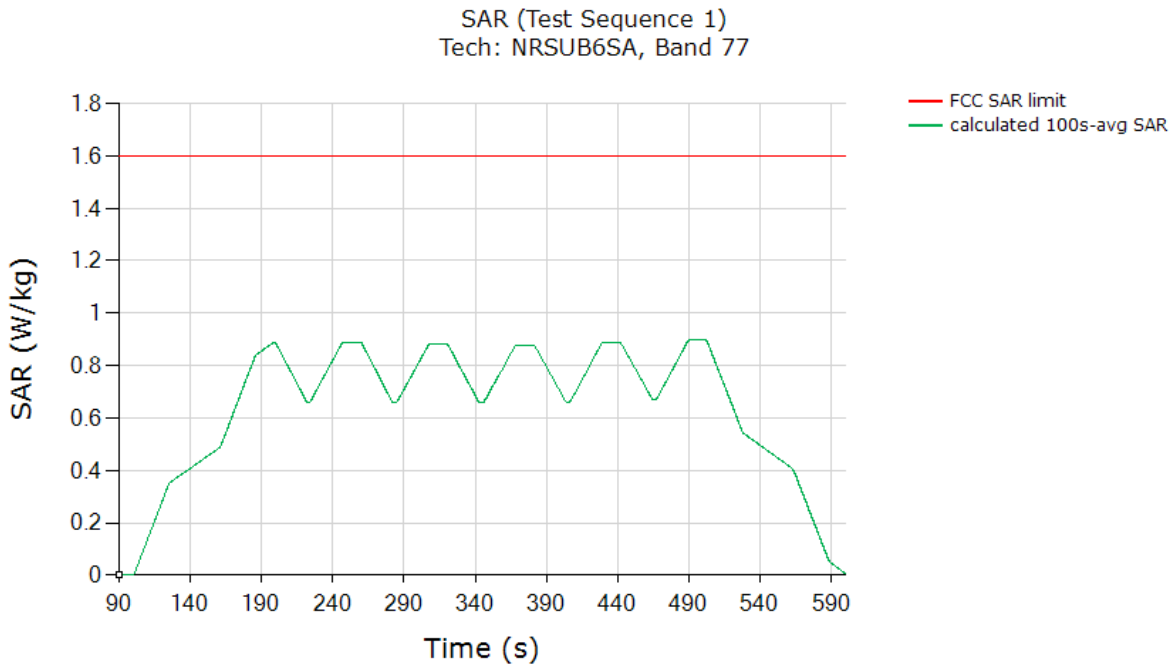
8.2.3 SUB6G N77 SAR test results (Test case 3)

SAR test result for test sequence 1:



\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.897
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at P_{limit}	

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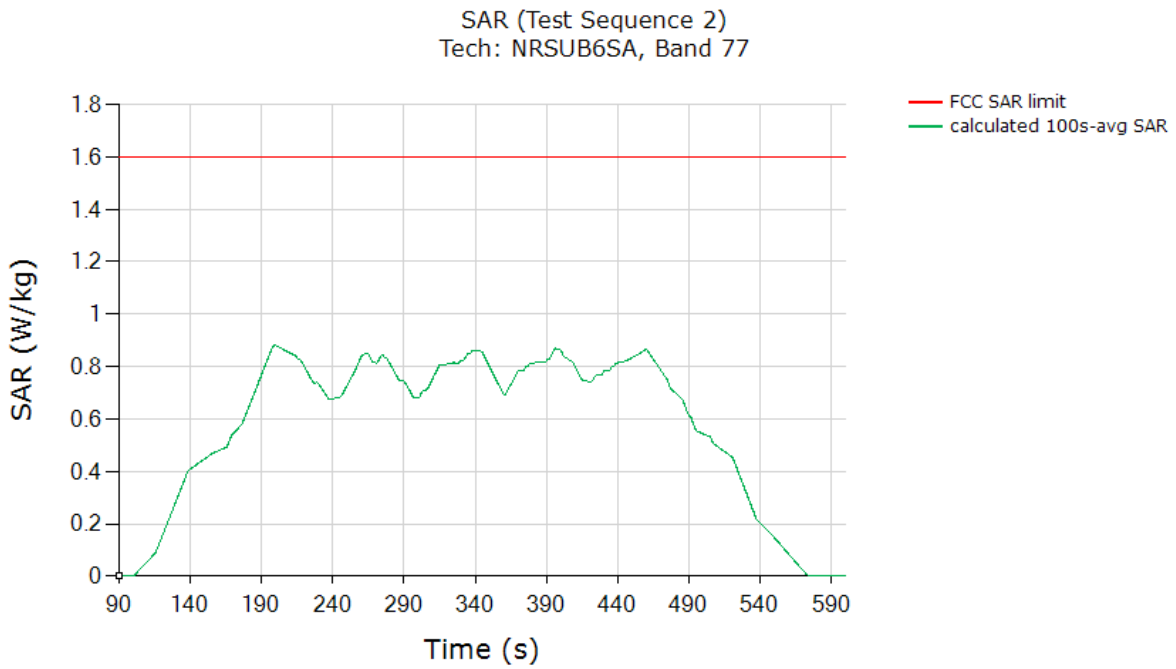
Test result for test sequence 2:



\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.881
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at P_{limit}	

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9 Radiated Power Test Results for mmW Smart Transmit Feature Validation

9.1 Measurement setup

The Keysight Technologies E7515B UXM callbox is used in this test. The test setup is shown in Figure 8-1a and the schematic of the setup is shown in Figure 8-1b (see Appendix E for missing figures). The UXM callbox has two RF radio heads to up/down convert IF to mmW frequencies, which in turn are connected to two horn antennas for V- and H-polarizations for downlink communication. In the uplink, a directional coupler is used in the path of one of the horn antennas to measure and record radiated power using a Rohde & Schwarz NR50S power sensor. Note here that the isolation of the directional coupler may not be sufficient to attenuate the downlink signal from the callbox, which will result in high noise floor masking the recording of radiated power from EUT. In that case, either lower the downlink signal strength emanating from the RF radio heads of callbox or add an attenuator between callbox radio heads and directional coupler. Additionally, note that since the measurements performed in this validation are all relative, measurement of EUT's radiated power in one polarization is sufficient. The EUT is placed inside an anechoic chamber with V- and H-pol horn antennas to establish the radio link as shown in Figure 8-1. The callbox's LTE port is directly connected to the EUT's RF port via a directional coupler to measure the EUT's conducted Tx power using a Rohde & Schwarz NR8S power sensor. Additionally, EUT is connected to the PC via USB connection for sending beam switch command. Care is taken to route the USB cable and RF cable (for LTE connection) away from the EUT's mmW antenna modules.

Setup in Figure 9-1 is used for the test scenario 1, 5 and 6 described in Section 3. The test procedures described in Section 5 are followed. The path losses from the EUT to both the power meters are calibrated and used as offset in the power meter.

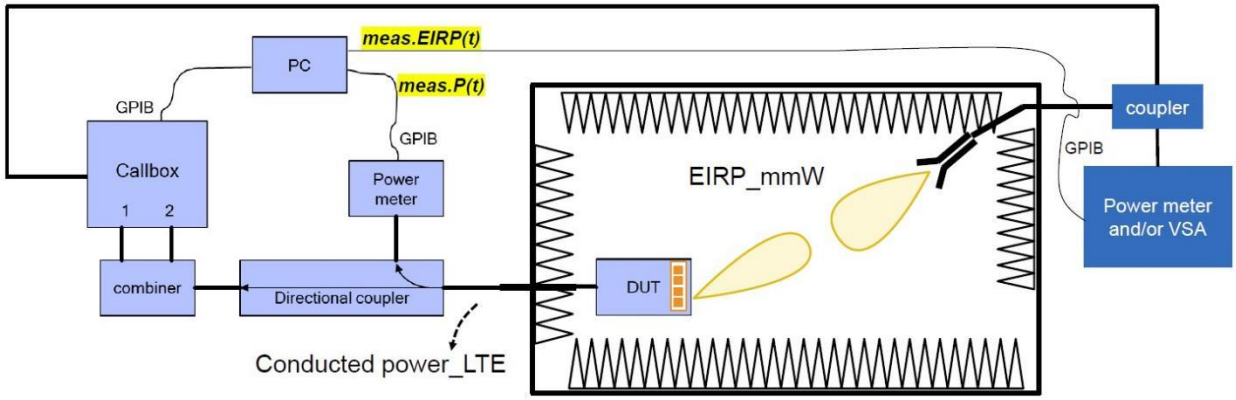

Figure 9-1 mmW NR radiated power measurement setup

Both the callbox and power meters are connected to the PC using USB cables. Test scripts are custom made for automation of establishing LTE + mmW call, conducted Tx power recording for LTE and radiated Tx power recording for mmW. These tests are manually stopped after desired time duration. Test script is programmed to set LTE Tx power to all-down bits on the callbox immediately after the mmW link is established, and programmed to set toggle between all-up and all-down bits depending on the transmission scenario being evaluated. Similarly, test script is also programmed to send beam

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switch command manually to the EUT via USB connection. For all the tests, the callbox is set to request maximum Tx power in mmW NR radio from EUT all the time.

Test configurations for this validation are detailed in Section 6.2. Test procedures are listed in Section 5.3.

9.2 mmW NR radiated power test results

To demonstrate the compliance, the conducted Tx power of LTE Band 5 in DSI = 0 is converted to 1gSAR exposure by applying the corresponding worst-case 1gSAR value at *Plimit* as reported in Part 1 report and listed in Table 6-2 of this report.

Similarly, following Step 4 in Section 5.3.1, radiated Tx power of mmW Band n261, n257 and n260 for the beams tested is converted by applying the corresponding worst-case 4cm^{2}PD values from Part 1 report, and listed in below Table 9-1. Qualcomm Smart Transmit feature operates based on time-averaged Tx power reported on a per symbol basis, which is independent of modulation, channel and bandwidth (RBs), therefore the worst-case 4cm^{2}PD was conducted with the EUT in FTM mode, with CW modulation and 100% duty cycle. cDASY6 system verification for power density measurement is provided in Appendix C, and the associated SPEAG certificates are attached in Appendix D.

Both the worst-case 1gSAR and 4cm^{2}PD values used in this section are listed in Table 9-1. The measured EIRP at *input.power.limit* for the beams tested in this section are also listed in Table 9-1.

Table 9-1: Worst-case 1gSAR, 4cm^2 avg. PD and EIRP measured at *input.power.limit* for the selected configurations

Tech	Band	Antenna	Beam ID	Input power limit (dBm)	Meas. 4cm^2 PD		Meas.EIPR at Input power limit (dBm)
					at Input power limit(W/m^2)	configuration	
mmW NR	N260	Module0	31	6.8	8.42	Front	13.8
			5	13.5	5.57	Front	8.00
mmW NR	N261	Module0	41	5.3	5.33	Front	7.69
			31	5.9	6.17	Front	16.2
			9	12.9	5.28	Front	11.7

Tech	Band	Antenna	DSI	Meas.1g SAR		configuration
				Meas. Plimit (dBm)	At Plimit(W/kg)	
LTE	5	1	1	23.63	0.671	Front

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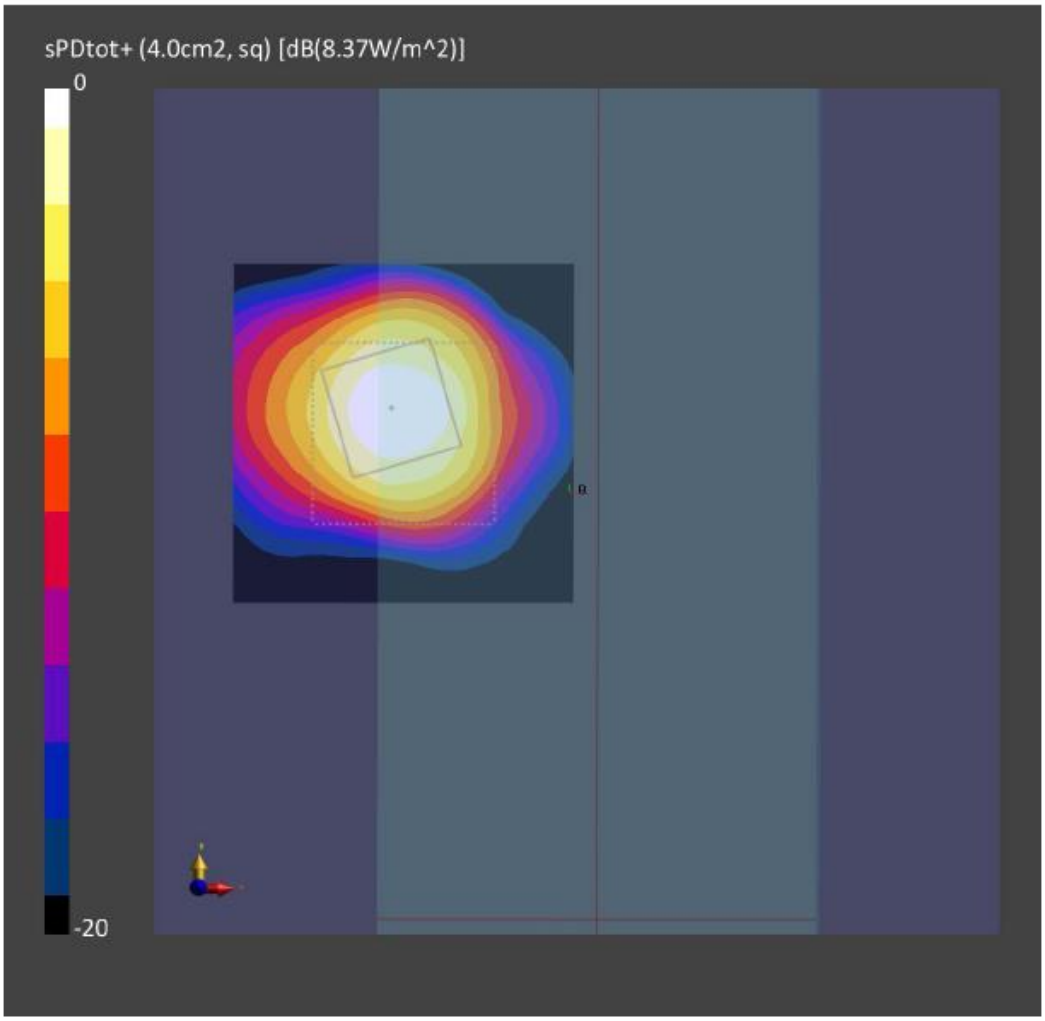

Figure 9-3: 4cm2-averaged power density distribution measured at *input.power.limit* of 6.8dBm on the back surface for n260 beam 31

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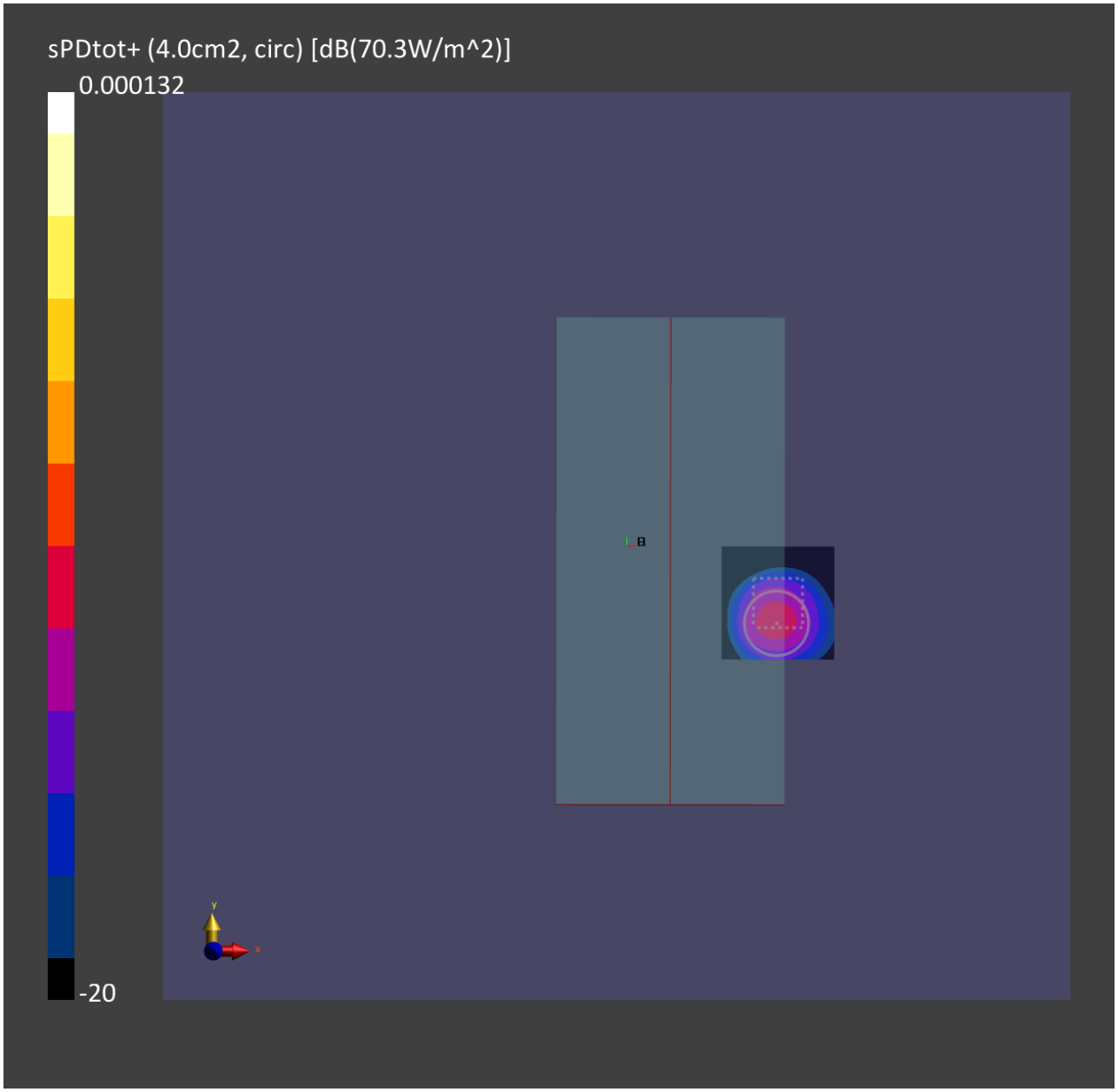

Figure 9-4: 4cm2-averaged power density distribution measured at *input.power.limit* of 5.9dBm on the back surface for n261 beam 31

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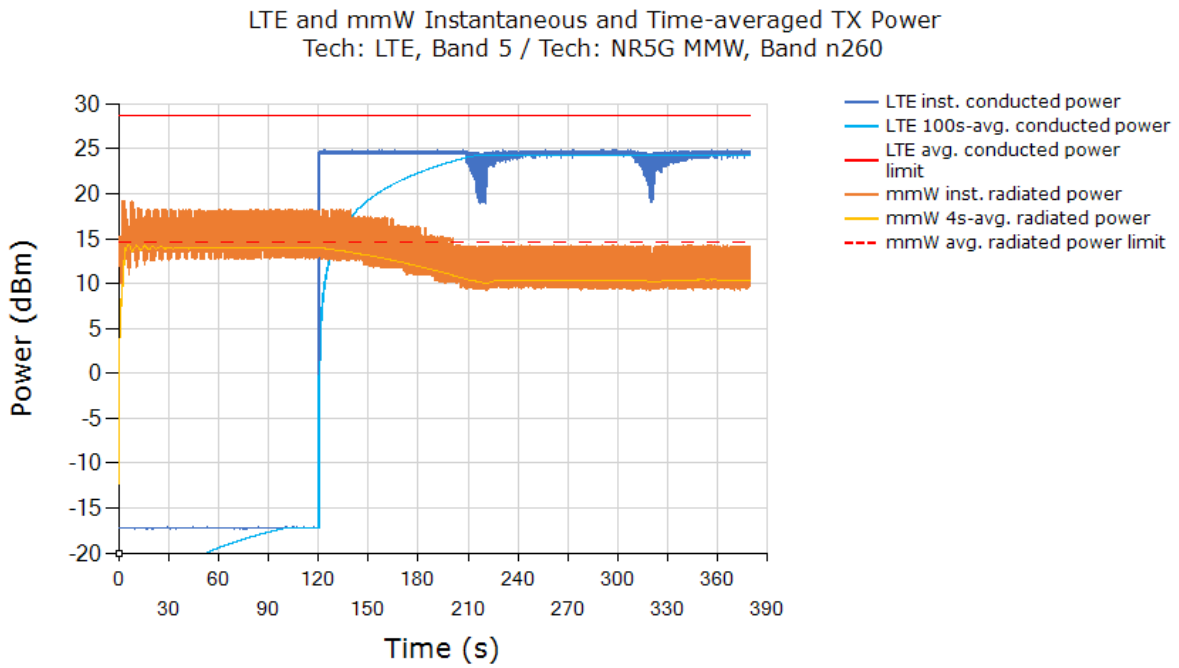
9.2.1 Maximum Tx power test results for n260

This test was measured with LTE Band 5 (DSI=1) and mmW Band n261 Beam ID 31, by following the detailed test procedure described in Section 5.3.1

Instantaneous and 100s-averaged conducted LTE Tx power versus time, instantaneous and 4s-averaged radiated mmW Tx power versus time, time-averaged conducted LTE Tx power limit and time-averaged radiated mmW Tx power limit:



Above time-averaged conducted Tx power for LTE B5 and radiated Tx power for mmW NR n260 beam 31 are converted into time-averaged 1gSAR and time-averaged 4cm^{2}PD using Equation (2a) and (2b), which are divided by FCC 1gSAR limit of 1.6 W/kg and 4cm^{2}PD limit of 10 W/m^2, respectively, to obtain normalized exposures versus time.
Below plot shows (a) normalized time-averaged 1gSAR versus time, (b) normalized time-averaged 4cm2-avg.PD versus time, (c) sum of normalized time-averaged 1gSAR and normalized time-averaged 4cm^2-avg.PD:

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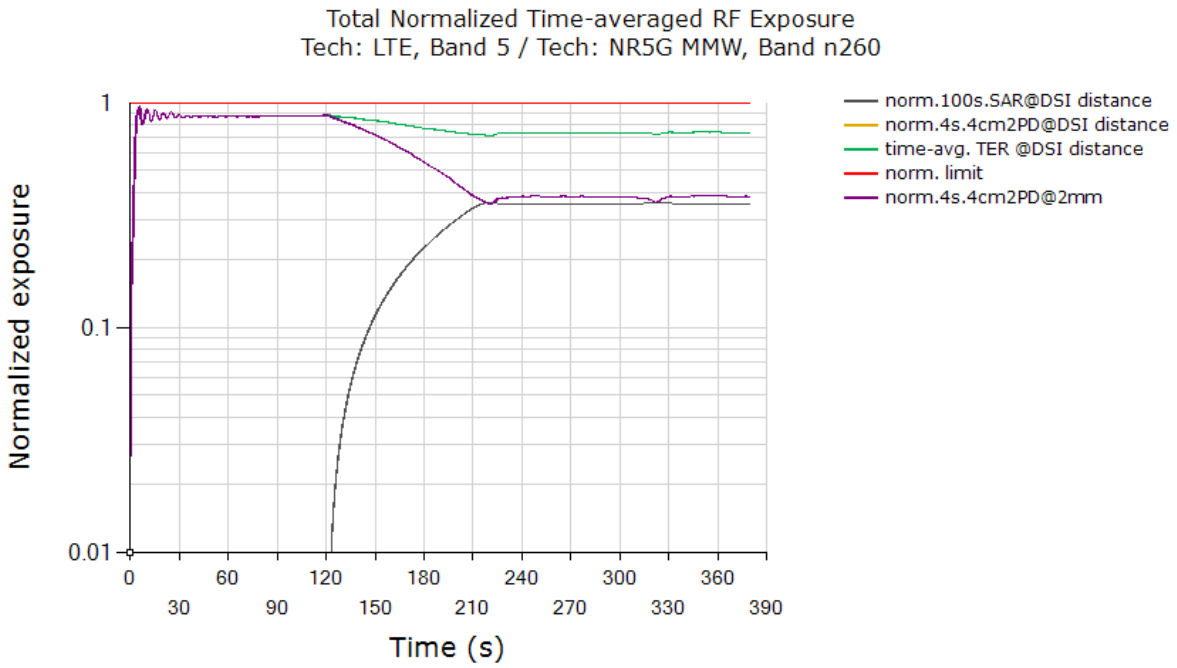

\	Exposure ratio
FCC requirement for total RF exposure (normalized)	1.0
Max total normalized time-averaged RF exposure (green curve)	0.969
Validated	

Plot notes:
5G mmW NR call was established at ~0s time mark and LTE was placed in all-down bits immediately after 5G mmW NR call was established. Between 0s~120s, mmW exposure is the dominant contributor. Here, Smart Transmit feature allocates a maximum of 100% for mmW. From Table 9-1, this corresponds to a normalized $4cm^2$PD exposure value for Beam ID 31 of 8.42 W/m^2/(10 W/m^2) = 84.2% ±1dB device related uncertainty (see green/orange curve between 0s~120s). At ~120s time mark, LTE is set to all-up bits, taking away margin from mmW exposure gradually. Towards the end of test, LTE is the dominant contributor towards RF exposure, i.e., corresponding normalized 1gSAR exposure value of (100% * 0.671 W/kg)/(1.6 W/kg) = 41.93% +"+1.0dB~ -1.0dB" design related uncertainty.

As can be seen, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0. Therefore, Qualcomm® Smart Transmit time averaging feature is validated.

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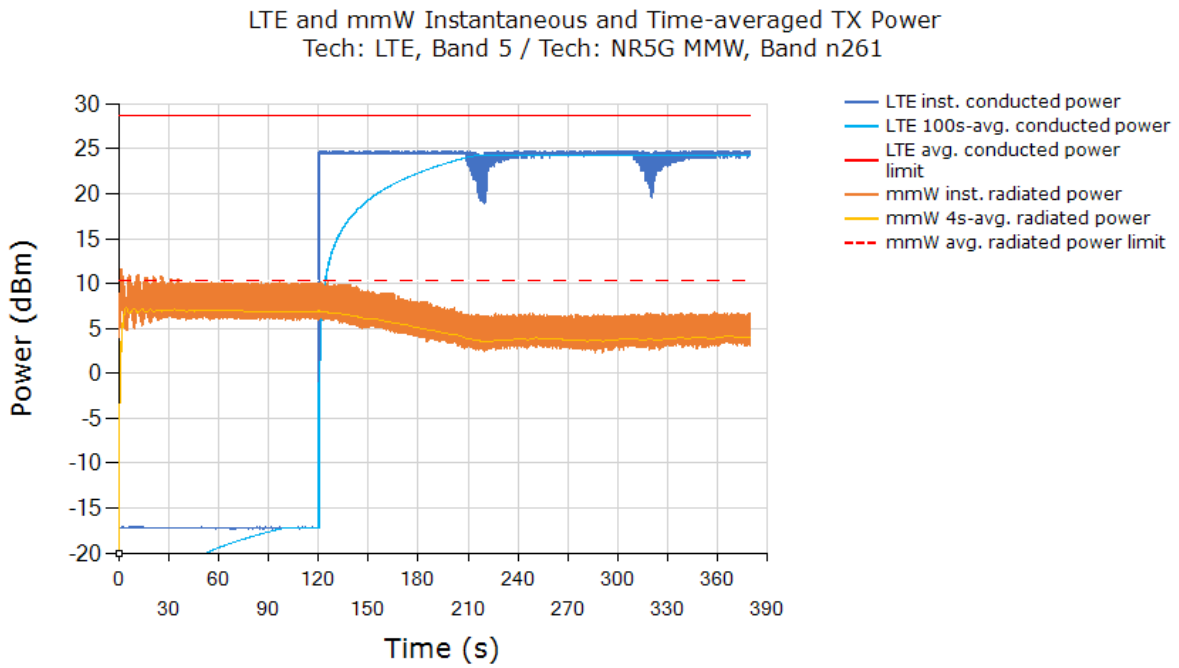
9.2.2 Maximum Tx power test results for n261

This test was measured with LTE Band 5 (DSI=1) and mmW Band n261 Beam ID 41, by following the detailed test procedure described in Section 5.3.1

Instantaneous and 100s-averaged conducted LTE Tx power versus time, instantaneous and 4s-averaged radiated mmW Tx power versus time, time-averaged conducted LTE Tx power limit and time-averaged radiated mmW Tx power limit:



Above time-averaged conducted Tx power for LTE B5 and radiated Tx power for mmW NR n261 beam 41 are converted into time-averaged 1gSAR and time-averaged 4cm^{2}PD using Equation (2a) and (2b), which are divided by FCC 1gSAR limit of 1.6 W/kg and 4cm^{2}PD limit of 10 W/m^2, respectively, to obtain normalized exposures versus time.
Below plot shows (a) normalized time-averaged 1gSAR versus time, (b) normalized time-averaged 4cm2-avg.PD versus time, (c) sum of normalized time-averaged 1gSAR and normalized time-averaged 4cm^2-avg.PD:

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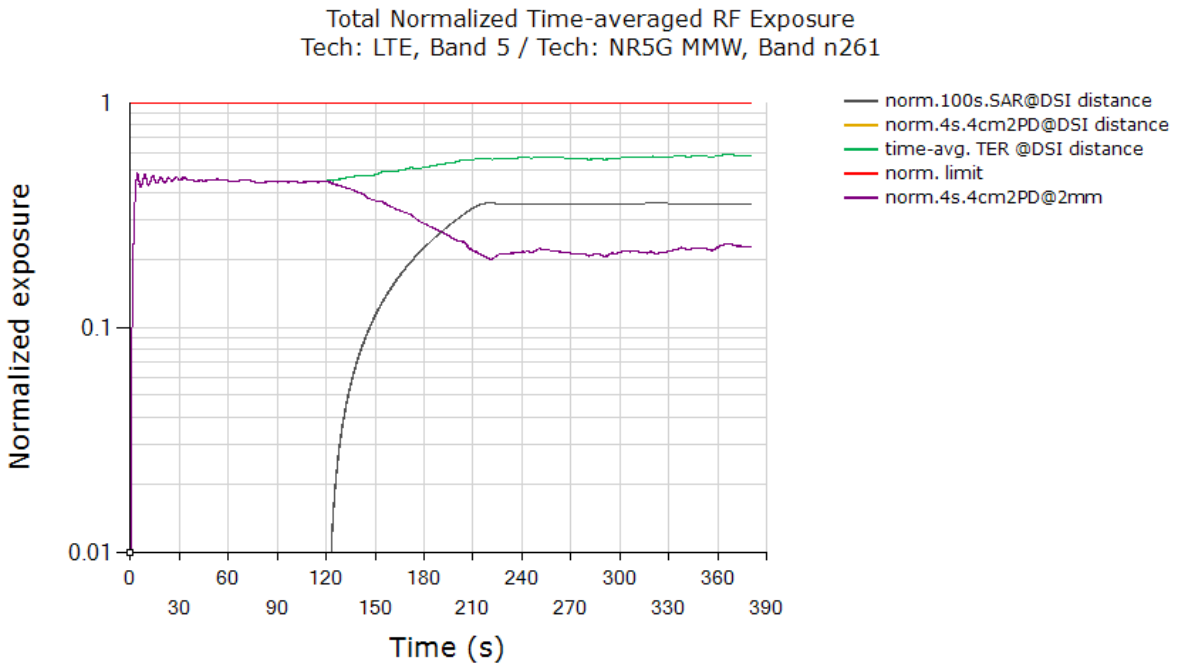

\	Exposure ratio
FCC requirement for total RF exposure (normalized)	1.0
Max total normalized time-averaged RF exposure (green curve)	0.592
Validated	

Plot notes:
5G mmW NR call was established at ~0s time mark and LTE was placed in all-down bits immediately after 5G mmW NR call was established. Between 0s~120s, mmW exposure is the dominant contributor. Here, Smart Transmit feature allocates a maximum of 100% for mmW. From Table 9-1, this corresponds to a normalized $4cm^2$PD exposure value for Beam ID 41 of 5.33 W/m2/(10 W/m2) = 53.3% ±1dB device related uncertainty (see green/orange curve between 0s~120s). At ~120s time mark, LTE is set to all-up bits, taking away margin from mmW exposure gradually. Towards the end of test, LTE is the dominant contributor towards RF exposure, i.e., corresponding normalized 1gSAR exposure value of (100% * 0.671 W/kg)/(1.6 W/kg) = 41.93% +"+1.0dB~ -1.0dB" design related uncertainty.

As can be seen, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0. Therefore, Qualcomm® Smart Transmit time averaging feature is validated.

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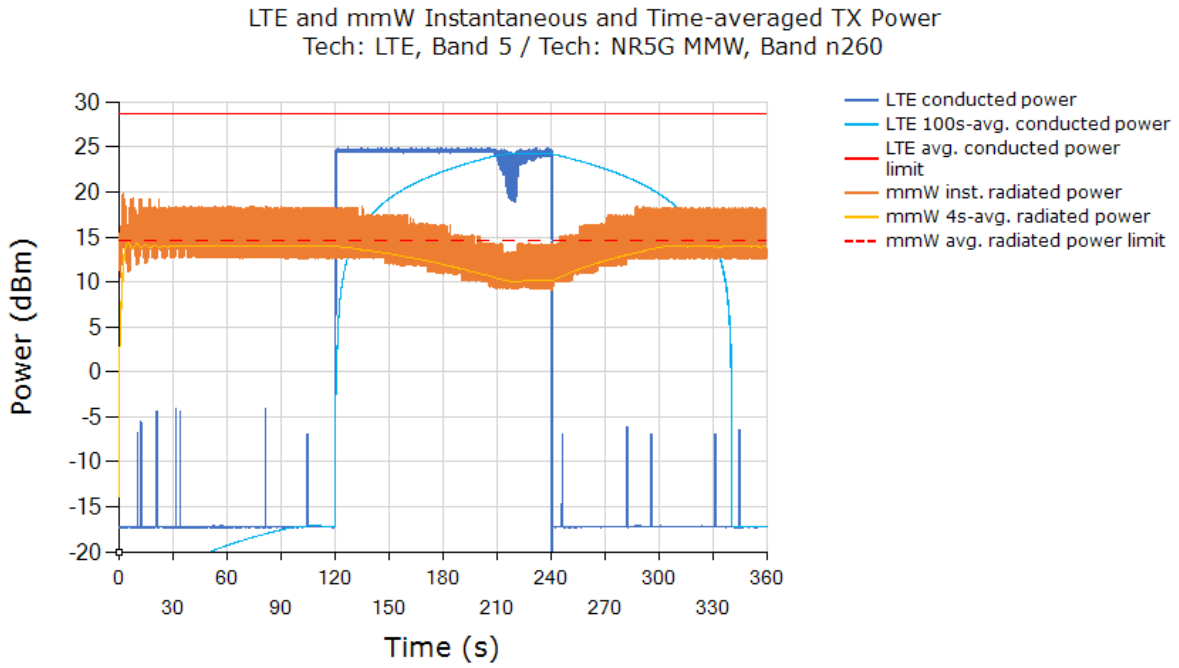
9.2.3 Switch in SAR vs. PD exposure test results for n260

This test was measured with LTE Band 5 (DSI =1) and mmW Band n260 Beam ID 31, by following the detailed test procedure is described in Section 5.3.3.

Instantaneous and 100s-averaged conducted LTE Tx power versus time, instantaneous and 4s-averaged radiated mmW Tx power versus time, time-averaged conducted LTE Tx power limit and time-averaged radiated mmW Tx power limit:



From the above plot, it is predominantly instantaneous PD exposure between 0s ~ 120s, it is instantaneous SAR+PD exposure between 120s ~ 140s, it is predominantly instantaneous SAR exposure between 140s ~ 240s, and above 240s, it is predominantly instantaneous PD exposure.

Normalized time-averaged exposures for LTE (1gSAR) and mmW (4cm^{2}PD), as well as total normalized time-averaged exposure versus time:

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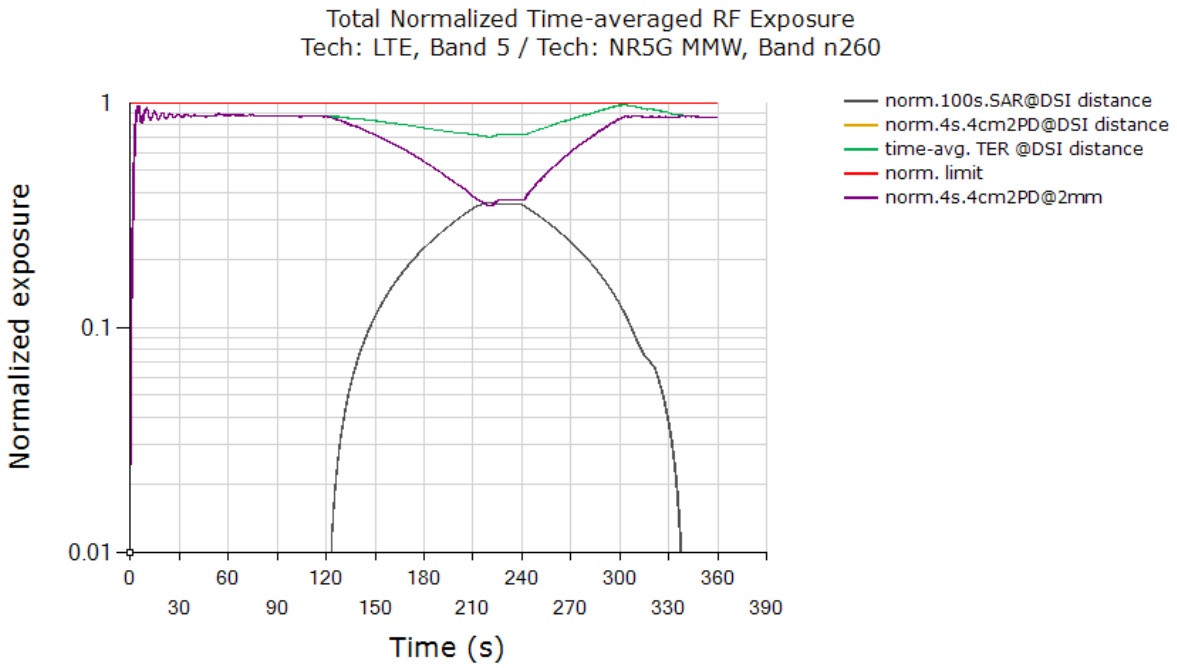

\	Exposure ratio
FCC requirement for total RF exposure (normalized)	1.0
Max total normalized time-averaged RF exposure (green curve)	0.988
Validated	

Plot notes:

5G mmW NR call was established at ~0s time mark and LTE was placed in all-down bits immediately after 5G mmW NR call was established. Between 0s~120s, mmW exposure is the dominant contributor. Here, Smart Transmit feature allocates a maximum of 100% for mmW. From Table 9-1, this corresponds to a normalized 4cm2PD exposure value for Beam ID 31 of 8.42 W/m2/(10 W/m2) = 84.2% ± 1dB device related uncertainty (see orange/green curve between 0s~120s). At ~120s time mark, LTE is set to all-up bits, taking away margin from mmW exposure gradually (orange curve for mmW exposure goes down while black curve for LTE exposure goes up). At ~240s time mark, LTE is set to all-down bits, which results in mmW getting back RF margin slowly as seen by gradual increase in mmW exposure (orange curve for mmW exposure goes up while black curve for LTE exposure goes down). The calculated maximum RF exposure from LTE corresponds to normalized 1gSAR exposure value of (100% * 0.671 W/kg)/(1.6 W/kg) = 41.93%+"+1.0dB~ -1.0dB" design related uncertainty.

As can be seen, the power limiting enforcement is effective during transmission when SAR and PD exposures are switched, and the total normalized time-averaged RF exposure does not exceed 1.0. Therefore, Qualcomm® Smart Transmit time averaging feature is validated.

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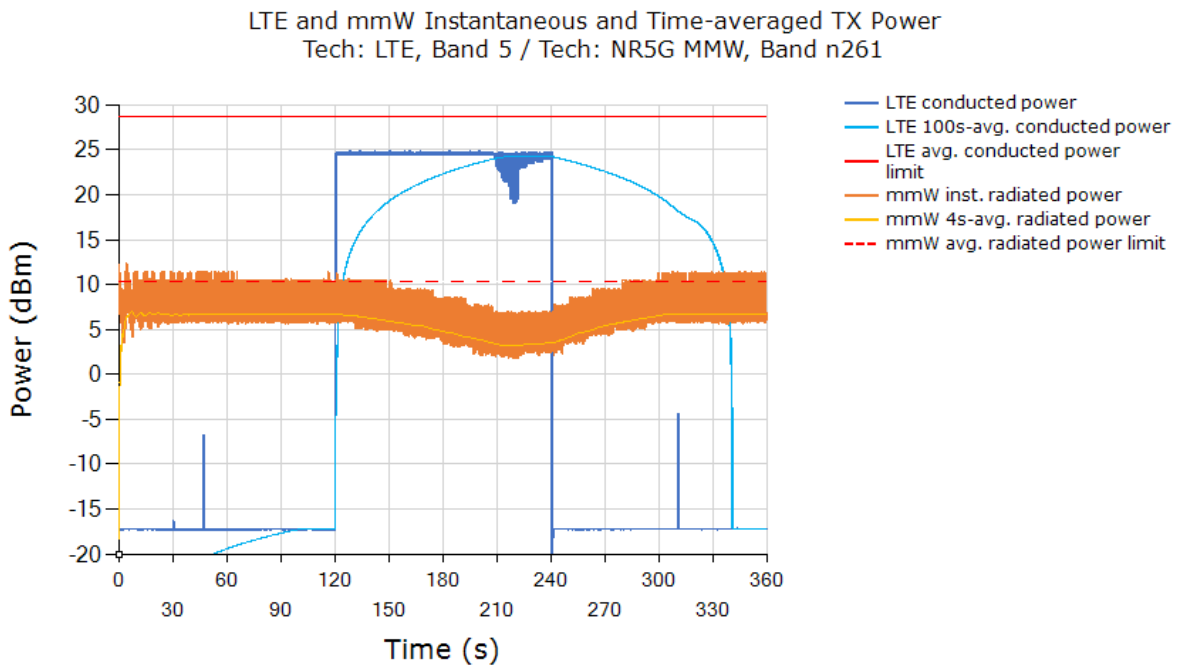
9.2.4 Switch in SAR vs. PD exposure test results for n261

This test was measured with LTE Band 5 (DSI =1) and mmW Band n261 Beam ID 41, by following the detailed test procedure is described in Section 5.3.3.

Instantaneous and 100s-averaged conducted LTE Tx power versus time, instantaneous and 4s-averaged radiated mmW Tx power versus time, time-averaged conducted LTE Tx power limit and time-averaged radiated mmW Tx power limit:



From the above plot, it is predominantly instantaneous PD exposure between 0s ~ 120s, it is instantaneous SAR+PD exposure between 120s ~ 140s, it is predominantly instantaneous SAR exposure between 140s ~ 240s, and above 240s, it is predominantly instantaneous PD exposure.

Normalized time-averaged exposures for LTE (1gSAR) and mmW (4cm^{2}PD), as well as total normalized time-averaged exposure versus time:

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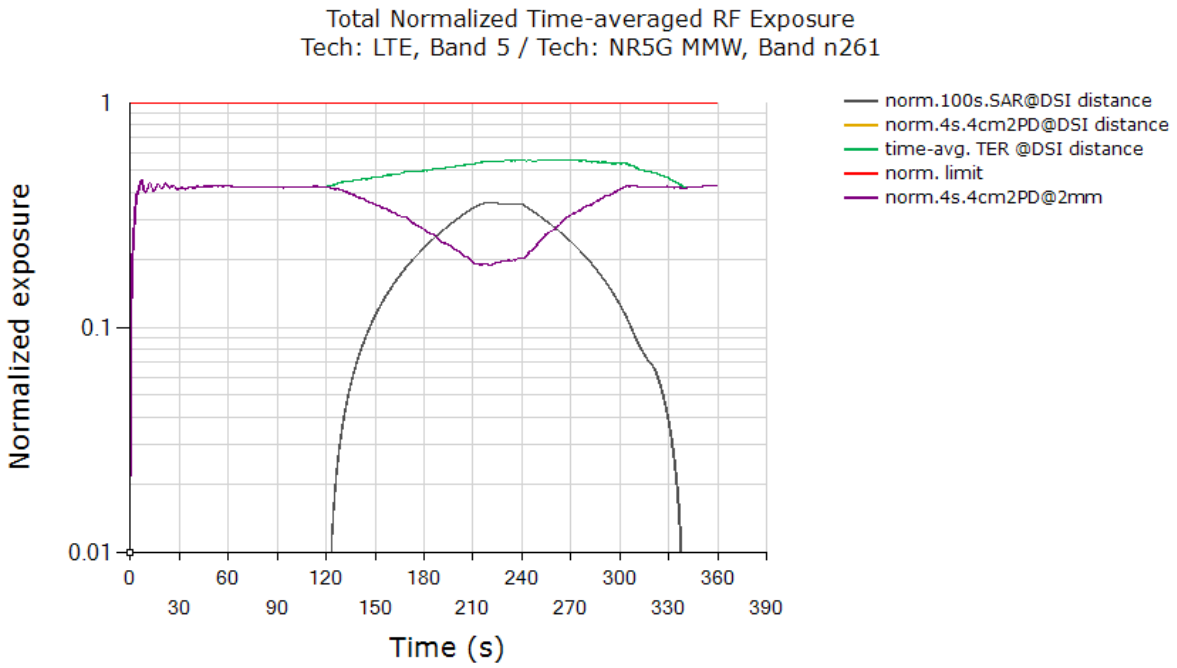

\	Exposure ratio
FCC requirement for total RF exposure (normalized)	1.0
Max total normalized time-averaged RF exposure (green curve)	0.56
Validated	

Plot notes:

5G mmW NR call was established at ~0s time mark and LTE was placed in all-down bits immediately after 5G mmW NR call was established. Between 0s~120s, mmW exposure is the dominant contributor. Here, Smart Transmit feature allocates a maximum of 100% for mmW. From Table 9-1, this corresponds to a normalized 4cm2PD exposure value for Beam ID 41 of 5.33 W/m2/(10 W/m2) = 53.3% ± 1dB device related uncertainty (see orange/green curve between 0s~120s). At ~120s time mark, LTE is set to all-up bits, taking away margin from mmW exposure gradually (orange curve for mmW exposure goes down while black curve for LTE exposure goes up). At ~240s time mark, LTE is set to all-down bits, which results in mmW getting back RF margin slowly as seen by gradual increase in mmW exposure (orange curve for mmW exposure goes up while black curve for LTE exposure goes down). The calculated maximum RF exposure from LTE corresponds to normalized 1gSAR exposure value of (100% * 0.671 W/kg)/(1.6 W/kg) = 41.93%+"+1.0dB~ -1.0dB" design related uncertainty.

As can be seen, the power limiting enforcement is effective during transmission when SAR and PD exposures are switched, and the total normalized time-averaged RF exposure does not exceed 1.0. Therefore, Qualcomm® Smart Transmit time averaging feature is valid

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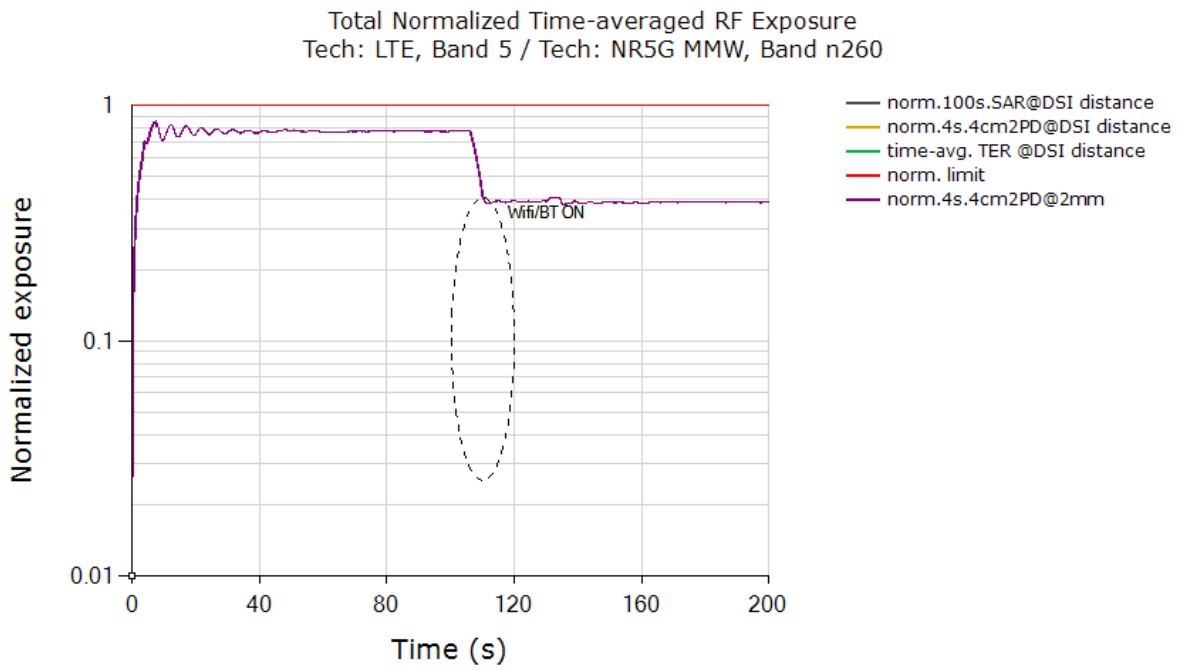
9.2.5 Wifi backoff test results for n260

This test was measured with LTE Band 5 (DSI =1) and mmW Band n260 Beam ID 31, by following the detailed test procedure is described in Section 5.3.4.

Instantaneous and 100s-averaged conducted LTE Tx power versus time, instantaneous and 4s-averaged radiated mmW Tx power versus time, time-averaged conducted LTE Tx power limit and time-averaged radiated mmW Tx power limit:



\	(W/kg)
Max 4s-time averaged normalized 4cm2PD@2mm(purple curve), before WiFi is ON	0.856
Max 4s-time averaged normalized 4cm2PD@2mm(purple curve), after WiFi is ON + 4s	0.406
Validated	

Plot notes:

The delta in normalized time-averaged exposure@2mm before WiFi is ON (t<~100s) and after one time window after WiFi is ON (t>~104s) = 10*Log10(0.856/0.406)= 3.24db,corresponds to the expected QTM module #0 backoff of 3db within+/-2dbdevice related uncertainty.

Therefore,the above test result validated backoff in mmW NR is applied correctly when WiFi is ON.

As can be seen, the power limiting enforcement is effective during transmission when SAR and PD exposures are switched, and the total normalized time-averaged RF exposure does not exceed 1.0.

Therefore, Qualcomm® Smart Transmit time averaging feature is valid

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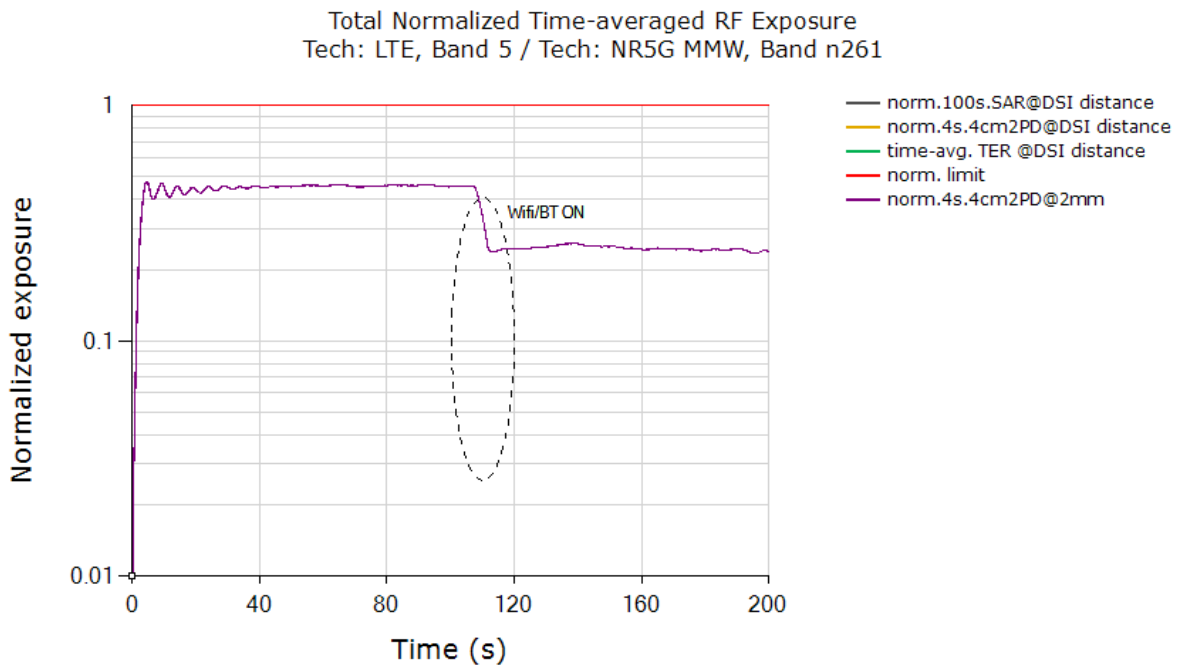
9.2.6 Wifi backoff test results for n261

This test was measured with LTE Band 5 (DSI =1) and mmW Band n261 Beam ID 41, by following the detailed test procedure is described in Section 5.3.4.

Instantaneous and 100s-averaged conducted LTE Tx power versus time, instantaneous and 4s-averaged radiated mmW Tx power versus time, time-averaged conducted LTE Tx power limit and time-averaged radiated mmW Tx power limit:



\	(W/kg)
Max 4s-time averaged normalized 4cm2PD@2mm(purple curve), before WiFi is ON	0.476
Max 4s-time averaged normalized 4cm2PD@2mm(purple curve), after WiFi is ON + 4s	0.259
Validated	

Plot notes:

The delta in normalized time-averaged exposure@2mm before WiFi is ON (t<~100s) and after one time window after WiFi is ON (t>~104s) = 10*Log10(0.476/0.259)= 2.64db,corresponds to the expected QTM module #0 backoff of 3db within+/-2dbdevice related uncertainty.

Therefore,the above test result validated backoff in mmW NR is applied correctly when WiFi is ON.

As can be seen, the power limiting enforcement is effective during transmission when SAR and PD exposures are switched, and the total normalized time-averaged RF exposure does not exceed 1.0.

Therefore, Qualcomm® Smart Transmit time averaging feature is valid

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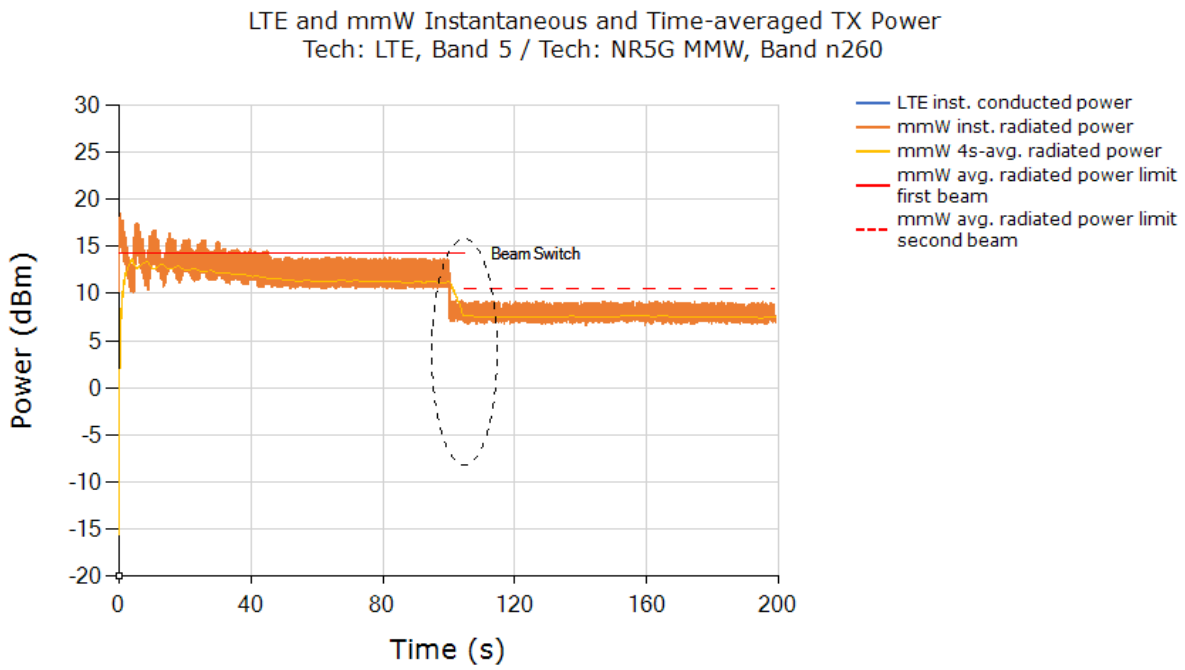
9.2.7 Change in Beam test results for n260

This test was measured with LTE Band 5 (DSI = 1) and mmW Band n260, with beam switch from Beam ID 31 to Beam ID 5, by following the test procedure is described in Section 5.3.2.

Instantaneous conducted LTE Tx power versus time, instantaneous and 4s-averaged radiated mmW Tx power versus time, time-averaged radiated mmW Tx power limits for beam 31 and beam 5:



Normalized time-averaged exposures for LTE and mmW (4cm^{2}PD), as well as total normalized time-averaged exposure versus time:

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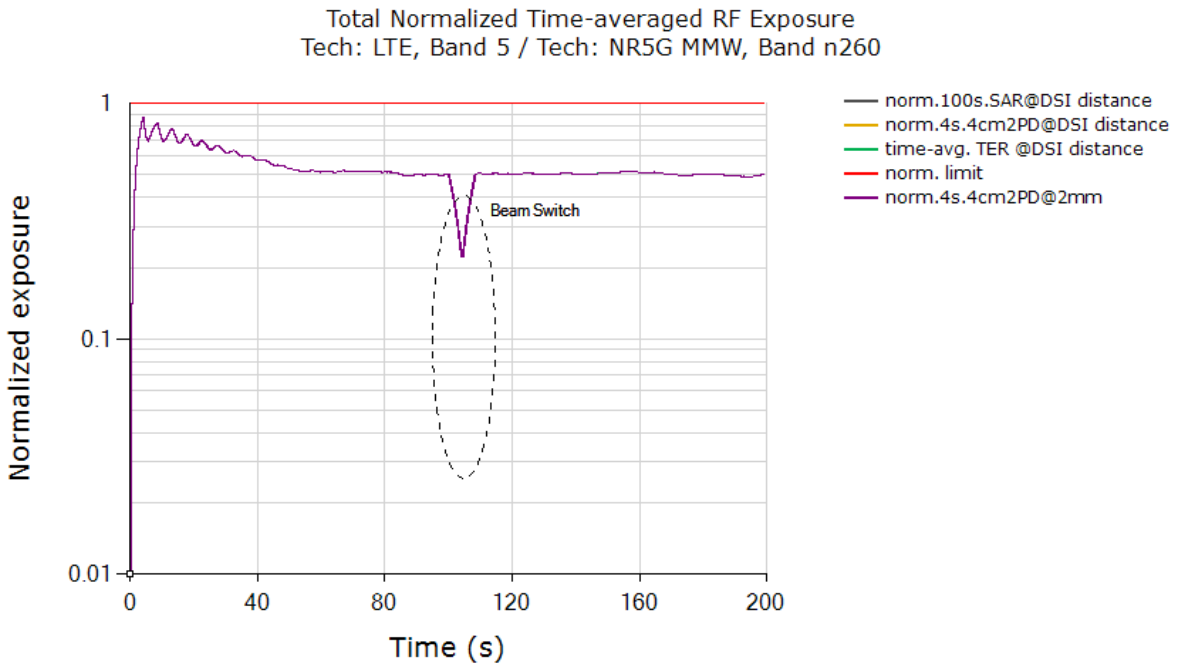

\	Exposure ratio
FCC requirement for total RF exposure (normalized)	1.0
Max total normalized time-averaged RF exposure (green curve)	0.872
Validated	

Plot notes:

5G mmW NR call was established at ~10s time mark and LTE was placed in all-down bits during the test. For this test, mmW exposure is the dominant contributor as LTE is left in all- down bits. Here, Smart Transmit feature allocates a maximum of 100% for mmW. From Table 9-1 exposure between 10s ~100s corresponds to a normalized 4cm^{2}PD exposure value for Beam ID 31 of (8.42 W/m2)/(10 W/m2) =84.2% ± 1dB device related uncertainty. At ~100s time mark (shown in black dotted ellipse), beam was switched to Beam ID 5 resulting in a normalized 4cm2PD exposure value of (5.57 W/m2)/(10 W/m2) = 55.7% ± 1dB device related uncertainty. Additionally, during the switch, the ratio between the averaged radiated powers of the two beams (yellow curve) should correspond to the difference in EIRPs measured at each corresponding input.power.limit for these beams listed in Table 8-1, i.e., 5.8 dB ± 1dB device uncertainty.

As can be seen, the power limiting enforcement is effective during transmission when SAR and PD exposures are switched, and the total normalized time-averaged RF exposure does not exceed 1.0. Therefore, Qualcomm® Smart Transmit time averaging feature is validated

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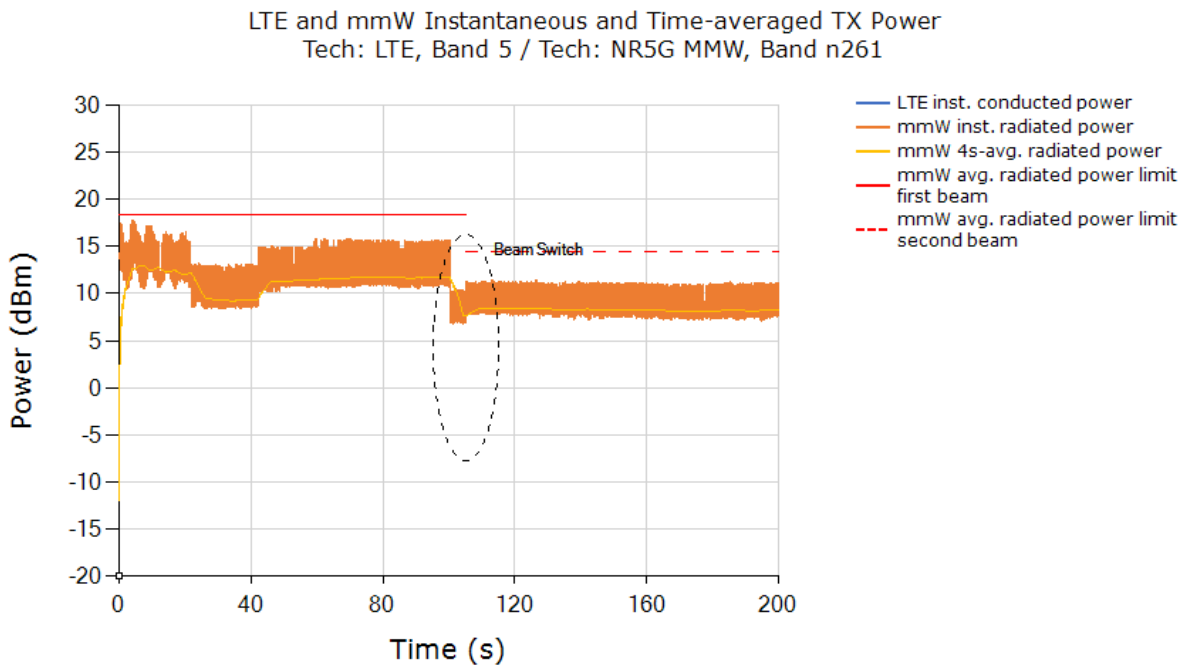
9.2.8 Change in Beam test results for n261

This test was measured with LTE Band 5 (DSI = 1) and mmW Band n261, with beam switch from Beam ID 31 to Beam ID 9, by following the test procedure is described in Section 5.3.2.

Instantaneous conducted LTE Tx power versus time, instantaneous and 4s-averaged radiated mmW Tx power versus time, time-averaged radiated mmW Tx power limits for beam 31 and beam 9:



Normalized time-averaged exposures for LTE and mmW (4cm^{2}PD), as well as total normalized time-averaged exposure versus time:

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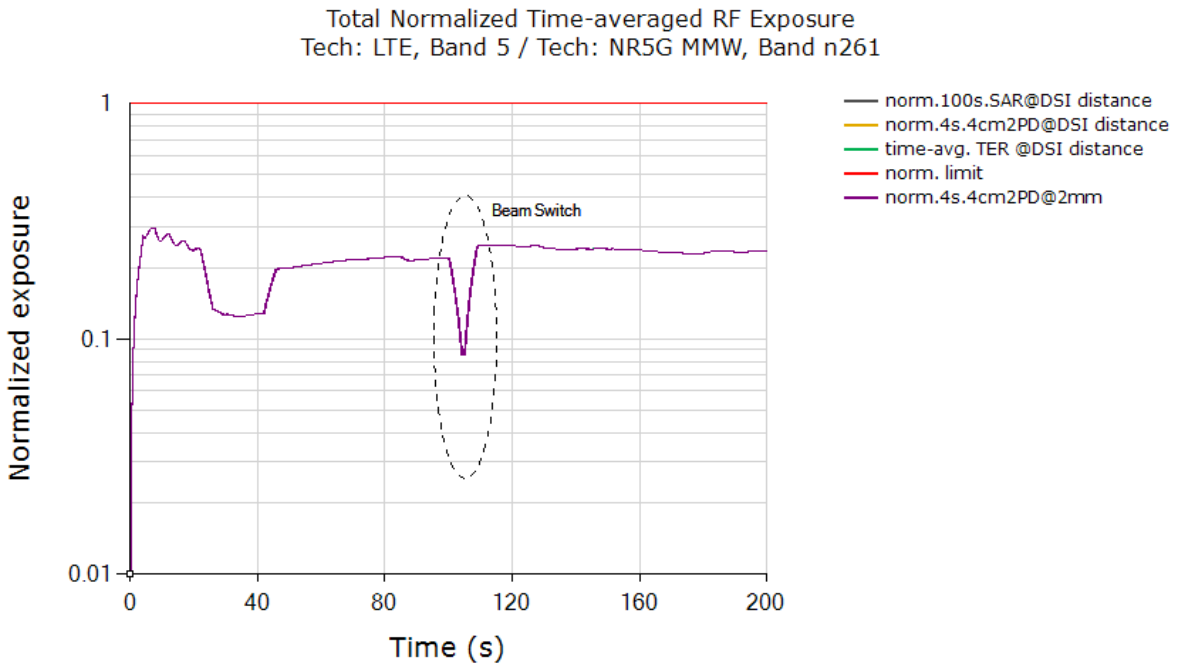

\	Exposure ratio
FCC requirement for total RF exposure (normalized)	1.0
Max total normalized time-averaged RF exposure (green curve)	0.296
Validated	

Plot notes:

5G mmW NR call was established at ~10s time mark and LTE was placed in all-down bits during the test. For this test, mmW exposure is the dominant contributor as LTE is left in all- down bits. Here, Smart Transmit feature allocates a maximum of 100% for mmW. From Table 9-1 exposure between 10s ~100s corresponds to a normalized 4cm^{2}PD exposure value for Beam ID 31 of (6.17 W/m2)/(10 W/m2) = 61.7% ± 1dB device related uncertainty. At ~100s time mark (shown in black dotted ellipse), beam was switched to Beam ID 9 resulting in a normalized 4cm^{2}PD exposure value of (5.28 W/m2)/(10 W/m2) = 52.8% ± 1dB device related uncertainty. Additionally, during the switch, the ratio between the averaged radiated powers of the two beams (yellow curve) should correspond to the difference in EIRPs measured at each corresponding input.power.limit for these beams listed in Table 8-1, i.e., 4.5 dB ± 1dB device uncertainty.

As can be seen, the power limiting enforcement is effective during transmission when SAR and PD exposures are switched, and the total normalized time-averaged RF exposure does not exceed 1.0. Therefore, Qualcomm® Smart Transmit time averaging feature is validated

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10 PD Test Results for mmW Smart Transmit Feature Validation

10.1 Measurement setup

The measurement setup is similar to normal PD measurements, the EUT is positioned on cDASY6 platform, and is connected with the callbox (conducted for LTE and wirelessly for mmW). Keysight UXM callbox is set to request maximum mmW Tx power from EUT all the time. Hence, “path loss” calibration between callbox antenna and EUT is not needed in this test. The callbox’s LTE port is directly connected to the EUT’s RF port via a directional coupler to measure the EUT’s conducted Tx power using a Rohde & Schwarz NR8S power sensor. Additionally, EUT is connected to the PC via USB connection for toggling between FTM and online mode with Smart Transmit enabled following the test procedures described Section 5.4.

Figure 10-1 shows the schematic of this measurement setup.

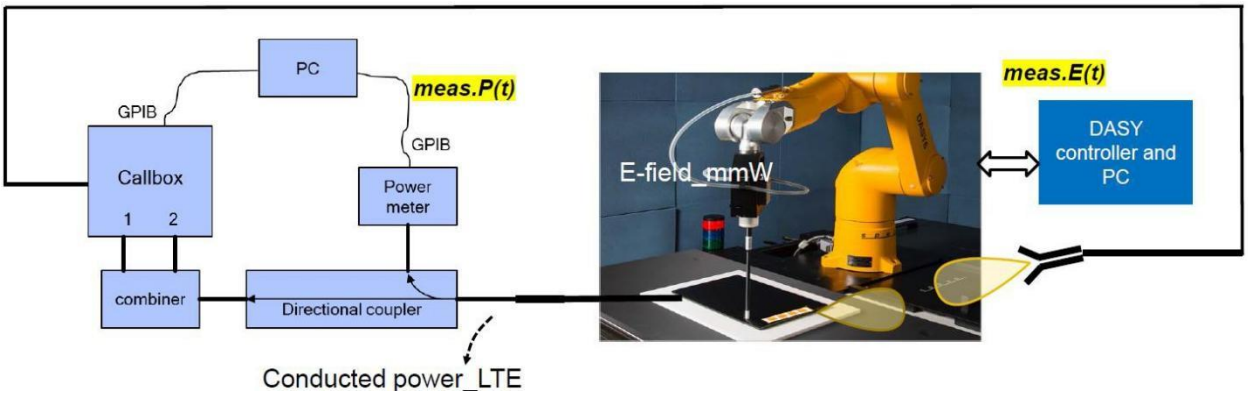

Figure 10-1 PD measurement setup

Both callbox and power meters are connected to the PC using USB cables. Test scripts are custom made for automation of establishing LTE + mmW call, and for conducted Tx power recording of LTE transmission. These tests are manually stopped after desired time duration. Once the mmW link is established, LTE Tx power is programmed to toggle between all-up and all-down bits on the callbox. For all the tests, the callbox is set to request maximum Tx power in mmW NR radio from EUT all the time. Therefore, the calibration for the path loss between the EUT and the horn antenna connected to the remote radio head of the callbox is not required.

Power meter readings are periodically recorded every 10ms on NR8S power sensor for LTE conducted Tx power. Time-averaged E-field measurements are performed using EUmmWVx mmW probe at peak location of fast area scan. The distance between EUmmWVx mmW probe tip to EUT surface is ~0.5 mm, and the distance between EUmmWVx mmW probe sensor to probe tip is 1.5 mm. cDASY6 records relative point E-field (i.e., ratio $\frac{[pointE(t)]^2}{[pointE_input.power.limit]^2}$) versus time for mmW NR transmission.

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10.2 PD measurement results for maximum power transmission scenario

The following configurations were measured by following the detailed test procedure is described in Section 5.4:

1. LTE Band 5 (DSI =1) and mmW Band n257 Beam ID 32
2. LTE Band 5 (DSI =1) and mmW Band n260 Beam ID 31
3. LTE Band 5 (DSI =1) and mmW Band n261 Beam ID 41

The measured conducted Tx power of LTE and ratio of $\frac{[pointE(t)]^2}{[pointE_input.power.limit]^2}$ of mmW is converted into 1gSAR and 4cm2PD value, respectively, using Eq. (4a) and (4b), rewritten below:

$$1g_or_10gSAR(t) = \frac{conducted_Tx_power(t)}{conducted_Tx_power_P_{limit}} * 1g_or_10gSAR_P_{limit} \qquad (4a)$$

$$4cm^2PD(t) = \frac{[pointE(t)]^2}{[pointE_input.power.limit]^2} * 4cm^2PD_input.power.limit \qquad (4b)$$

$$\frac{\frac{1}{T_{SAR}}\int_{t-T_{SAR}}^{t} 1g_or_10gSAR(t)dt}{FCC\ SAR\ limit} + \frac{\frac{1}{T_{PD}}\int_{t-T_{PD}}^{t} 4cm^2PD(t)dt}{FCC\ 4cm^2PD\ limit} \leq 1 \qquad (4c)$$

where, $conducted_Tx_power(t)$, $conducted_Tx_power_P_{limit}$, and $1g_or_10gSAR_P_{limit}$ correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at P_{limit}, and measured 1gSAR or 10gSAR values at P_{limit} corresponding to LTE transmission. Similarly, $pointE(t)$, $pointE_input.power.limit$, and $4cm^2PD@input.power.limit$ correspond to the measured instantaneous E-field, E-field at *input.power.limit*, and 4cm^{2}PD value at *input.power.limit*. corresponding to mmW transmission.

NOTE**:** cDASY6 system measures relative E-field, and provides ratio of $\frac{[pointE(t)]^2}{[pointE_input.power.limit]^2}$ versus time.

The radio configurations tested are described in Table 6-3 and 6-4. The 1gSAR at Plimit for LTE Band 5 DSI = 1, the measured 4cm2PD at input.power.limit of mmW n257 beam 32, n260 beam 31, and Beam41 are all listed in Table 9-1.

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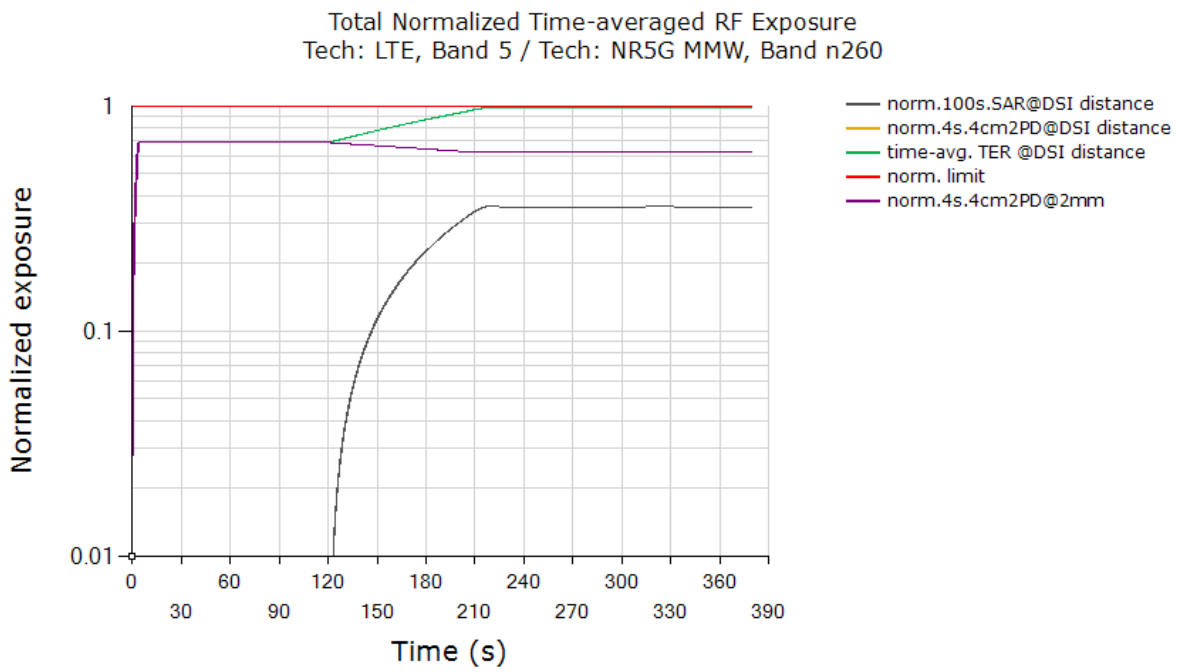
10.2.1 PD test results for n260

Step 2.e plot (in Section 5.4) for normalized instantaneous and time-averaged exposures for LTE and mmW n260 beam 31:



FCC limit for total RF exposure (normalized)	1.0
Max total normalized time-averaged RF exposure (green curve)	0.988
Validated	

Plot notes:

LTE was placed in all-down bits immediately after 5G mmW NR call was established. Between 0s~120s, mmW exposure is the dominant contributor. Here, Smart Transmit feature allocates a maximum of 100% for mmW. From Table 9-1, this corresponds to a normalized 4cm2PD exposure value for Beam ID 31 of (8.42W/m2)/(10 W/m2) =84.2% ± 1dB device related uncertainty (see orange/green curve between 0s~120s). Around 120s time mark, LTE is set to all-up bits, taking away margin from mmW exposure gradually. Towards the end of the test, LTE is the dominant contributor towards RF exposure, i.e., corresponding normalized 1gSAR exposure value of (100% * 0.671 W/kg)/(1.6 W/kg) = 41.93% +"+1.0dB~ -1.0dB" design related uncertainty.

As can be seen, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0. Therefore, Qualcomm® Smart Transmit time averaging feature is validated.

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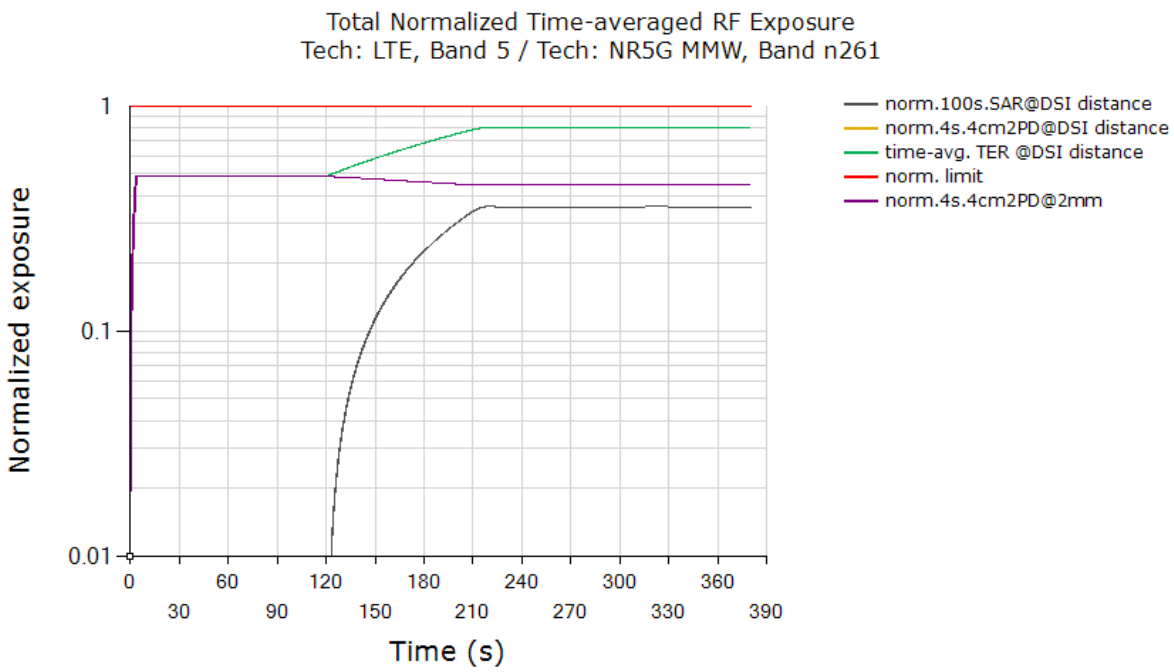
10.2.2 PD test results for n261

Step 2.e plot (in Section 5.4) for normalized instantaneous and time-averaged exposures for LTE and mmW n261 beam 41:



FCC limit for total RF exposure (normalized)	1.0
Max total normalized time-averaged RF exposure (green curve)	0.808
Validated	

Plot notes:

LTE was placed in all-down bits immediately after 5G mmW NR call was established. Between 0s~120s, mmW exposure is the dominant contributor. Here, Smart Transmit feature allocates a maximum of 100% for mmW. From Table 9-1, this corresponds to a normalized 4cm2PD exposure value for Beam ID 41 of (5.23W/m2)/(10 W/m2) =52.3% ± 1dB device related uncertainty (see orange/green curve between 0s~120s). Around 120s time mark, LTE is set to all-up bits, taking away margin from mmW exposure gradually. Towards the end of the test, LTE is the dominant contributor towards RF exposure, i.e., corresponding normalized 1gSAR exposure value of (100% * 0.671 W/kg)/(1.6 W/kg) = 41.93% +"+1.0dB~ -1.0dB" design related uncertainty.

As can be seen, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0. Therefore, Qualcomm® Smart Transmit time averaging feature is validated.

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11 Conclusions

Qualcomm Smart Transmit feature employed has been validated through the conducted/radiated power measurement, as well as SAR measurement

As demonstrated in this report, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0 for all the transmission scenarios described in Section 2. Therefore, the EUT complies with FCC RF exposure requirement

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ANNEX A Test Sequences

1. Test sequence is generated based on below parameters of the EUT:

a Measured maximum power (P_{max})

b Measured Tx_power_at_SAR_design_target (P_{limit})

c Reserve_power_margin (dB)

- $P_{reserve}$ (dBm) = measured P_{limit} (dBm) – Reserve_power_margin (dB)

d SAR_time_window (100s for FCC)

2. Test Sequence 1 Waveform:

Based on the parameters above, the Test Sequence 1 is generated with one transition between high and low Tx powers. Here, high power = P_{max}; low power = $P_{max}/2$, and the transition occurs after 80 seconds at high power P_{max}. As long as the power enforcement is taking into effective during one 100s/60s time window, the validation test with this defined test sequence 1 is valid, otherwise, select other radio configuration (band/DSI within the same technology group) having lower P_{limit} for this test. The Test sequence 1 waveform is shown below:

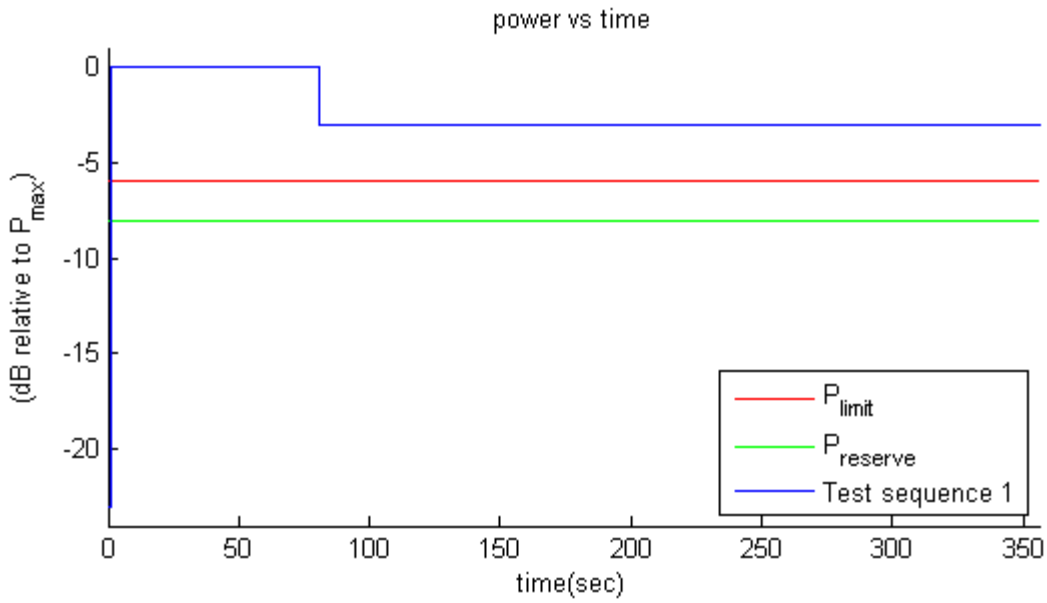

Figure A-1 Test sequence 1 waveform

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3. Test Sequence 2 Waveform:

Based on the parameters in A-1, the Test Sequence 2 is generated as described in Table 10-1, which contains two 170 second-long sequences (yellow and green highlighted rows) that are mirrored around the center row of 20s, resulting in a total duration of 360 seconds:

Table A-1 Test Sequence 2

Time duration (seconds)	dB relative to P_{limit} or $P_{reserve}$
15	P_{reser}
20	P_{limit}
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
10	P_{reser}
20	P_{max}
15	P_{limit}
15	P_{reser}
20	P_{max}
10	P_{reser}
15	P_{limit}
10	P_{reser}
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
10	P_{reser}
15	P_{limit}
10	P_{reser}
20	P_{max}
15	P_{reser}
15	P_{limit}
20	P_{max}
10	P_{reser}
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
20	P_{limit}
15	P_{reser}

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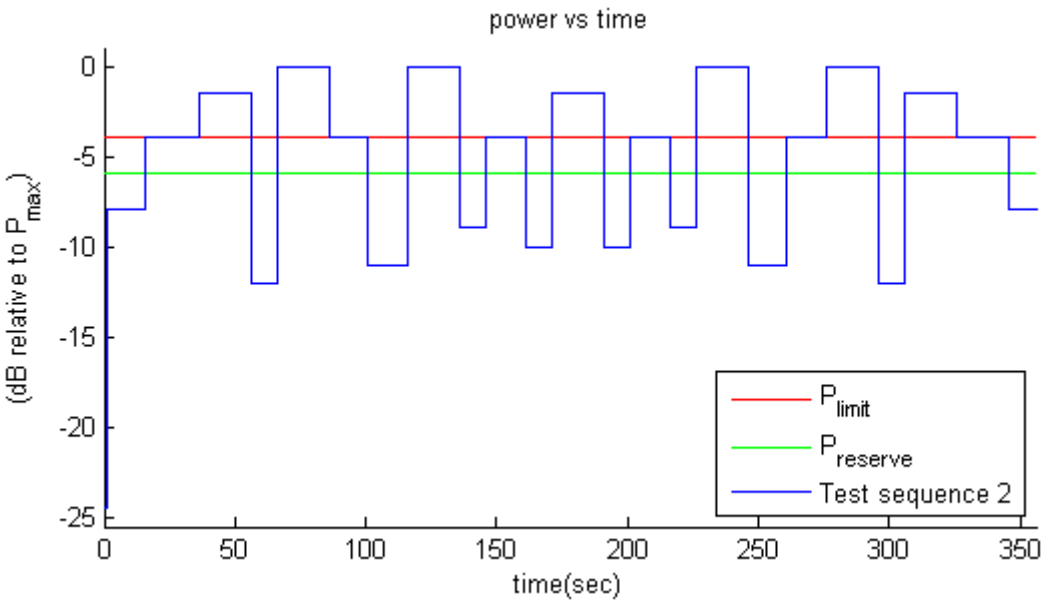
The Test Sequence 2 waveform is shown in Figure A-2.



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ANNEX B Test Procedures for sub6 NR + LTE Radio

Appendix B provides the test procedures for validating Qualcomm Smart Transmit feature for LTE + Sub6 NR non-standalone (NSA) mode transmission scenario, where sub-6GHz LTE link acts as an anchor.

B.1 Time-varying Tx power test for sub6 NR in NSA mode

Follows Section 3.2.1 to select test configurations for time-varying test. This test is performed with two pre-defined test sequences (described in Section 3.1) applied to Sub6 NR (with LTE on all-down bits or low power for the entire test after establishing the LTE+Sub6 NR call with the callbox). Follow the test procedures described in Section 3.3.1 to demonstrate the effectiveness of power limiting enforcement and that the time averaged Tx power of Sub6 NR when converted into 1gSAR values does not exceed the regulatory limit at all times (see Eq. (1a) and (1b)). Sub6 NR response to test sequence1 and test sequence2 will be similar to other technologies (say, LTE), and are shown in Sections 5

B.2 Switch in SAR exposure between LTE vs. Sub6 NR during transmission

This test is to demonstrate that Smart Transmit feature accurately accounts for switching in exposures among SAR for LTE radio only, SAR from both LTE radio and sub6 NR, and SAR from sub6 NR only scenarios, and ensures total time-averaged RF exposure compliance with FCC limit.

Test procedure:

1. Measure conducted Tx power corresponding to P_{limit} for LTE and sub6 NR in selected band. Test condition to measure conducted P_{limit} is:

Establish device in call with the callbox for LTE in desired band. Measure conducted Tx power corresponding to LTE P_{limit} with Smart Transmit <u>enabled</u> and *Reserve_power_margin* set to 0 dB, callbox set to request maximum power.

Repeat above step to measure conducted Tx power corresponding to Sub6 NR $\underline{P_{limit}}$. If testing LTE+Sub6 NR in non-standalone mode, then establish LTE+Sub6 NR call with callbox and request

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all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from Sub6 NR, measured conducted Tx power corresponds to radio2 P_{limit} (as radio1 LTE is at all-down bits)

2. Set *Reserve_power_margin* to actual (intended) value with EUT setup for LTE + Sub6 NR call. First, establish LTE connection in all-up bits with the callbox, and then Sub6 NR connection is added with callbox requesting UE to transmit at maximum power in Sub6 NR. As soon as the Sub6 NR connection is established, request all- down bits on LTE link (otherwise, Sub6 NR will not have sufficient RF exposure margin to sustain the call with LTE in all-up bits). Continue LTE (all-down bits)+Sub6 NR transmission for more than one time-window duration to test predominantly Sub6 NR SAR exposure scenario (as SAR exposure is negligible from all-down bits in LTE). After at least one time-window, request LTE to go all-up bits to test LTE SAR and Sub6 NR SAR exposure scenario. After at least one more time-window, drop (or request all-down bits) Sub6 NR transmission to test predominantly LTE SAR exposure scenario. Continue the test for at least one more time-window. Record the conducted Tx powers for both LTE and Sub6 NR for the entire duration of this test.

3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and Sub6 NR links. Similar to technology/band switch test in Section 3.3.3, convert the conducted Tx power for both these radios into 1gSAR value (see Eq. (6a) and (6b)) using corresponding technology/band P_{limit} measured in Step 1, and then perform 100s running average to determine time-averaged 1gSAR versus time as illustrated in Figure 3-1. Note that here it is assumed both radios have Tx frequencies < 3GHz, otherwise, 60s running average should be performed for radios having Tx frequency between 3GHz and 6GHz.

4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step2.

5. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 3, (b) computed time-averaged 1gSAR versus time determined in Step 3, and (c) corresponding regulatory $1gSAR_{limit}$ of 1.6W/kg.

The validation criteria is, at all times, the time-averaged 1gSAR versus time shall not exceed the regulatory $1gSAR_{limit}$ of 1.6W/kg.

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ANNEX C System Verification and validation

C.1 List of calibrated equipments

Table C-1 provides the list of calibrated equipment for SAR measurement system verification.

Table C-1 List of calibrated equipments

No.	Name	Type	Serial Number	Calibration Date	Valid Period
01	Network analyzer	E5071C	MY46110673	January 4, 2022	One year
02	Power sensor	NRP110T	101139	January 13，2022	One year
03	Power sensor	NRP110T	101159		
04	Signal Generator	E7770A	MY60250328	July 15, 2021	One Year
05	Amplifier	60S1G4	0331848	No Calibration Requested	
06	EummWV Probe	EummWV4	9442	May 18,2022	One year
07	DAE	SPEAG DAE4	777	January 07,2022	One year
08	5G Verification Source	30 GHz	1052	November 16,2021	One year
10	Thermo meter	608-H1	N/A	June 15,2021	One year
11	Power sensor	NRP2	106276	May 10, 2022	One year
12	Dual directional coupler	10-67GHz	110067006	No Calibration Requested	
13	Dual directional coupler	10 GHz	02860	No Calibration Requested	
14	BTS	CMW500	129942	February 14 2022	
15	Dipole Validation Kit	SPEAG D3500V2	1016	June 21,2021	
16	Dipole Validation Kit	SPEAG D3900V2	1024	June 21,2021	
17	Dipole Validation Kit	SPEAG D2600V2	1012	July 26,2021	
18	E-field Probe	SPEAG EX3DV4	3617	March 11，2022	

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C.2 SAR system verification and validation

Table C-1 System validation results

Calibration Date	Frequency	Target value (W/kg)		Measured value (W/kg)		Deviation	
		10 g Average	1 g Average	10 g Average	1 g Average	10 g Average	1 g Average
2022/7/5	2600 MHz	25.5	57.1	25.0	56.8	-1.96%	-0.53%
2022/7/5	3500 MHz	25.2	67.3	25.5	66.2	1.19%	-1.63%
2022/7/5	3900 MHz	24.1	69.3	24.1	68.2	0.00%	-1.59%

Table C-2 Tissue dielectric properties at the time of testing

Measurement Date yyyy/mm/dd	Frequency	Type	Permittivity ε	Drift (%)	Conductivity σ (S/m)	Drift (%)
2022/7/5	2600 MHz	Head	40.06	2.69	1.952	-0.41
2022/7/5	3500 MHz	Head	38.1	0.45	2.924	0.48
2022/7/5	3900 MHz	Head	37.59	0.32	3.315	-0.15

Note: The liquid temperature is (22.0 -23.0)°C

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2600 MHz

Date: 7/5/2022

Electronics: DAE4 Sn777

Medium: 2600 Head

Medium parameters used: f = 2600 MHz; σ = 1.952 S/m; εr = 40.06; ρ = 1000 kg/m3

Ambient Temperature:23.3oC Liquid Temperature: 22.5oC

Communication System: CW (0) Frequency: 2600 MHz Duty Cycle: 1:1

Probe: EX3DV4 – SN3617 ConvF(7.4, 7.4, 7.4)

Area Scan (61x61x1): Interpolated grid: dx=1.200 mm, dy=1.200 mm

Maximum value of SAR (interpolated) = 24.4 W/kg

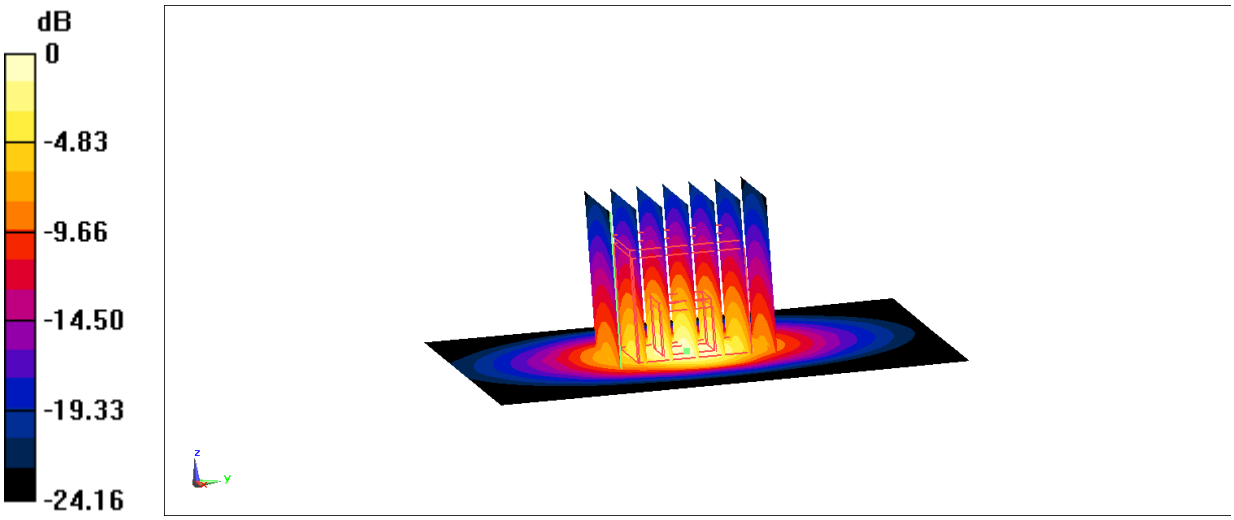
Zoom Scan (7x7x7) (7x7x7)/Cube 0: Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 106.6 V/m; Power Drift = -0.05 dB

Peak SAR (extrapolated) = 31.1 W/kg

SAR(1 g) = 14.2 W/kg; SAR(10 g) = 6.25 W/kg

Maximum value of SAR (measured) = 24.7 W/kg



0 dB = 24.7 W/kg = 13.93 dBW/kg

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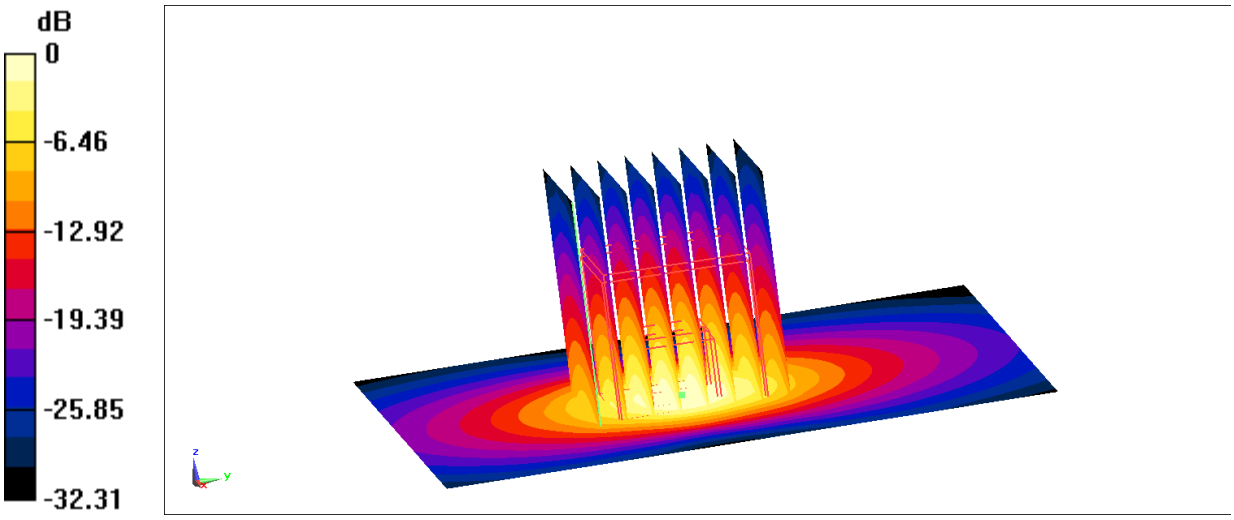
3500 MHz

Date: 7/5/2022
Electronics: DAE4 Sn777
Medium: HSL3500
Medium parameters used: f = 3500 MHz; σ = 2.924 S/m; εr = 38.1; ρ = 1000 kg/m3
Ambient Temperature:23.3oC Liquid Temperature: 22.5oC
Communication System: CW (0) Frequency: 3500 MHz Duty Cycle: 1:1
Probe: EX3DV4 – SN3617 ConvF(6.85, 6.85, 6.85)

Area Scan (91x91x1): Interpolated grid: dx=1.000 mm, dy=1.000 mm
Maximum value of SAR (interpolated) = 12.8 W/kg

Zoom Scan (4x4x1.4mm, graded), dist=1.4mm (8x8x8)/Cube 0: Measurement grid: dx=4mm, dy=4mm, dz=1.4mm
Reference Value = 62.00 V/m; Power Drift = -0.02 dB
Peak SAR (extrapolated) = 16.9 W/kg
SAR(1 g) = 6.62 W/kg; SAR(10 g) = 2.55 W/kg
Maximum value of SAR (measured) = 12.1 W/kg



0 dB = 12.1 W/kg = 10.83 dBW/kg

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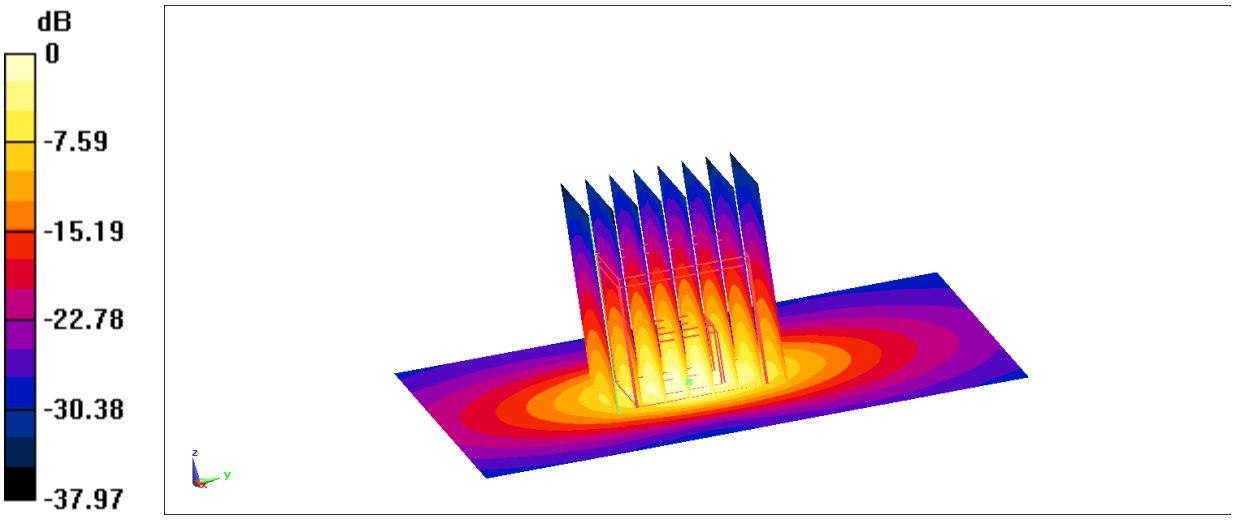
3900 MHz

Date: 7/5/2022
Electronics: DAE4 Sn777
Medium: HSL3900
Medium parameters used: f = 3900 MHz; σ = 3.315 S/m; εr = 37.59; ρ = 1000 kg/m3
Ambient Temperature:23.3oC Liquid Temperature: 22.5oC
Communication System: CW (0) Frequency: 3900 MHz Duty Cycle: 1:1
Probe: EX3DV4 – SN3617 ConvF(6.61, 6.61, 6.61)

Area Scan (91x91x1): Interpolated grid: dx=1.000 mm, dy=1.000 mm
Maximum value of SAR (interpolated) = 13.6 W/kg

Zoom Scan (4x4x1.4mm, graded), dist=1.4mm (8x8x8)/Cube 0: Measurement grid: dx=4mm, dy=4mm, dz=1.4mm
Reference Value = 63.13 V/m; Power Drift = -0.08 dB
Peak SAR (extrapolated) = 18.1 W/kg
SAR(1 g) = 6.82 W/kg; SAR(10 g) = 2.41 W/kg
Maximum value of SAR (measured) = 13.0 W/kg



0 dB = 13.0 W/kg = 11.14 dBW/kg

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C.3 PD Density system verification and validation

Date	Frequency (GHz)	5G Verification Source	Probe S/N	Distance (mm)	Measured 4cm^2 (W/m^2)	Targeted 4cm^2 (W/m^2)	Deviation (db)
2022/7/13	30G	30GHz_1052	9492	5.5	83.7	75.9	0.093

Measurement Report for Device, FRONT, Validation band, CW, Channel 30000 (30000.0 MHz)

Device Under Test Properties

Model, Manufacturer	Dimensions [mm]	IMEI	DUT Type
Device,	100.0 x 100.0 x 100.0		Phone

Exposure Conditions

Phantom Section	Position, Test Distance [mm]	Band	Group, UID	Frequency [MHz], Channel Number	Conversion Factor
5G	FRONT, 2.00	Validation band	CW, 0--	30000.0, 30000	1.0

Hardware Setup

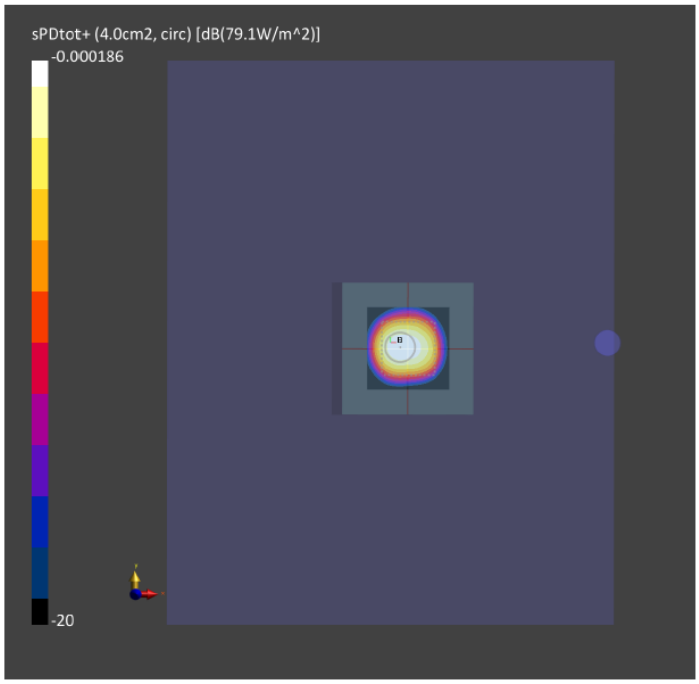
Phantom	Medium	Probe, Calibration Date	DAE, Calibration Date
mmWave - xxxx	Air -	EUmmWV4 - SN9492_F1-55GHz, 2022-05-18	DAE4 Sn777, 2022-01-07

Scans Setup

Scan Type	5G Scan
Grid Extents [mm]	60.0 x 60.0
Grid Steps [lambda]	0.25 x 0.25
Sensor Surface [mm]	2.0
MAIA	N/A

Measurement Results

Scan Type	5G Scan
Date	2022-07-13, 11:21
Avg. Area [cm2]	4.00
psPDn+ [W/m2]	75.2
psPDtot+ [W/m2]	75.9
psPDmod+ [W/m2]	76.6
E_{max} [V/m]	208
Power Drift [dB]	0.08



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ANNEX D Calibration Certificate of Probe and Dipole

Probe 3617 Calibration Certificate

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中国认可 国际互认 校准 CALIBRATION CNAS L0570

Client CTTL Certificate No: Z22-60028

CALIBRATION CERTIFICATE

Object	EX3DV4 - SN : 3617
Calibration Procedure(s)	FF-Z11-004-02 Calibration Procedures for Dosimetric E-field Probes
Calibration date:	March 11, 2022

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRP2	101919	15-Jun-21(CTTL, No.J21X04466)	Jun-22
Power sensor NRP-Z91	101547	15-Jun-21(CTTL, No.J21X04466)	Jun-22
Power sensor NRP-Z91	101548	15-Jun-21(CTTL, No.J21X04466)	Jun-22
Reference 10dBAttenuator	18N50W-10dB	20-Jan-21(CTTL, No.J21X00486)	Jan-23
Reference 20dBAttenuator	18N50W-20dB	20-Jan-21(CTTL, No.J21X00485)	Jan-23
Reference Probe EX3DV4	SN 7307	26-May-21(SPEAG, No.EX3-7307_May21)	May-22
DAE4	SN 1555	20-Aug-21(SPEAG, No.DAE4-1555_Aug21/2)	Aug-22
Secondary Standards	**ID #**	**Cal Date(Calibrated by, Certificate No.)**	**Scheduled Calibration**
SignalGenerator MG3700A	6201052605	16-Jun-21(CTTL, No.J21X04467)	Jun-22
Network Analyzer E5071C	MY46110673	14-Jan-22(CTTL, No.J22X00406)	Jan-23

	Name	Function	Signature
Calibrated by:	Yu Zongying	SAR Test Engineer	
Reviewed by:	Lin Hao	SAR Test Engineer	
Approved by:	Qi Dianyuan	SAR Project Leader	

Issued: March 17, 2022

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: Z22-60028 Page 1 of 22

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Glossary:

TSL	tissue simulating liquid
NORMx,y,z	sensitivity in free space
ConvF	sensitivity in TSL / NORMx,y,z
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A,B,C,D	modulation dependent linearization parameters
Polarization Φ	Φ rotation around probe axis
Polarization θ	θ rotation around an axis that is in the plane normal to probe axis (at measurement center), i θ=0 is normal to probe axis
Connector Angle	information used in DASY system to align probe sensor X to the robot coordinate system

Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013

b) IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016

c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010

d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

Methods Applied and Interpretation of Parameters:

- *NORMx,y,z:* Assessed for E-field polarization θ=0 (f≤900MHz in TEM-cell; f>1800MHz: waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not effect the E^2-field uncertainty inside TSL (see below ConvF).
- *NORM(f)x,y,z = NORMx,y,z* frequency_response* (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- *DCPx,y,z:* DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics.
- *Ax,y,z; Bx,y,z; Cx,y,z;VRx,y,z*:A,B,C are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- *ConvF and Boundary Effect Parameters:* Assessed in flat phantom using E-field (or Temperature Transfer Standard for f≤800MHz) and inside waveguide using analytical field distributions based on power measurements for f >800MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from±50MHz to±100MHz.
- *Spherical isotropy (3D deviation from isotropy):* in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- *Sensor Offset:* The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- *Connector Angle:* The angle is assessed using the information gained by determining the *NORMx* (no uncertainty required).

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DASY/EASY – Parameters of Probe: EX3DV4 – SN: 3617

Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (*k*=2)
Norm(μV/(V/m)²)[A]	0.34	0.22	0.34	±10.0%
DCP(mV)[B]	102.7	98.3	98.7	

Calibration Results for Modulation Response

UID	Communication System Name		A dB	B dB√μV	C	D dB	VR mV	Max Dev.	Max Unc[E] (*k*=2)
0	CW	X	0.0	0.0	1.0	0.00	140.9	±2.3%	±4.7%
		Y	0.0	0.0	1.0		101.3		
		Z	0.0	0.0	1.0		138.5		
10352-AAA	Pulse Waveform (200Hz, 10%)	X	4.68	73.78	15.64	10.00	60	±3.2%	±9.6%
		Y	20.00	100.32	26.29		60		
		Z	20.00	95.47	23.48		60		
10353-AAA	Pulse Waveform (200Hz, 20%)	X	5.54	77.25	15.64	6.99	80	±1.9%	±9.6%
		Y	20.00	101.90	25.86		80		
		Z	20.00	95.46	22.12		80		
10354-AAA	Pulse Waveform (200Hz, 40%)	X	9.09	84.14	16.60	3.98	95	±1.2%	±9.6%
		Y	20.00	106.63	26.76		95		
		Z	20.00	98.05	21.85		95		
10355-AAA	Pulse Waveform (200Hz, 60%)	X	20.00	94.35	18.77	2.22	120	±1.1%	±9.6%
		Y	20.00	113.90	29.05		120		
		Z	20.00	101.22	22.06		120		
10387-AAA	QPSK Waveform, 1 MHz	X	1.78	66.21	15.14	1.00	150	±1.2%	±9.6%
		Y	1.98	66.25	15.69		150		
		Z	1.78	66.17	15.19		150		
10388-AAA	QPSK Waveform, 10 MHz	X	2.35	68.41	15.87	0.00	150	±2.5%	±9.6%
		Y	2.49	68.22	16.09		150		
		Z	2.38	68.42	15.93		150		
10396-AAA	64-QAM Waveform, 100 kHz	X	3.32	71.56	18.90	3.01	150	±1.0%	±9.6%
		Y	3.39	71.34	19.37		150		
		Z	3.34	71.53	19.17		150		
10414-AAA	WLAN CCDF, 64-QAM, 40MHz	X	5.19	66.74	16.07	0.00	150	±4.9%	±9.6%
		Y	5.00	65.30	15.47		150		
		Z	5.22	66.70	16.15		150		

Note: For details on UID parameters see Appendix

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor *k*=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

[A] The uncertainties of Norm X, Y, Z do not affect the E²-field uncertainty inside TSL (see Page 5).
[B] Numerical linearization parameter: uncertainty not required.
[E] Uncertainly is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

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DASY/EASY – Parameters of Probe: EX3DV4 – SN: 3617

Sensor Model Parameters

	C1 fF	C2 fF	α V^{-1}	T1 $ms.V^{-2}$	T2 $ms.V^{-1}$	T3 ms	T4 V^{-2}	T5 V^{-1}	T6
X	48.86	355.95	34.02	12.06	0.10	4.96	1.32	0.31	1.00
Y	57.20	428.55	35.77	12.39	0.05	5.03	1.11	0.33	1.01
Z	50.11	374.56	35.59	11.54	0.08	5.02	1.10	0.36	1.01

Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	10.7
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disable
Probe Overall Length	337mm
Probe Body Diameter	10mm
Tip Length	9mm
Tip Diameter	2.5mm
Probe Tip to Sensor X Calibration Point	1mm
Probe Tip to Sensor Y Calibration Point	1mm
Probe Tip to Sensor Z Calibration Point	1mm
Recommended Measurement Distance from Surface	1.4mm

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DASY/EASY – Parameters of Probe: EX3DV4 – SN:3617

Calibration Parameter Determined in Head Tissue Simulating Media

f [MHz][C]	Relative Permittivity [F]	Conductivity (S/m) [F]	ConvF X	ConvF Y	ConvF Z	Alpha[G]	Depth[G] (mm)	Unct. (k=2)
750	41.9	0.89	9.91	9.91	9.91	0.12	1.38	±12.1%
900	41.5	0.97	9.49	9.49	9.49	0.30	0.94	±12.1%
1450	40.5	1.20	8.60	8.60	8.60	0.11	1.31	±12.1%
1750	40.1	1.37	8.21	8.21	8.21	0.19	1.06	±12.1%
1900	40.0	1.40	8.08	8.08	8.08	0.22	1.17	±12.1%
2000	40.0	1.40	8.05	8.05	8.05	0.20	1.22	±12.1%
2300	39.5	1.67	7.89	7.89	7.89	0.53	0.73	±12.1%
2450	39.2	1.80	7.55	7.55	7.55	0.41	0.88	±12.1%
2600	39.0	1.96	7.40	7.40	7.40	0.43	0.88	±12.1%
3300	38.2	2.71	7.12	7.12	7.12	0.40	0.94	±13.3%
3500	37.9	2.91	6.85	6.85	6.85	0.41	1.00	±13.3%
3700	37.7	3.12	6.70	6.70	6.70	0.44	1.00	±13.3%
3900	37.5	3.32	6.61	6.61	6.61	0.35	1.35	±13.3%
4100	37.2	3.53	6.67	6.67	6.67	0.40	1.15	±13.3%
4200	37.1	3.63	6.55	6.55	6.55	0.35	1.35	±13.3%
4400	36.9	3.84	6.46	6.46	6.46	0.35	1.35	±13.3%
4600	36.7	4.04	6.36	6.36	6.36	0.45	1.25	±13.3%
4800	36.4	4.25	6.28	6.28	6.28	0.45	1.28	±13.3%
4950	36.3	4.40	6.05	6.05	6.05	0.45	1.30	±13.3%
5250	35.9	4.71	5.53	5.53	5.53	0.55	1.22	±13.3%
5600	35.5	5.07	5.11	5.11	5.11	0.55	1.20	±13.3%
5750	35.4	5.22	5.20	5.20	5.20	0.55	1.20	±13.3%

[C] Frequency validity above 300 MHz of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

[F] At frequency below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

[G] Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

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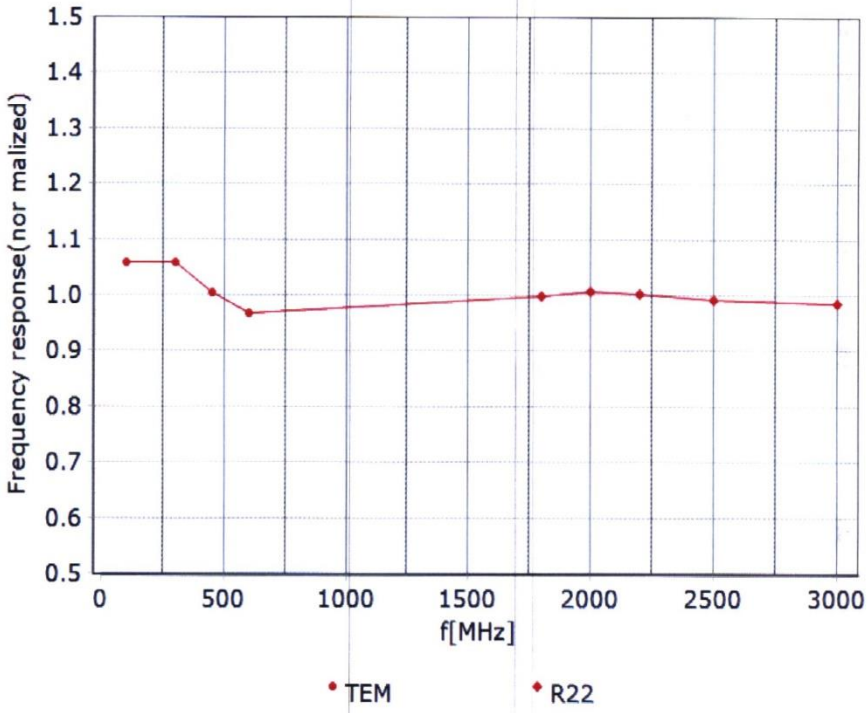
Frequency Response of E-Field
(TEM-Cell: ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field: ±7.4% (*k*=2)

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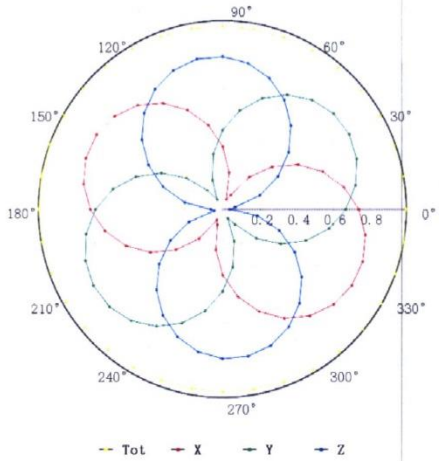
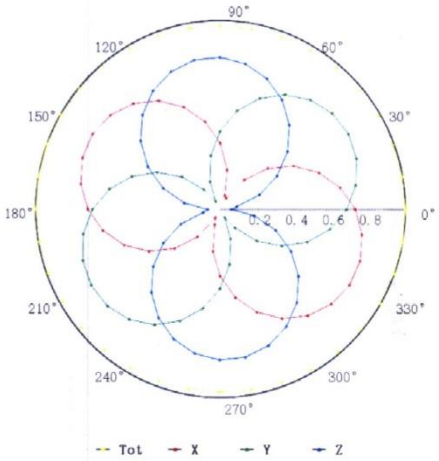
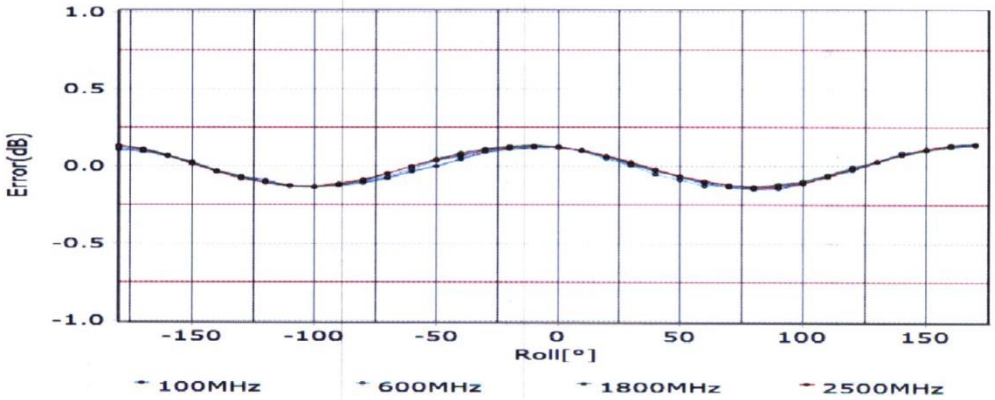
Receiving Pattern (Φ), θ=0°

f=600 MHz, TEM



f=1800 MHz, R22





Uncertainty of Axial Isotropy Assessment: ±1.2% (*k*=2)

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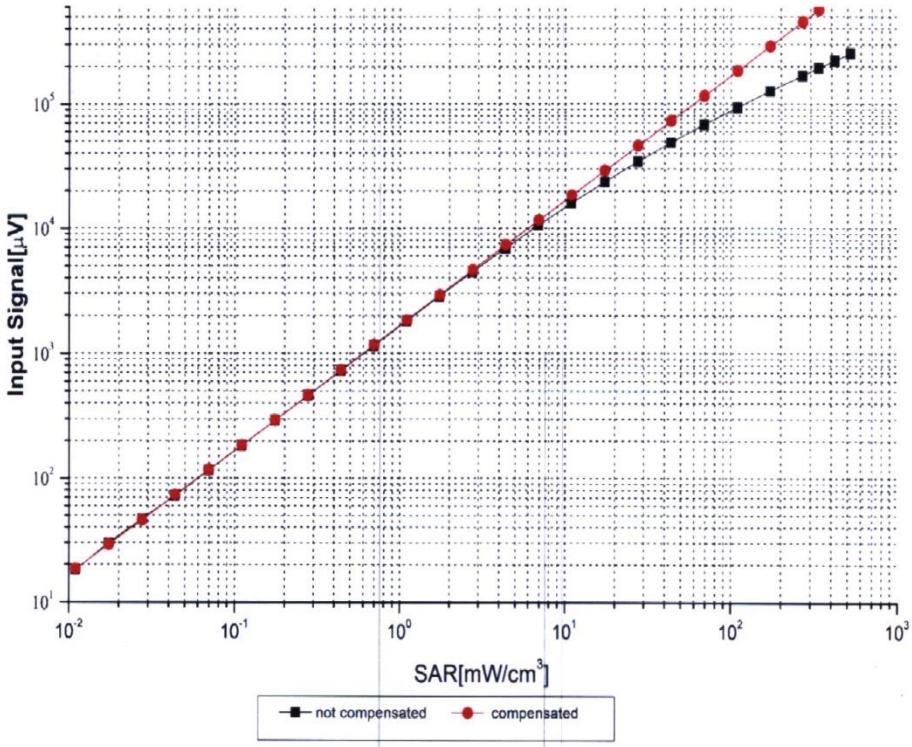
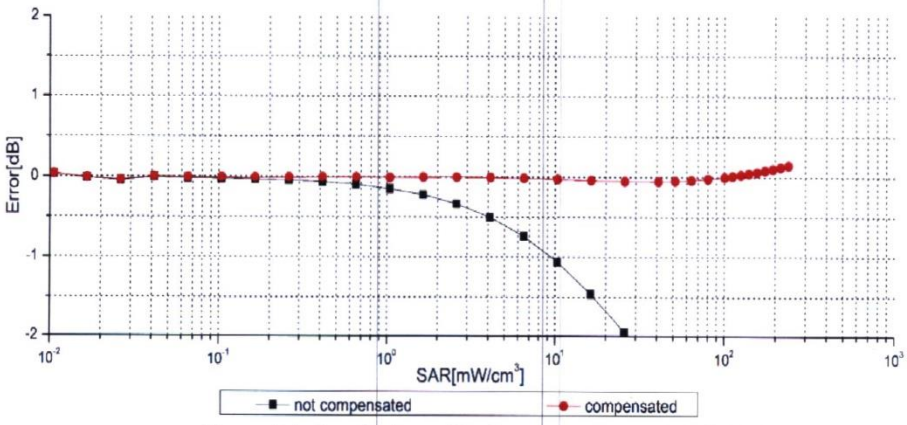
Dynamic Range f(SAR$_{head}$)
(TEM cell, f = 900 MHz)





Uncertainty of Linearity Assessment: ±0.9% (*k*=2)

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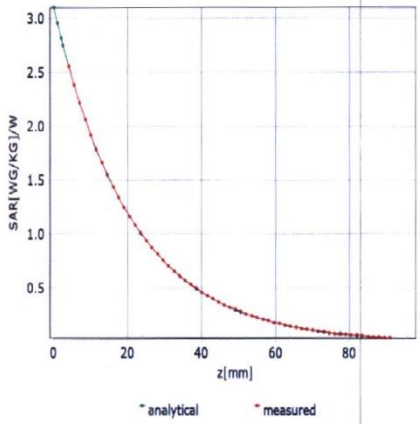
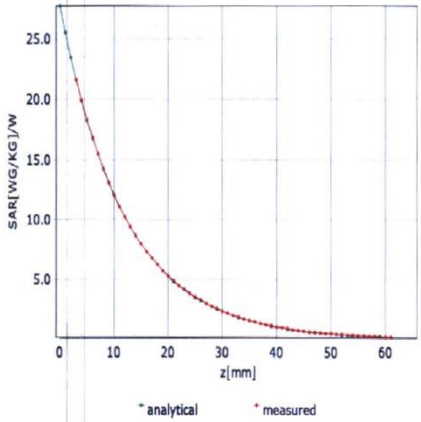
Conversion Factor Assessment

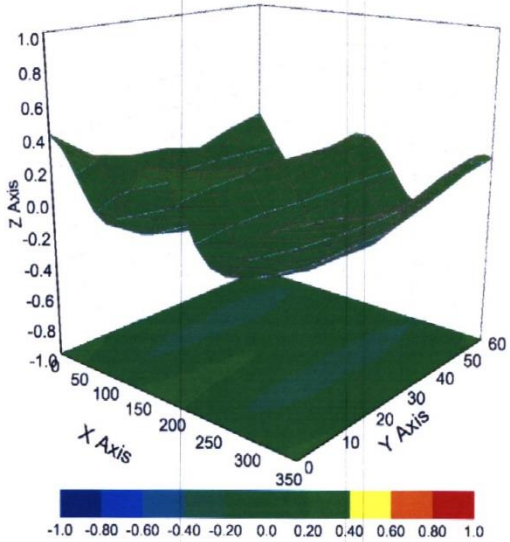
f=750 MHz,WGLS R9(H_convF)



f=1750 MHz,WGLS R22(H_convF)



Deviation from Isotropy in Liquid



Uncertainty of Spherical Isotropy Assessment: ±3.2% (*k*=2)

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