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## Glossary:

|                       |                                                                                                                                             |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------|
| TSL                   | tissue simulating liquid                                                                                                                    |
| NORM <sub>x,y,z</sub> | sensitivity in free space                                                                                                                   |
| ConvF                 | sensitivity in TSL / NORM <sub>x,y,z</sub>                                                                                                  |
| DCP                   | diode compression point                                                                                                                     |
| CF                    | crest factor (1/duty_cycle) of the RF signal                                                                                                |
| A,B,C,D               | modulation dependent linearization parameters                                                                                               |
| Polarization $\Phi$   | $\Phi$ rotation around probe axis                                                                                                           |
| Polarization $\theta$ | $\theta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i<br>$\theta=0$ is normal to probe axis |

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system

## Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz)", February 2005
- IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

## Methods Applied and Interpretation of Parameters:

- NORM<sub>x,y,z</sub>:** Assessed for E-field polarization  $\theta=0$  ( $f \leq 900\text{MHz}$  in TEM-cell;  $f > 1800\text{MHz}$ : waveguide). NORM<sub>x,y,z</sub> are only intermediate values, i.e., the uncertainties of NORM<sub>x,y,z</sub> does not effect the  $E^2$ -field uncertainty inside TSL (see below ConvF).
- NORM(f)<sub>x,y,z</sub> = NORM<sub>x,y,z</sub> \* frequency\_response** (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCP<sub>x,y,z</sub>:** DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- PAR:** PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics.
- A<sub>x,y,z</sub>; B<sub>x,y,z</sub>; C<sub>x,y,z</sub>; VR<sub>x,y,z</sub>:** A,B,C are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters:** Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800\text{MHz}$ ) and inside waveguide using analytical field distributions based on power measurements for  $f > 800\text{MHz}$ . The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM<sub>x,y,z</sub> ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50\text{MHz}$  to  $\pm 100\text{MHz}$ .
- Spherical isotropy (3D deviation from isotropy):** in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset:** The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle:** The angle is assessed using the information gained by determining the NORM<sub>x</sub> (no uncertainty required).



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# Probe EX3DV4

## SN: 3677

Calibrated: January 23, 2017

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)



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## DASY/EASY – Parameters of Probe: EX3DV4 – SN: 3677

### Basic Calibration Parameters

|                                      | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |
|--------------------------------------|----------|----------|----------|-----------|
| Norm( $\mu V/(V/m)^2$ ) <sup>A</sup> | 0.39     | 0.44     | 0.38     | ±10.8%    |
| DCP(mV) <sup>B</sup>                 | 97.3     | 102.2    | 101.1    |           |

### Modulation Calibration Parameters

| UID | Communication System Name |   | A dB | B dB $\sqrt{\mu V}$ | C   | D dB | VR mV | Unc <sup>E</sup> (k=2) |
|-----|---------------------------|---|------|---------------------|-----|------|-------|------------------------|
| 0   | CW                        | X | 0.0  | 0.0                 | 1.0 | 0.00 | 180.5 | ±2.0%                  |
|     |                           | Y | 0.0  | 0.0                 | 1.0 |      | 195.3 |                        |
|     |                           | Z | 0.0  | 0.0                 | 1.0 |      | 177.9 |                        |

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X, Y, Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Page 5 and Page 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



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## DASY/EASY – Parameters of Probe: EX3DV4 – SN: 3677

### Calibration Parameter Determined in Head Tissue Simulating Media

| f [MHz] <sup>C</sup> | Relative Permittivity <sup>F</sup> | Conductivity (S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup> (mm) | Unct. (k=2) |
|----------------------|------------------------------------|---------------------------------|---------|---------|---------|--------------------|-------------------------|-------------|
| 750                  | 41.9                               | 0.89                            | 9.58    | 9.58    | 9.58    | 0.30               | 0.75                    | ±12%        |
| 835                  | 41.5                               | 0.90                            | 9.31    | 9.31    | 9.31    | 0.11               | 1.55                    | ±12%        |
| 1750                 | 40.1                               | 1.37                            | 8.60    | 8.60    | 8.60    | 0.24               | 1.07                    | ±12%        |
| 1900                 | 40.0                               | 1.40                            | 8.39    | 8.39    | 8.39    | 0.23               | 1.10                    | ±12%        |
| 2300                 | 39.5                               | 1.67                            | 8.13    | 8.13    | 8.13    | 0.53               | 0.74                    | ±12%        |
| 2450                 | 39.2                               | 1.80                            | 7.90    | 7.90    | 7.90    | 0.61               | 0.71                    | ±12%        |
| 2600                 | 39.0                               | 1.96                            | 7.64    | 7.64    | 7.64    | 0.68               | 0.68                    | ±12%        |
| 5250                 | 35.9                               | 4.71                            | 5.66    | 5.66    | 5.66    | 0.40               | 1.20                    | ±13%        |
| 5600                 | 35.5                               | 5.07                            | 4.99    | 4.99    | 4.99    | 0.40               | 1.40                    | ±13%        |
| 5750                 | 35.4                               | 5.22                            | 5.00    | 5.00    | 5.00    | 0.40               | 1.40                    | ±13%        |

<sup>C</sup> Frequency validity above 300 MHz of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequency below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



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## DASY/EASY – Parameters of Probe: EX3DV4 – SN: 3677

### Calibration Parameter Determined in Body Tissue Simulating Media

| f [MHz] <sup>C</sup> | Relative Permittivity <sup>F</sup> | Conductivity (S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup> (mm) | Unct. (k=2) |
|----------------------|------------------------------------|---------------------------------|---------|---------|---------|--------------------|-------------------------|-------------|
| 750                  | 55.5                               | 0.96                            | 9.99    | 9.99    | 9.99    | 0.30               | 0.95                    | ±12%        |
| 835                  | 55.2                               | 0.97                            | 9.74    | 9.74    | 9.74    | 0.14               | 1.66                    | ±12%        |
| 1750                 | 53.4                               | 1.49                            | 8.39    | 8.39    | 8.39    | 0.21               | 1.16                    | ±12%        |
| 1900                 | 53.3                               | 1.52                            | 7.98    | 7.98    | 7.98    | 0.22               | 1.24                    | ±12%        |
| 2300                 | 52.9                               | 1.81                            | 7.97    | 7.97    | 7.97    | 0.55               | 0.80                    | ±12%        |
| 2450                 | 52.7                               | 1.95                            | 7.85    | 7.85    | 7.85    | 0.50               | 0.86                    | ±12%        |
| 2600                 | 52.5                               | 2.16                            | 7.63    | 7.63    | 7.63    | 0.44               | 0.91                    | ±12%        |
| 5250                 | 48.9                               | 5.36                            | 5.03    | 5.03    | 5.03    | 0.50               | 1.60                    | ±13%        |
| 5600                 | 48.5                               | 5.77                            | 4.34    | 4.34    | 4.34    | 0.54               | 1.66                    | ±13%        |
| 5750                 | 48.3                               | 5.94                            | 4.52    | 4.52    | 4.52    | 0.57               | 1.95                    | ±13%        |

<sup>C</sup> Frequency validity above 300 MHz of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequency below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

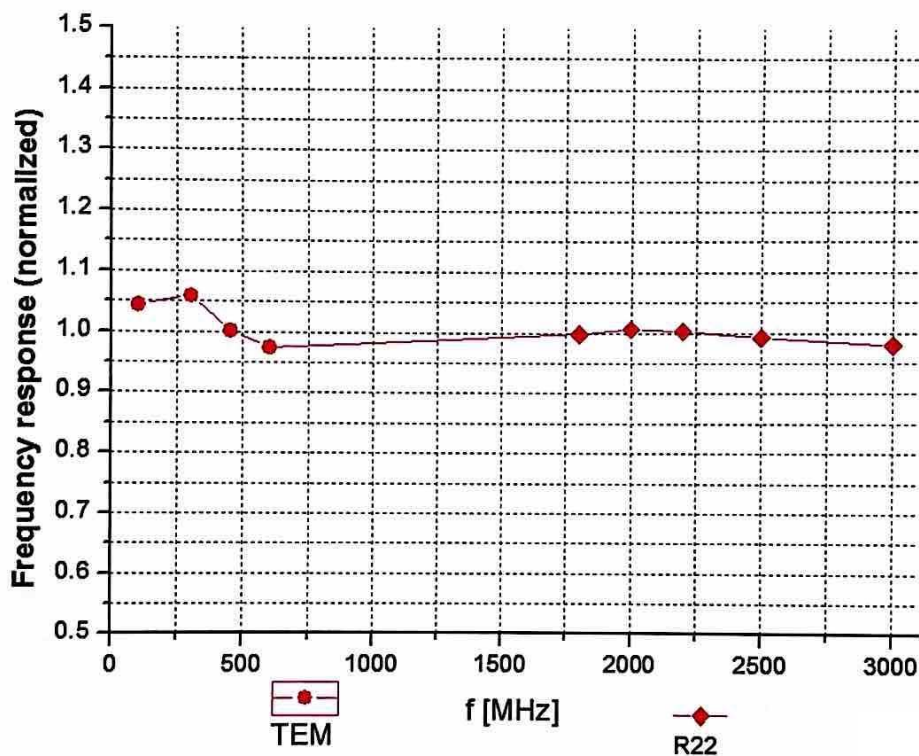
<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



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## Frequency Response of E-Field (TEM-Cell: ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field:  $\pm 7.5\%$  (k=2)

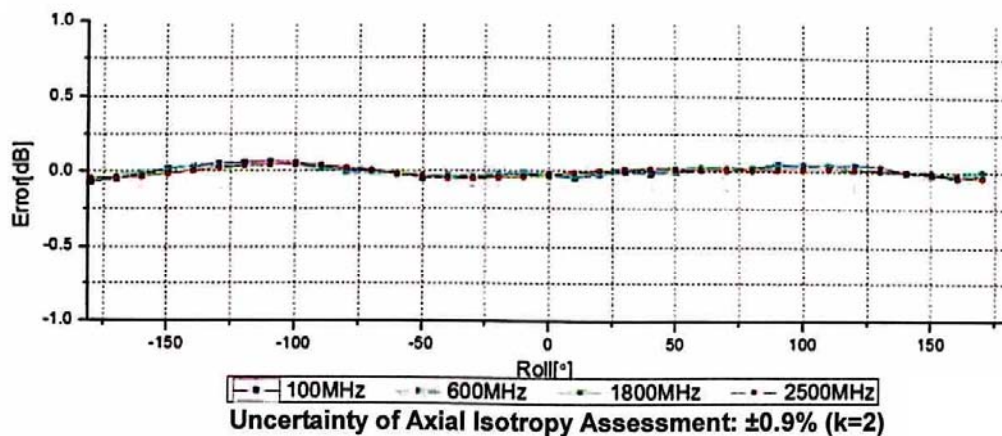
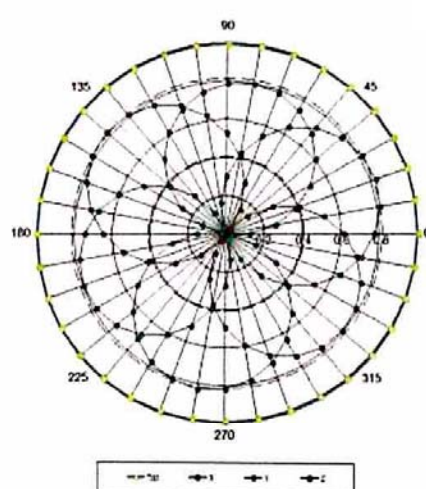
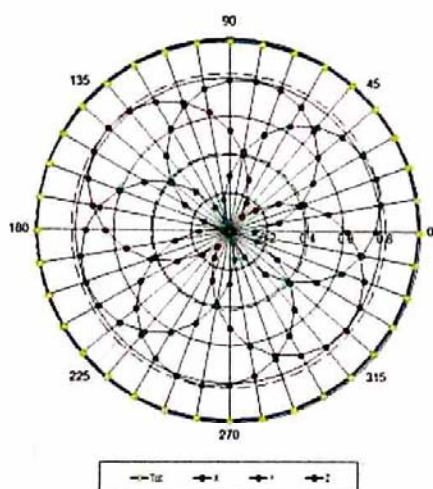


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## Receiving Pattern ( $\Phi$ ), $\theta=0^\circ$

**f=600 MHz, TEM**

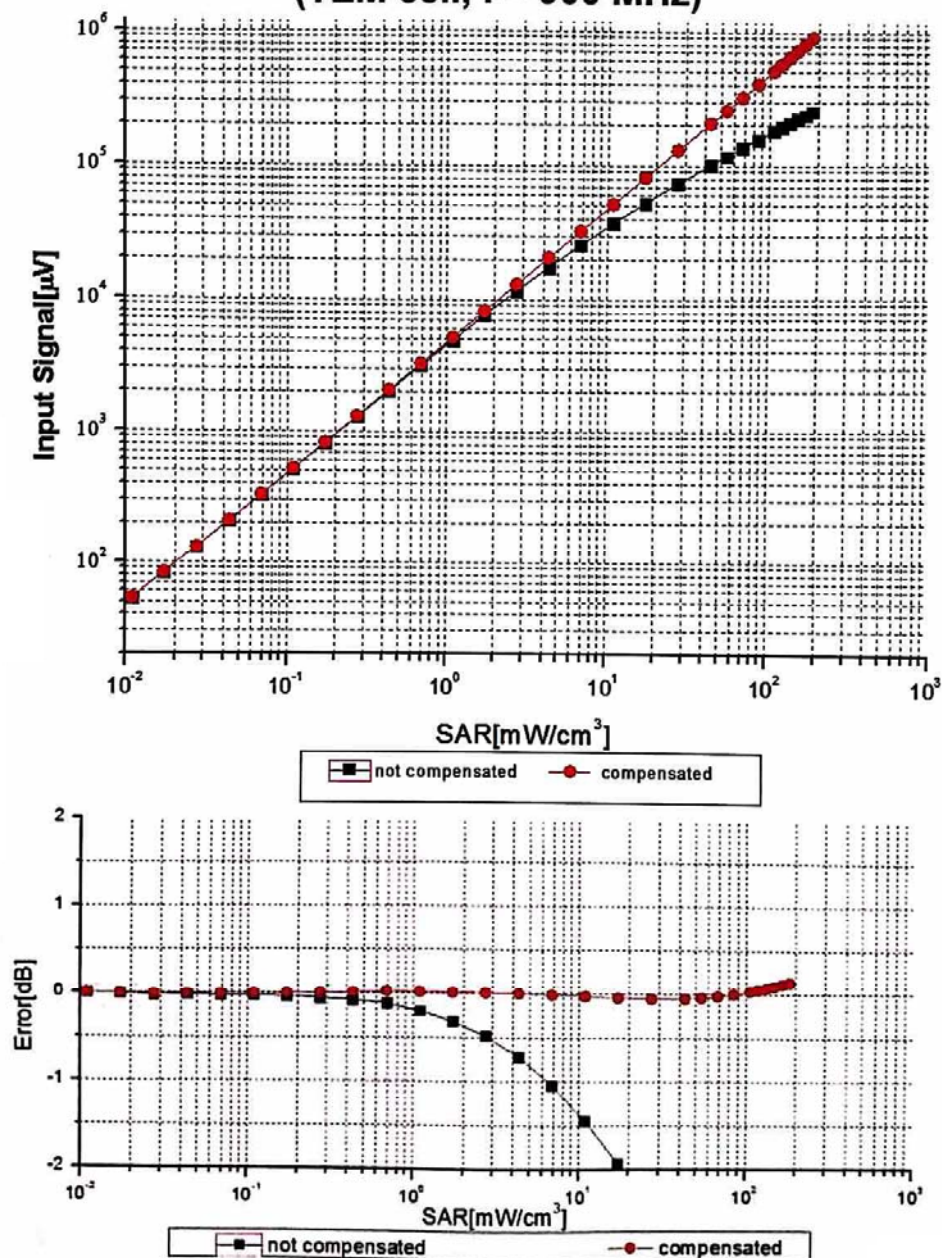
**f=1800 MHz, R22**





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### Dynamic Range f(SAR<sub>head</sub>) (TEM cell, f = 900 MHz)



Uncertainty of Linearity Assessment:  $\pm 0.9\%$  ( $k=2$ )

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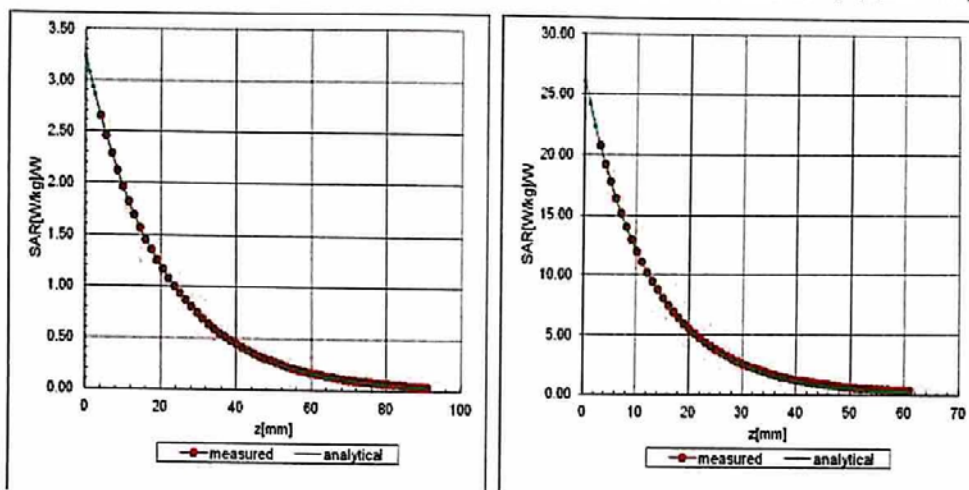


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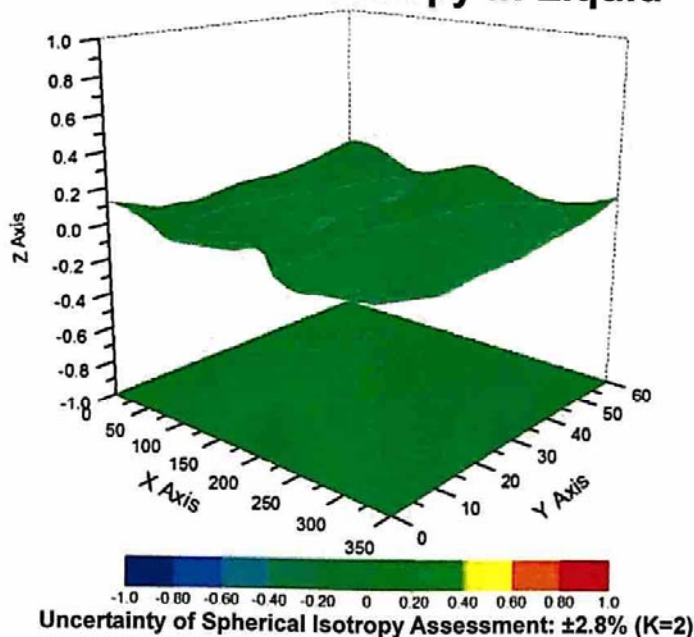
## Conversion Factor Assessment

f=835 MHz, WGLS R9(H\_convF)

f=1750 MHz, WGLS R22(H\_convF)



## Deviation from Isotropy in Liquid



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## DASY/EASY – Parameters of Probe: EX3DV4 – SN: 3677

### Other Probe Parameters

|                                               |            |
|-----------------------------------------------|------------|
| Sensor Arrangement                            | Triangular |
| Connector Angle (°)                           | 117.9      |
| Mechanical Surface Detection Mode             | enabled    |
| Optical Surface Detection Mode                | disable    |
| Probe Overall Length                          | 337mm      |
| Probe Body Diameter                           | 10mm       |
| Tip Length                                    | 9mm        |
| Tip Diameter                                  | 2.5mm      |
| Probe Tip to Sensor X Calibration Point       | 1mm        |
| Probe Tip to Sensor Y Calibration Point       | 1mm        |
| Probe Tip to Sensor Z Calibration Point       | 1mm        |
| Recommended Measurement Distance from Surface | 1.4mm      |

**ANNEX E: D835V2 Dipole Calibration Certificate**

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Client

TA(Shanghai)

Certificate No: Z17-97114

**CALIBRATION CERTIFICATE**

Object

D835V2 - SN: 4d020

Calibration Procedure(s)

FF-Z11-003-01

Calibration Procedures for dipole validation kits

Calibration date:

August 28, 2017

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards       | ID #       | Cal Date(Calibrated by, Certificate No.) | Scheduled Calibration |
|-------------------------|------------|------------------------------------------|-----------------------|
| Power Meter NRVD        | 102083     | 22-Sep-16 (CTTL, No.J16X06809)           | Sep-17                |
| Power sensor NRV-Z5     | 100595     | 22-Sep-16 (CTTL, No.J16X06809)           | Sep-17                |
| Reference Probe EX3DV4  | SN 3617    | 23-Jan-17(SPEAG,No.EX3-3617_Jan17)       | Jan-18                |
| DAE4                    | SN 1331    | 19-Jan-17(CTTL-SPEAG,No.Z17-97015)       | Jan-18                |
| Secondary Standards     | ID #       | Cal Date(Calibrated by, Certificate No.) | Scheduled Calibration |
| Signal Generator E4438C | MY49071430 | 13-Jan-17 (CTTL, No.J17X00286)           | Jan-18                |
| Network Analyzer E5071C | MY46110673 | 13-Jan-17 (CTTL, No.J17X00285)           | Jan-18                |

|                | Name        | Function           | Signature |
|----------------|-------------|--------------------|-----------|
| Calibrated by: | Zhao Jing   | SAR Test Engineer  |           |
| Reviewed by:   | Lin Hao     | SAR Test Engineer  |           |
| Approved by:   | Qi Dianyuan | SAR Project Leader |           |

Issued: August 31, 2017

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

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**Glossary:**

|       |                                            |
|-------|--------------------------------------------|
| TSL   | tissue simulating liquid                   |
| ConvF | sensitivity in TSL / NORM <sub>x,y,z</sub> |
| N/A   | not applicable or not measured             |

**Calibration is Performed According to the Following Standards:**

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Measurement procedure for assessment of specific absorption rate of human exposure to radio frequency fields from hand-held and body-mounted wireless communication devices- Part 1: Device used next to the ear (Frequency range of 300MHz to 6GHz)", July 2016
- IEC 62209-2, "Procedure to measure the Specific Absorption Rate (SAR) For wireless communication devices used in close proximity to the human body (frequency range of 30MHz to 6GHz)", March 2010
- KDB865664, SAR Measurement Requirements for 100 MHz to 6 GHz

**Additional Documentation:**

- DASY4/5 System Handbook

**Methods Applied and Interpretation of Parameters:**

- Measurement Conditions:** Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL:** The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- Feed Point Impedance and Return Loss:** These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- Electrical Delay:** One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- SAR measured:** SAR measured at the stated antenna input power.
- SAR normalized:** SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters:** The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor  $k=2$ , which for a normal distribution Corresponds to a coverage probability of approximately 95%.



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### Measurement Conditions

DASY system configuration, as far as not given on page 1.

|                              |                          |              |
|------------------------------|--------------------------|--------------|
| DASY Version                 | DASY52                   | 52.10.0.1446 |
| Extrapolation                | Advanced Extrapolation   |              |
| Phantom                      | Triple Flat Phantom 5.1C |              |
| Distance Dipole Center - TSL | 15 mm                    | with Spacer  |
| Zoom Scan Resolution         | dx, dy, dz = 5 mm        |              |
| Frequency                    | 835 MHz $\pm$ 1 MHz      |              |

### Head TSL parameters

The following parameters and calculations were applied.

|                                         | Temperature         | Permittivity   | Conductivity         |
|-----------------------------------------|---------------------|----------------|----------------------|
| Nominal Head TSL parameters             | 22.0 °C             | 41.5           | 0.90 mho/m           |
| Measured Head TSL parameters            | (22.0 $\pm$ 0.2) °C | 41.2 $\pm$ 6 % | 0.89 mho/m $\pm$ 6 % |
| Head TSL temperature change during test | <1.0 °C             | ----           | ----                 |

### SAR result with Head TSL

|                                                         |                    |                                |
|---------------------------------------------------------|--------------------|--------------------------------|
| SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL   | Condition          |                                |
| SAR measured                                            | 250 mW input power | 2.34 mW / g                    |
| SAR for nominal Head TSL parameters                     | normalized to 1W   | 9.45 mW / g $\pm$ 18.8 % (k=2) |
| SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL | Condition          |                                |
| SAR measured                                            | 250 mW input power | 1.51 mW / g                    |
| SAR for nominal Head TSL parameters                     | normalized to 1W   | 6.09 mW / g $\pm$ 18.7 % (k=2) |

### Body TSL parameters

The following parameters and calculations were applied.

|                                         | Temperature         | Permittivity   | Conductivity         |
|-----------------------------------------|---------------------|----------------|----------------------|
| Nominal Body TSL parameters             | 22.0 °C             | 55.2           | 0.97 mho/m           |
| Measured Body TSL parameters            | (22.0 $\pm$ 0.2) °C | 55.6 $\pm$ 6 % | 0.98 mho/m $\pm$ 6 % |
| Body TSL temperature change during test | <1.0 °C             | ----           | ----                 |

### SAR result with Body TSL

|                                                         |                    |                                |
|---------------------------------------------------------|--------------------|--------------------------------|
| SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL   | Condition          |                                |
| SAR measured                                            | 250 mW input power | 2.46 mW / g                    |
| SAR for nominal Body TSL parameters                     | normalized to 1W   | 9.75 mW / g $\pm$ 18.8 % (k=2) |
| SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL | Condition          |                                |
| SAR measured                                            | 250 mW input power | 1.63 mW / g                    |
| SAR for nominal Body TSL parameters                     | normalized to 1W   | 6.47 mW / g $\pm$ 18.7 % (k=2) |

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