

CBSD Test Report

Report No.: RF200304C30

FCC ID: 2AU8HSRW310

Test Model: SRW310

Received Date: Mar. 04, 2020

Test Date: Mar 17 ~ May. 08, 2020

Issued Date: May. 12, 2020

Applicant: Shanghai Smawave Technology Co., Ltd

Address: 3/F, Building 8, 1001 North Qinzhou Road · Xuhui District, Shanghai, China

Issued By: Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch
Lin Kou Laboratories

Lab Address: No. 47-2, 14th Ling, Chia Pau Vil., Lin Kou Dist., New Taipei City, Taiwan

Test Location: No. 19, Hwa Ya 2nd Rd., Wen Hwa Vil., Kwei Shan Dist., Taoyuan City
33383, Taiwan

**FCC Registration/
Designation Number:** 788550 / TW0003



This report is for your exclusive use. Any copying or replication of this report to or for any other person or entity, or use of our name or trademark, is permitted only with our prior written permission. This report sets forth our findings solely with respect to the test samples identified herein. The results set forth in this report are not indicative or representative of the quality or characteristics of the lot from which a test sample was taken or any similar or identical product unless specifically and expressly noted. Our report includes all of the tests requested by you and the results thereof based upon the information that you provided to us. You have 60 days from date of issuance of this report to notify us of any material error or omission caused by our negligence, provided, however, that such notice shall be in writing and shall specifically address the issue you wish to raise. A failure to raise such issue within the prescribed time shall constitute your unqualified acceptance of the completeness of this report, the tests conducted and the correctness of the report contents. Unless specific mention, the uncertainty of measurement has been explicitly taken into account to declare the compliance or non-compliance to the specification. The report must not be used by the client to claim product certification, approval, or endorsement by TAF or any government agencies.

Table of Contents

Release Control Record	4
1 Certificate of Conformity	5
2 Summary of Test Results	6
2.1 Measurement Uncertainty	9
2.2 Modification Record	9
3 General Information	10
3.1 General Description of EUT	10
3.2 General Description of Applied Standards and References	11
4 Measurement	12
4.1 CBSD Measurement	12
4.2 CBSD Test Procedure	12
4.3 Duration and Duty Cycle Measurement	12
4.4 Duration and Duty Cycle Test Procedure	12
4.5 Test Environment	12
4.6 Test Equipment	13
4.7 Test Setup	13
4.8 Test Results	14
4.8.1 CBSD Registration Process	14
4.8.1.1 WINNF.FT.C.REG.1	14
4.8.1.2 WINNF.FT.C.REG.8	15
4.8.1.3 WINNF.FT.C.REG.10	16
4.8.1.4 WINNF.FT.C.REG.12	17
4.8.1.5 WINNF.FT.C.REG.14	18
4.8.1.6 WINNF.FT.C.REG.16	19
4.8.1.7 WINNF.FT.C.REG.18	20
4.8.2 CBSD Spectrum Grant Process	21
4.8.2.1 WINNF.FT.C.GRA.1	21
4.8.2.2 WINNF.FT.C.GRA.2	22
4.8.3 CBSD Heart Beat Process	23
4.8.3.1 WINNF.FT.C.HBT.1	23
4.8.3.2 WINNF.FT.C.HBT.3	25
4.8.3.3 WINNF.FT.C.HBT.4	26
4.8.3.4 WINNF.FT.C.HBT.5	27
4.8.3.5 WINNF.FT.C.HBT.6	28
4.8.3.6 WINNF.FT.C.HBT.7	29
4.8.3.7 WINNF.FT.C.HBT.9	30
4.8.3.8 WINNF.FT.C.HBT.10	31
4.8.4 CBSD Measurement Report	32
4.8.4.1 WINNF.FT.C.mes.3	32
4.8.4.2 WINNF.FT.C.mes.4	33
4.8.5 CBSD Relinquishment Process	34
4.8.5.1 WINNF.FT.C.RLQ.1	34
4.8.6 CBSD Deregistration Process	35
4.8.6.1 WINNF.FT.C.DRG.1	35
4.8.7 CBSD Security Validation	36
4.8.7.1 WINNF.FT.C.SCS.1	36
4.8.7.2 WINNF.FT.C.SCS.2	37
4.8.7.3 WINNF.FT.C.SCS.3	38
4.8.7.4 WINNF.FT.C.SCS.4	39
4.8.7.5 WINNF.FT.C.SCS.5	40
4.8.8 CBSD RF Power Measurement	41
4.8.8.1 WINNF.PT.C.HBT.1	41

4.8.9	Duration and Duty Cycle	43
4.8.10	Verify that the device will register with a SAS when operating below 23 dBm.....	45
5	Pictures of Test Arrangements	46
6	WinnForum Logs	46
Appendix – Information of the Testing Laboratories		47

Release Control Record

Issue No.	Description	Date Issued
RF200304C30	Original release	May. 12, 2020

1 Certificate of Conformity

Product: Outdoor CPE

Brand: Smawave

Test Model: SRW310

Sample Status: Engineering sample

Applicant: Shanghai Smawave Technology Co., Ltd

Test Date: Mar 17 ~ May. 08, 2020

Standards: WINNF-TS-0122 V1.0.1
CBRSA-TS-9001 V1.1.0

The above equipment has been tested by **Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch**, and found compliance with the requirement of the above standards. The test record, data evaluation & Equipment Under Test (EUT) configurations represented herein are true and accurate accounts of the measurements of the sample's RF characteristics under the conditions specified in this report.

Prepared by : Celine Chou , **Date:** May. 12, 2020
Celine Chou / Senior Specialist

Approved by : Bruce Chen , **Date:** May. 12, 2020
Bruce Chen / Senior Project Engineer

2 Summary of Test Results

WINNF-TS-0122			
Classes	Test Case Items	Pass Items	Pass Rate (%)
FT(CBSD, DP/CBSD)	26	26	100
PT(CBSD, DP/CBSD)	1	1	100
Total	27	27	100

Note:

1. Functional Test (FT): Test to validate the conformance of the Protocols and functionalities implemented in the CBSD/DP UUT to the requirements developed by WINNF and supporting FCC/DoD requirements.
2. Field/Performance Test (PT): Test to check the capability of the CBSD/DP UUT to support various traffic models and actual operations in the field.

Duration and Duty Cycle		
Period	Limit	Test Result
10-second	1-second	Pass
300-second	10-second	Pass
3600-second	20-second	Pass

Note: Limited in duration and duty cycle to the minimum time necessary to get a grant from the SAS. This time should not exceed 1 second within any 10-second period, 10seconds within any 300-second period, or 20 seconds within any 3600-second period.

Supported Features in details:

WINNF-TS-0122 Test Case		
Definitions	Test Case ID	Supported
C1	WINNF.FT.C.REG.1	Yes
C2	NA	No
C3	NA	No
C4	NA	No
C5	WINNF.FT.C.MES.3 WINNF.FT.C.MES.4	Yes
C6	NA	No

WINNF-TS-0122 Test Case

Section	Test Case ID	Test Case Title	Test Result
6.1.4.1.1	WINNF.FT.C.REG.1	Multi-Step registration	Pass
6.1.4.1.2	WINNF.FT.D.REG.2	Domain Proxy Multi-Step registration	NA
6.1.4.1.3	WINNF.FT.C.REG.3	Single-Step registration for Category A CBSD	NA
6.1.4.1.4	WINNF.FT.D.REG.4	Domain Proxy Single-Step registration for Cat A CBSD	NA
6.1.4.1.5	WINNF.FT.C.REG.5	Single-Step registration for CBSD with CPI signed data	NA
6.1.4.1.6	WINNF.FT.D.REG.6	Domain Proxy Single-Step registration for CBSD with CPI signed data	NA
6.1.4.1.7	WINNF.FT.C.REG.7	Registration due to change of an installation parameter	NA
6.1.4.2.1	WINNF.FT.C.REG.8	Missing Required parameters (responseCode 102)	Pass
6.1.4.2.2	WINNF.FT.D.REG.9	Domain Proxy Missing Required parameters (responseCode 102)	NA
6.1.4.2.3	WINNF.FT.C.REG.10	Pending registration (responseCode 200)	Pass
6.1.4.2.4	WINNF.FT.D.REG.11	Domain Proxy Pending registration (responseCode 200)	NA
6.1.4.2.5	WINNF.FT.C.REG.12	Invalid parameter (responseCode 103)	Pass
6.1.4.2.6	WINNF.FT.D.REG.13	Domain Proxy Invalid parameters (responseCode 103)	NA
6.1.4.2.7	WINNF.FT.C.REG.14	Blacklisted CBSD (responseCode 101)	Pass
6.1.4.2.8	WINNF.FT.D.REG.15	Domain Proxy Blacklisted CBSD (responseCode 101)	NA
6.1.4.2.9	WINNF.FT.C.REG.16	Unsupported SAS protocol version (responseCode 100)	Pass
6.1.4.2.10	WINNF.FT.D.REG.17	Domain Proxy Unsupported SAS protocol version (responseCode 100)	NA
6.1.4.2.11	WINNF.FT.C.REG.18	Group Error (responseCode 201)	Pass
6.1.4.2.12	WINNF.FT.D.REG.19	Domain Proxy Group Error (responseCode 201)	NA
6.1.4.3.1	WINNF.FT.C.REG.20	Category A CBSD location update	NA

WINNF-TS-0122 Test Case

Section	Test Case ID	Test Case Title	Test Result
6.3.4.2.1	WINNF.FT.D.GRA.1	Unsuccessful Grant responseCode=400 (INTERFERENCE)	Pass
6.3.4.2.2	WINNF.FT.C.GRA.2	Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	Pass
6.4.4.1.1	WINNF.FT.C.HBT.1	Heartbeat Success Case (first Heartbeat Response)	Pass
6.4.4.1.2	WINNF.FT.D.HBT.2	Domain Proxy Heartbeat Success Case (first Heartbeat Response)	NA
6.4.4.2.1	WINNF.FT.C.HBT.3	Heartbeat responseCode=105 (DEREGISTER)	Pass
6.4.4.2.2	WINNF.FT.C.HBT.4	Heartbeat responseCode=500 (TERMINATED_GRANT)	Pass
6.4.4.2.3	WINNF.FT.C.HBT.5	Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	Pass
6.4.4.2.4	WINNF.FT.C.HBT.6	Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	Pass
6.4.4.2.5	WINNF.FT.C.HBT.7	Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	Pass
6.4.4.2.6	WINNF.FT.D.HBT.8	Domain Proxy Heartbeat responseCode=500 (TERMINATED_GRANT)	NA
6.4.4.3.1	WINNF.FT.C.HBT.9	Heartbeat Response Absent (First Heartbeat)	Pass
6.4.4.3.2	WINNF.FT.C.HBT.10	Heartbeat Response Absent (Subsequent Heartbeat)	Pass
6.4.4.4.1	WINNF.FT.C.HBT.11	Successful Grant Renewal in Heartbeat Test Case	NA
6.5.4.2.1	WINNF.FT.C.MES.1	Registration Response contains measReportConfig	NA
6.5.4.2.2	WINNF.FT.D.MES.2	Domain Proxy Registration Response contains measReportConfig	NA
6.5.4.2.3	WINNF.FT.C.MES.3	Grant Response contains measReportConfig	Pass
6.5.4.2.4	WINNF.FT.C.MES.4	Heartbeat Response contains measReportConfig	Pass
6.5.4.2.5	WINNF.FT.D.MES.5	Domain Proxy Heartbeat Response contains measReportConfig	NA

WINNF-TS-0122 Test Case

Section	Test Case ID	Test Case Title	Test Result
6.6.4.1.1	WINNF.FT.C.RLQ.1	Successful Relinquishment	Pass
6.6.4.1.2	WINNF.FT.D.RLQ.2	Domain Proxy Successful Relinquishment	NA
6.6.4.2.1	WINNF.FT.C.RLQ.3	Unsuccessful Relinquishment, responseCode=102	NA
6.6.4.2.2	WINNF.FT.D.RLQ.4	Domain Proxy Unsuccessful Relinquishment, responseCode=102	NA
6.6.4.3.1	WINNF.FT.C.RLQ.5	Unsuccessful Relinquishment, responseCode=103	NA
6.6.4.3.2	WINNF.FT.D.RLQ.6	Domain Proxy Unsuccessful Relinquishment, responseCode=103	NA
6.7.4.1.1	WINNF.FT.C.DRG.1	Successful Deregistration	Pass
6.7.4.1.2	WINNF.FT.D.DRG.2	Domain Proxy Successful Deregistration	NA
6.7.4.2.1	WINNF.FT.C.DRG.3	Deregistration responseCode=102	NA
6.7.4.2.2	WINNF.FT.D.DRG.4	Domain Proxy Deregistration responseCode=102	NA
6.7.4.3.1	WINNF.FT.C.DRG.5	Deregistration responseCode=103	NA
6.8.4.1.1	WINNF.FT.C.SCS.1	Successful TLS connection between UUT and SAS Test Harness	Pass
6.8.4.2.1	WINNF.FT.C.SCS.2	TLS failure due to revoked certificate	Pass
6.8.4.2.2	WINNF.FT.C.SCS.3	TLS failure due to expired server certificate	Pass
6.8.4.2.3	WINNF.FT.C.SCS.4	TLS failure when SAS Test Harness certificate is issue by unknown CA	Pass
6.8.4.2.4	WINNF.FT.C.SCS.5	TLS failure when certificate at the SAS Test Harness is corrupted	Pass
7.1.4.1.1	WINNF.PT.C.HBT	UUT RF Transmit Power Measurement	Pass

Note: Section as per WINNF-TS-0122 If the product as tested complies with the specification, the UUT is deemed to comply with the standard and is deemed a "Pass" grade. If not "Fail" grade is issued. Where "NA" is stated this means the test case is not applicable.

2.1 Measurement Uncertainty

Where relevant, the following measurement uncertainty levels have been estimated for tests performed on the UUT as specified in CISPR 16-4-2:

Measurement	Frequency	Expanded Uncertainty (k=2) ($\pm$)
Conducted Emissions above 1 GHz	1GHz ~ 18GHz	1 dB

2.2 Modification Record

Following the FCC KDB 940660 D02 CPE-CBSD Handshake Procedures v01, when running the test cases in WINNF-TS-0122 for CPE-CBSD device type, for the last execution step appearing in WINNF-TS-0122:

1. The Pass/Fail criteria "UUT shall not transmit RF" is replaced by "CPE-CBSD UUT shall not transmit user traffic".
2. The Pass/Fail criteria "UUT shall stop transmission" is replaced by CPE-CBSD UUT shall stop transmitting user traffic"

3 General Information

3.1 General Description of EUT

Product	Outdoor CPE
Brand	Smawave
Test Model	SRW310
Hardware Version	V1.0
Firmware Version	MG6 0.3.2.20
Status of EUT	Engineering sample
Power Supply Rating	24Vdc from adapter 36-57Vdc from POE
Antenna Type	PCB antenna with 16dBi gain
Antenna Connector	NA
Accessory Device	Adapter, POE
Data Cable Supplied	NA

Note: The EUT consumes power from the following adapter and POE.

Adapter	
Brand	Aquilstar
Model	ASSA107A-240050
Input Power	100-240Vac, 50/60Hz, 0.45A
Output Power	24.0Vdc, 500mA

POE	
Brand	GREAT
Model	GRT-HCQ-A
Input Power	36-57Vdc, 0-2.5A
Output Power	36-57Vdc, 0-2.5A

Test Condition:

Test Item	Environmental Conditions	Input Power	Tested By
WINNF-TS-0122	25deg. C, 65%RH	120Vac, 60Hz	Leona Hu

3.2 General Description of Applied Standards and References

The UUT is a CPE-CBSD product. According to the specifications of the manufacturer, it must comply with the requirements of the following standards:

Test standard:

FCC 47 CFR Part 96

WINNF-19-IN-00033 V1.0

All test items have been performed and recorded as per the above standards.

References Test Guidance:

KDB 940660 D01 Part 96 CBRS Eqpt v02

KDB 940660 D02 CPE-CBSD Handshake Procedures v02

All test items have been performed as a reference to the above KDB test guidance.

4 Measurement

4.1 CBSD Measurement

The CBSD shall validate and ensure that the Conformance and Performance Test results from compliance with SAS functional requirements.

4.2 CBSD Test Procedure

- Connect the UUT to SAS Test Harness system and RF Test instruments via the CBSD interface and RF components. The highest level is set to test configuration.
- UUT shall be UTC time synchronized
- The frequency band is granted and set as UUT supported Modulation and Channels, transmitted power of the UUT according to it granted parameters from the SAS Test Harness.
- Each test case results was recorded and validated by SAS Test Harness system and RF instruments test cases was recorded test results from SAS Test Harness system.

4.3 Duration and Duty Cycle Measurement

The CPE-CBSD shall validate and ensure that limited in duration and duty cycle to the minimum time necessary to get a grant from the SAS.

4.4 Duration and Duty Cycle Test Procedure

- CPE-CBSD as UUT does not receive any RF signal from its “Compatible BTS-CBSD” (FCC ID: P27P208), so CPE-CBSD as UUT does not transmit. UUT shall be UTC time synchronized.
- Use the WinnForum SAS Harness #1 for CPE-CBSD as UUT and run test case WINNF.FT.C.GRA.1 for CPE-CBSD as UUT.
- CPE-CBSD as UUT starts to receive the RF signal from its “Compatible BTS-CBSD”, so CPE-CBSD can start communicating with the WinnForum SAS Harness #1. Make note of the time when RF Test equipment logs the first transmission from CPE-CBSD which is above 23dBm/10MHz – this is the start time of the {X time out of Y time}.
- When the test case WINNF.FT.C.GRA.1 finishes and the questions appear on the WinnForum SAS Harness #1 console, do NOT answer the questions. Wait until Y time has passed from step #3. During this Y time, the RF test equipment is logging the amount of time CPE-CBSD as UUT transmitted EIRP above 23dBm/10MHz. The amount of time logged for transmitting EIRP above 23dBm/10MHz is the X time.
- Answer the questions on the WinnForum SAS Harness #1 console so the WinnForum SAS Harness #1 is ready for the next test.

4.5 Test Environment

Test Harness Version	V1.0.0.3
Operating System	Microsoft Windows 10
TLS Version	1.2
Python	2.7.13

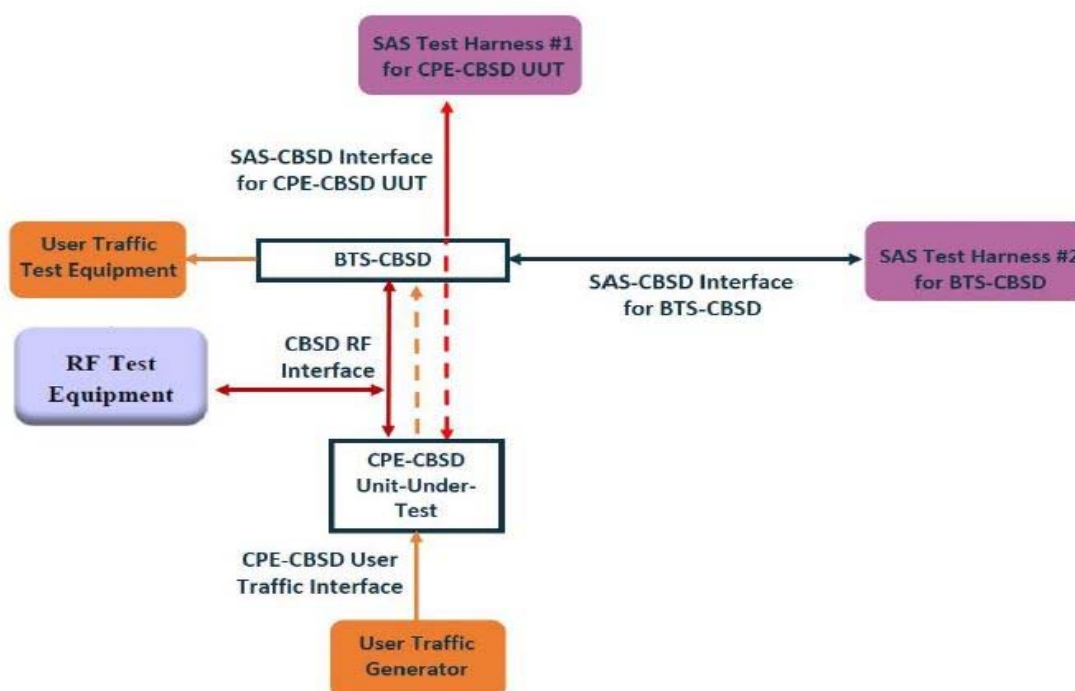
4.6 Test Equipment

Description & Manufacturer	Model no.	Serial No.	Calibrated Date	Calibrated Until
ROHDE & SCHWARZ Signal Analyzer	FSV	E2-010642	May 28, 2019	May 27, 2020
Temperature & Humidity Chamber TERCHY	MHU-225AU	920842	May 31, 2019	May 30, 2020
Horn_Antenna SCHWARZBECK	BBHA 9120D	9120D-1170	Nov. 25, 2019	Nov. 24, 2020
Laptop Lenovo	L470	PF-11H9B8	NA	NA

Note: 1. The test was performed in InfoSec Test Room.
2. The calibration interval of the above test instruments is 12 months and the calibrations are traceable to NML/ROC and NIST/USA.

4.7 Test Setup

■ Test configuration without Domain Proxy - CPE-CBSD as UUT, BTS-CBSD direct communication



4.8 Test Results

4.8.1 CBSD Registration Process

4.8.1.1 WINNF.FT.C.REG.1

■ Test Case ID : WINNF.FT.C.REG.1 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness ● UUT is in the Unregistered state	--	--
2	CBSD sends correct Registration request information, as specified in [n.5], to the SAS Test Harness: ● The required <i>userId</i>, <i>fcId</i> and <i>cbsdSerialNumber</i> registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges. ● Any REG-conditional or optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges. Note: It is outside the scope of this document to test the Registration information that is supplied via another means.	■ Pass	□ Fail
3	● SAS Test Harness sends a CBSD Registration Response as follows: - <i>cbsdId</i> = C - <i>measReportConfig</i> shall not be included - <i>responseCode</i> = 0	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	■ Pass	□ Fail

4.8.1.2 WINNF.FT.C.REG.8

■ Test Case ID : WINNF.FT.C.REG.8 ☐ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	☒ Pass	☐ Fail

4.8.1.3 WINNF.FT.C.REG.10

■ Test Case ID : WINNF.FT.C.REG.10 ☐ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =200) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	☒ Pass	☐ Fail

4.8.1.4 WINNF.FT.C.REG.12

■ Test Case ID : WINNF.FT.C.REG.12 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =103) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	☒ Pass	☐ Fail

4.8.1.5 WINNF.FT.C.REG.14

■ Test Case ID : WINNF.FT.C.REG.14 ☐ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =101) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	☒ Pass	☐ Fail

4.8.1.6 WINNF.FT.C.REG.16

■ Test Case ID : WINNF.FT.C.REG.16 ☐ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =100) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	☒ Pass	☐ Fail

4.8.1.7 WINNF.FT.C.REG.18

■ Test Case ID : WINNF.FT.C.REG.18 ☐ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: - SAS response does not include <i>cbsdId</i> - <i>responseCode</i> = R	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =201) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	☒ Pass	☐ Fail

4.8.2 CBSD Spectrum Grant Process

4.8.2.1 WINNF.FT.C.GRA.1

■ Test Case ID : WINNF.FT.C.GRA.1 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness, with <i>cbsdId</i> = C	--	--
2	UUT sends valid Grant Request.	--	--
3	SAS Test Harness sends a Grant Response message, including ● <i>cbsdId</i> =C ● <i>responseCode</i> = R	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	■ Pass	□ Fail

4.8.2.2 WINNF.FT.C.GRA.2

■ Test Case ID : WINNF.FT.C.GRA.2 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness, with <i>cbsdId</i> = C	--	--
2	UUT sends valid Grant Request.	--	--
3	SAS Test Harness sends a Grant Response message, including ● <i>cbsdId</i> =C ● <i>responseCode</i> = R	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =401) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	■ Pass	□ Fail

4.8.3 CBSD Heart Beat Process

4.8.3.1 WINNF.FT.C.HBT.1

■ Test Case ID : WINNF.FT.C.HBT.1 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness, with <i>cbsdId</i> = C	--	--
2	UUT sends a message: ● If message is type Spectrum Inquiry Request, go to step 3, or ● If message is type Grant Request, go to step 5	--	--
3	UUT sends Spectrum Inquiry Request. Validate: ● <i>cbsdId</i> = C ● List of frequencyRange objects sent by UUT are within the CBRS frequency range	■ Pass	□ Fail
4	SAS Test Harness sends a Spectrum Inquiry Response message, including the following parameters: ● <i>cbsdId</i> = C ● availableChannel is an array of availableChannel objects ● <i>responseCode</i> = 0	--	--
5	UUT sends Grant Request message. Validate: ● <i>cbsdId</i> = C ● maxEIRP is at or below the limit appropriate for CBSD category as defined by Part 96 ● operationFrequencyRange, F, sent by UUT is a valid range within the CBRS band	■ Pass	□ Fail
6	SAS Test Harness sends a Grant Response message, including the parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G = a valid grant ID ● <i>grantExpireTime</i> = UTC time greater than duration of the test ● <i>responseCode</i> = 0	--	--
7	UUT sends a first Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED"	■ Pass	□ Fail
8	SAS Test Harness sends a Heartbeat Response message, with the following parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = current UTC time + 200 seconds ● <i>responseCode</i> = 0	--	--
9	For further Heartbeat Request messages sent from UUT after completion of step 8, validate message is sent within latest specified heartbeatInterval, and: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED" and SAS Test Harness responds with a Heartbeat Response message including the following parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = current UTC time + 200 seconds ● <i>responseCode</i> = 0	■ Pass	□ Fail
10	Monitor the RF output of the UUT from start of test until UUT transmission commences. Verify: ● UUT does not transmit at any time prior to completion of the first heartbeat response ● UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range F.	■ Pass	□ Fail

- UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range F.



4.8.3.2 WINNF.FT.C.HBT.3

■ Test Case ID : WINNF.FT.C.HBT.3 ☐ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED"	--	--
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = Current UTC time ● <i>responseCode</i> = 105 (DEREGISTER)	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the RF output of the UUT. Verify: ● UUT shall stop transmission within (T + 60 seconds) of completion of step 3	☒ Pass	☐ Fail

4.8.3.3 WINNF.FT.C.HBT.4

■ Test Case ID : WINNF.FT.C.HBT.4 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED"	■ Pass	□ Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time ● <i>responseCode</i> = 500 (TERMINATED_GRANT)	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the RF output of the UUT. Verify: ● UUT shall stop transmission within (T + 60 seconds) of completion of step 3	■ Pass	□ Fail

4.8.3.4 WINNF.FT.C.HBT.5

■ Test Case ID : WINNF.FT.C.HBT.5 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request)	--	--
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED"	■ Pass	□ Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time ? <i>responseCode</i> = 501 (SUSPENDED GRANT)	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the SAS-CBSD interface. Verify either A OR B occurs: A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED" B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G Monitor the RF output of the UUT. Verify: ● UUT does not transmit at any time	■ Pass	□ Fail

4.8.3.5 WINNF.FT.C.HBT.6

■ Test Case ID : WINNF.FT.C.HBT.6 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED"	☒ Pass	☐ Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time ● <i>responseCode</i> = 501 (SUSPENDED_GRANT)	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the SAS-CBSD interface. Verify either A OR B occurs: A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED" B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G Monitor the RF output of the UUT. Verify: ● UUT shall stop transmission within (T+60) seconds of completion of step 3	☒ Pass	☐ Fail

4.8.3.6 WINNF.FT.C.HBT.7

■ Test Case ID : WINNF.FT.C.HBT.7 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED"	■ Pass	□ Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time ● <i>responseCode</i> = 502 (UNSYNC_OP_PARAM)	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the SAS-CBSD interface. Verify: ● UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters: ○ <i>cbsdId</i> = C ○ <i>grantId</i> = G Monitor the RF output of the UUT. Verify: ● UUT shall stop transmission within (T+60) seconds of completion of step 3	■ Pass	□ Fail

4.8.3.7 WINNF.FT.C.HBT.9

■ Test Case ID : WINNF.FT.C.HBT.9 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in GRANTED, but not AUTHORIZED state(i.e. has not performed its first Heartbeat Request)	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "GRANTED"	☒ Pass	☐ Fail
3	After completion of step 2, SAS Test Harness does not respond to any further messages from UUT to simulate loss of network connection	--	--
4	Monitor the RF output of the UUT from start of test to 60 seconds after step 3. Verify: ● At any time during the test, UUT shall not transmit on RF interface	☒ Pass	☐ Fail

4.8.3.8 WINNF.FT.C.HBT.10

■ Test Case ID : WINNF.FT.C.HBT.10 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface	--	--
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED"	■ Pass	□ Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>transmitExpireTime</i> = T = current UTC time + 200 seconds ● <i>responseCode</i> = 0	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the RF output of the UUT. Verify: ● UUT shall stop all transmission on RF interface within (<i>transmitExpireTime</i> + 60 seconds), using the <i>transmitExpireTime</i> sent in Step 3.	■ Pass	□ Fail

4.8.4 CBSD Measurement Report

4.8.4.1 WINNF.FT.C.MES.3

■ Test Case ID : WINNF.FT.C.MES.3 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: - UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness - UUT has successfully registered with SAS Test Harness, with <i>cbsdId</i>=C and <i>measCapability</i> = "RECEIVED_POWER_WITH_GRANT"	--	--
2	UUT sends a Grant Request message. Verify Grant Request message contains all required parameters properly formatted, and specifically: <i>cbsdId</i> = C <i>operationParam</i> is present and format is valid	■ Pass	□ Fail
3	SAS Test Harness sends a Grant Response message, with the following parameters: <i>cbsdId</i> = C <i>grantId</i> = G = valid grant ID <i>grantExpireTime</i> = UTC time in the future <i>heartbeatInterval</i> = 60 seconds <i>measReportConfig</i>= "RECEIVED_POWER_WITH_GRANT" <i>operationParam</i> is set to valid operating parameters <i>channelType</i> = "GAA" <i>responseCode</i> = 0	--	--
4	UUT sends a Heartbeat Request message. Verify message contains all required parameters properly formatted, and specifically: <i>cbsdId</i> = C <i>grantId</i> = G <i>operationState</i> = "GRANTED"	■ Pass	□ Fail
5	If Heartbeat Request message (step 4) contains <i>measReport</i> object, then: - verify <i>measReport</i> is properly formatted as object <i>rcvdPowerMeasReport</i> - end test, with PASS result else, if Heartbeat Request message (step 4) does not contain <i>measReport</i> object, then: - If number of Heartbeat Requests sent by UUT after Step 3 is = 5, then stop test with result of FAIL	■ Pass	□ Fail
6	SAS Test Harness sends a Heartbeat Response message, containing all required parameters properly formatted, and specifically: <i>cbsdId</i> = C <i>grantId</i> = G <i>transmitExpireTime</i> = current UTC time + 200 seconds <i>responseCode</i> = 0 Go to Step 4, above	--	--

4.8.4.2 WINNF.FT.C.MES.4

■ Test Case ID : WINNF.FT.C.MES.4 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT has successfully registered with SAS Test Harness, with <i>cbsdId</i>=C and <i>measCapability</i> = "RECEIVED_POWER_WITH_GRANT" ● UUT has received a valid grant with <i>grantId</i> = G ● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. ● Grant has <i>heartbeatInterval</i> = 60 seconds	--	--
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message contains all required parameters properly formatted, and specifically: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED"	■ Pass	□ Fail
3	SAS Test Harness sends a Heartbeat Response message, containing all required parameters properly formatted, and specifically: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>measReportConfig</i>= "RECEIVED_POWER_WITH_GRANT" ● <i>responseCode</i> = 0	--	--
4	UUT sends a Heartbeat Request message. Verify message contains all required parameters properly formatted, and specifically: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>operationState</i> = "AUTHORIZED"	■ Pass	□ Fail
5	If Heartbeat Request message (step 4) contains <i>measReport</i> object, then: ● verify <i>measReport</i> is properly formatted as object <i>rcvdPowerMeasReport</i> ● end test, with PASS result else, if Heartbeat Request message (step 4) does not contain <i>measReport</i> object, then: ● If number of Heartbeat Requests sent by UUT after Step 3 is = 5, then stop test with result of FAIL	■ Pass	□ Fail
6	SAS Test Harness sends a Heartbeat Response message, containing all required parameters properly formatted, and specifically: ● <i>cbsdId</i> = C ● <i>grantId</i> = G ● <i>responseCode</i> = 0 Go to Step 4, above	--	--

4.8.5 CBSD Relinquishment Process

4.8.5.1 WINNF.FT.C.RLQ.1

■ Test Case ID : WINNF.FT.C.RLQ.1 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT has successfully registered with SAS Test Harness, with <i>cbsdId</i>=C ● UUT has received a valid grant with <i>grantId</i> = G ● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. Invoke trigger to relinquish UUT Grant from the SAS Test Harness	--	--
2	UUT sends a Relinquishment Request message. Verify message contains all required parameters properly formatted, and specifically: ● <i>cbsdId</i> = C ● <i>grantId</i> = G	■ Pass	□ Fail
3	SAS Test Harness shall approve the request with a Relinquishment Response message with parameters: - <i>cbsdId</i> = C - <i>grantId</i> = G - <i>responseCode</i> = 0	--	--
4	After completion of step 3, SAS Test Harness will not provide any additional positive response (<i>responseCode</i>=0) to further request messages from the UUT	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall stop RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request	■ Pass	□ Fail

4.8.6 CBSD Deregistration Process

4.8.6.1 WINNF.FT.C.DRG.1

■ Test Case ID : WINNF.FT.C.DRG.1 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT has successfully registered with SAS Test Harness, with <i>cbsdId</i>=C ● UUT has received a valid grant with <i>grantId</i> = G ● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. Invoke trigger to deregister UUT from the SAS Test Harness	--	--
2	UUT sends a Relinquishment request and receives Relinquishment response with <i>responseCode</i> =0	--	--
3	UUT sends Deregistration Request to SAS Test Harness with <i>cbsdId</i> = C.	■ Pass	□ Fail
4	SAS Test Harness shall approve the request with a Deregistration Response message with parameters: ● <i>cbsdId</i> = C ● <i>responseCode</i> = 0	--	--
5	After completion of step 3, SAS Test Harness will not provide any additional positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--	--
6	Monitor the RF output of the UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify: ● UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs: A. UUT sending a Registration Request message, as this is not mandatory B. UUT sending a Deregistration Request message	■ Pass	□ Fail

4.8.7 CBSD Security Validation

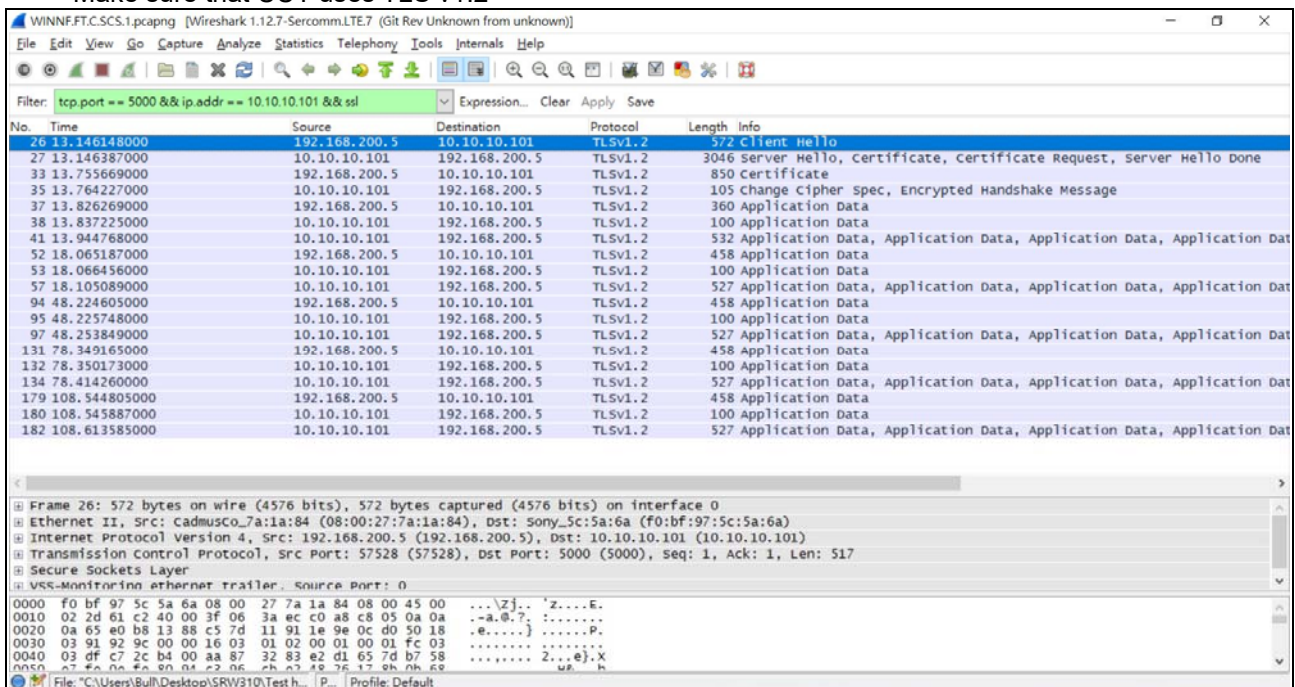
4.8.7.1 WINNF.FT.C.SCS.1

■ Test Case ID : WINNF.FT.C.SCS.1 □ NA

#	Test Execution Steps	Results	
1	- UUT shall start CBSD-SAS communication with the security procedure - The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate. - Configure the SAS Test Harness to accept the security procedure and establish the connection	☒ Pass	☐ Fail
2	- Make sure that Mutual authentication happens between UUT and the SAS Test Harness. - Make sure that UUT uses TLS v1.2 - Make sure that cipher suites from one of the following is selected, - TLS_RSA_WITH_AES_128_GCM_SHA256 - TLS_RSA_WITH_AES_256_GCM_SHA384 - TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256 - TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384 - TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256	☒ Pass	☐ Fail
3	A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability. UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with <i>responseCode</i> = 0 and <i>cbsdId</i>.	☒ Pass	☐ Fail
4	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: UUT shall not transmit RF	☒ Pass	☐ Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



WINNF.FT.C.SCS.1.pcapng [Wireshark 1.12.7-Sercomm.LTE.7 (Git Rev Unknown from unknown)]

Filter: tcp.port == 5000 && ip.addr == 10.10.10.101 && ssl

No.	Time	Source	Destination	Protocol	Length	Info
26	13.146148000	192.168.200.5	10.10.10.101	TLSv1.2	572	Client Hello
27	13.146387000	10.10.10.101	192.168.200.5	TLSv1.2	3046	Server Hello, Certificate, Certificate Request, Server Hello Done
33	13.755669000	192.168.200.5	10.10.10.101	TLSv1.2	850	Certificate
35	13.764227000	10.10.10.101	192.168.200.5	TLSv1.2	105	Change Cipher Spec, Encrypted Handshake Message
37	13.826269000	192.168.200.5	10.10.10.101	TLSv1.2	360	Application Data
38	13.837275000	10.10.10.101	192.168.200.5	TLSv1.2	100	Application Data
41	13.944768000	10.10.10.101	192.168.200.5	TLSv1.2	532	Application Data, Application Data, Application Data, Application Data
52	18.065187000	192.168.200.5	10.10.10.101	TLSv1.2	458	Application Data
53	18.066456000	10.10.10.101	192.168.200.5	TLSv1.2	100	Application Data
57	18.105089000	10.10.10.101	192.168.200.5	TLSv1.2	527	Application Data, Application Data, Application Data, Application Data
94	48.224605000	192.168.200.5	10.10.10.101	TLSv1.2	458	Application Data
95	48.225748000	10.10.10.101	192.168.200.5	TLSv1.2	100	Application Data
97	48.253849000	10.10.10.101	192.168.200.5	TLSv1.2	527	Application Data, Application Data, Application Data, Application Data
131	78.349165000	192.168.200.5	10.10.10.101	TLSv1.2	458	Application Data
132	78.350173000	10.10.10.101	192.168.200.5	TLSv1.2	100	Application Data
134	78.414260000	10.10.10.101	192.168.200.5	TLSv1.2	527	Application Data, Application Data, Application Data, Application Data
179	108.544805000	192.168.200.5	10.10.10.101	TLSv1.2	458	Application Data
180	108.545887000	10.10.10.101	192.168.200.5	TLSv1.2	100	Application Data
182	108.613585000	10.10.10.101	192.168.200.5	TLSv1.2	527	Application Data, Application Data, Application Data, Application Data

Frame 26: 572 bytes on wire (4576 bits), 572 bytes captured (4576 bits) on interface 0

Ethernet II, Src: cadmusco_7a:1a:84 (08:00:27:7a:1a:84), Dst: sony_5c:5a:6a (f0:bf:97:5c:5a:6a)

Internet Protocol Version 4, Src: 192.168.200.5 (192.168.200.5), Dst: 10.10.10.101 (10.10.10.101)

Transmission Control Protocol, Src Port: 57528 (57528), Dst Port: 5000 (5000), Seq: 1, Ack: 1, Len: 517

Secure Sockets Layer

VSS-Monitoring ethernet trailer, Source Port: 0

0000 f0 bf 97 5c 5a 6a 08 00 27 7a 1a 84 08 00 45 00 ...Zj...Z....E.

0010 02 2d 61 c2 40 00 3f 06 3a ec c0 a8 c8 05 0a 0a ...a.0.7.

0020 0a 65 e0 b8 13 88 c5 7d 11 91 1e 9e 0c d0 50 18 ...e.....P.

0030 03 91 92 9c 00 00 16 03 01 02 00 01 00 01 fc 03X

0040 03 df c7 2c b4 00 aa 87 32 89 e2 d1 65 7d b7 58Z...e}..X

0050 a7 fa 0a fa 0a 0a c2 06 ch a7 48 76 17 9b 0b c9X

File: "C:\Users\Bull\Desktop\SRW310\Test_h..." Profile: Default

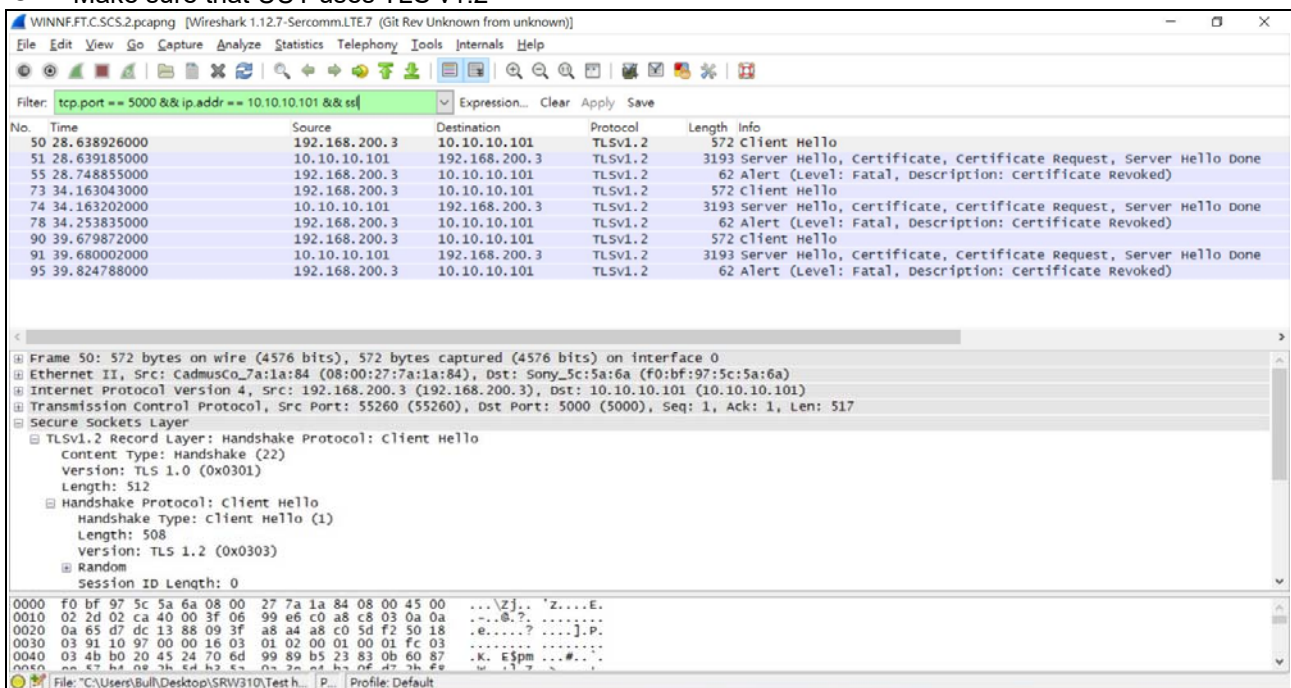
4.8.7.2 WINNF.FT.C.SCS.2

Test Case ID : WINNF.FT.C.SCS.2 ☐ NA

#	Test Execution Steps	Results	
1	UUT shall start CBSD-SAS communication with the security procedures	☒ Pass	☐ Fail
2	- Make sure that UUT uses TLS v1.2 for security establishment. - Make sure UUT selects the correct cipher suite. - UUT shall use CRL or OCSP to verify the validity of the server certificate. - Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.	☒ Pass	☐ Fail
3	UUT may retry for the security procedure which shall fail.	☒ Pass	☐ Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: UUT shall not transmit RF	☒ Pass	☐ Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



The screenshot shows a Wireshark capture of a network session. The filter is set to 'tcp.port == 5000 && ip.addr == 10.10.10.101 && ssl'. The packet list shows several TLSv1.2 messages: Client Hello, Server Hello, Certificate, Certificate Request, Server Hello Done, Alert (Level: Fatal, Description: Certificate Revoked), and another Alert (Level: Fatal, Description: Certificate Revoked). The packet details pane for the first Client Hello shows the handshake protocol, version (TLS 1.0), and length (512). The packet bytes pane shows the raw hex and ASCII data of the captured frames.

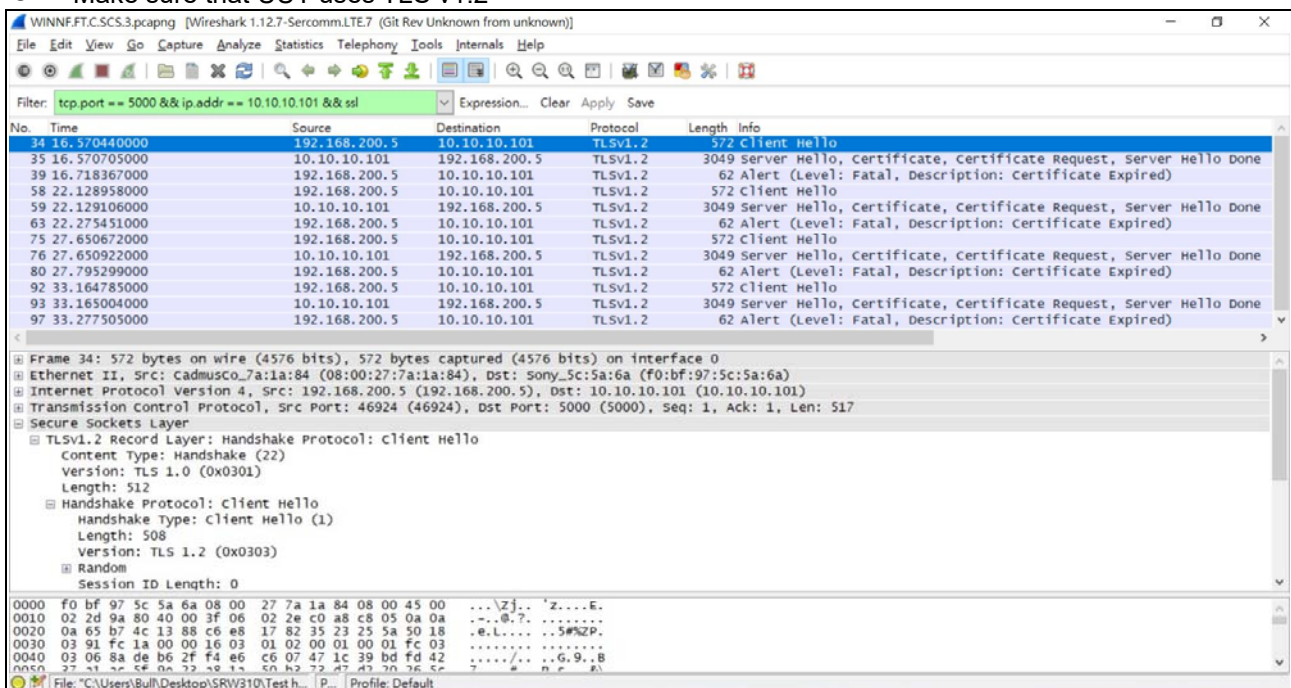
4.8.7.3 WINNF.FT.C.SCS.3

Test Case ID : WINNF.FT.C.SCS.3 ☐ NA

#	Test Execution Steps	Results	
1	UUT shall start CBSD-SAS communication with the security procedures	☒ Pass	☐ Fail
2	- Make sure that UUT uses TLS v1.2 for security establishment. - Make sure UUT selects the correct cipher suite. - UUT shall use CRL or OCSP to verify the validity of the server certificate. - Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.	☒ Pass	☐ Fail
3	UUT may retry for the security procedure which shall fail.	☒ Pass	☐ Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: UUT shall not transmit RF	☒ Pass	☐ Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



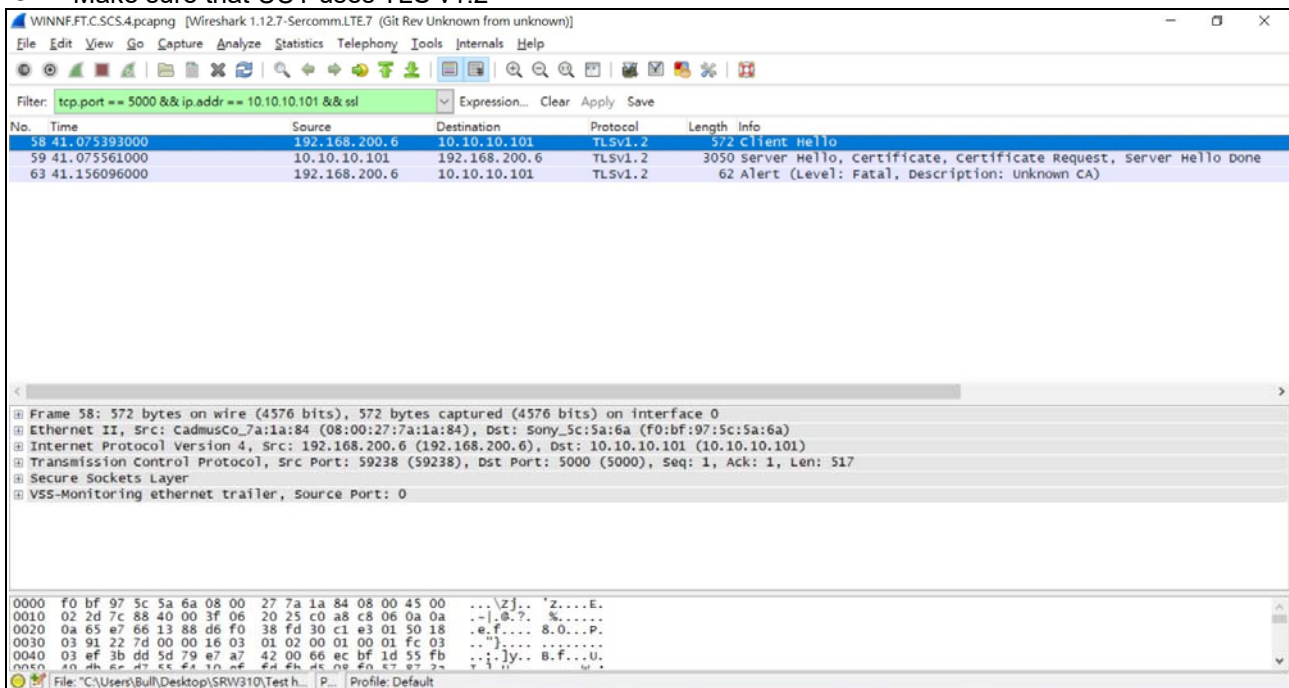
4.8.7.4 WINNF.FT.C.SCS.4

■ Test Case ID : WINNF.FT.C.SCS.4 ☐ NA

#	Test Execution Steps	Results	
1	● UUT shall start CBSD-SAS communication with the security procedures	■ Pass	☐ Fail
2	● Make sure that UUT uses TLS v1.2 for security establishment. ● Make sure UUT selects the correct cipher suite. ● UUT shall use CRL or OCSP to verify the validity of the server certificate ● Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.	■ Pass	☐ Fail
3	UUT may retry for the security procedure which shall fail.	■ Pass	☐ Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	■ Pass	☐ Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



WINNF.FT.C.SCS.4.pcapng [Wireshark 1.12.7-Sercomm.LTE.7 (Git Rev Unknown from unknown)]

File Edit View Go Capture Analyze Statistics Telephony Tools Internals Help

Filter: tcp.port == 5000 && ip.addr == 10.10.10.101 && ssl Expression... Clear Apply Save

No.	Time	Source	Destination	Protocol	Length	Info
58	41.075393000	192.168.200.6	10.10.10.101	TLSv1.2	572	Client Hello
59	41.075561000	10.10.10.101	192.168.200.6	TLSv1.2	3050	Server Hello, certificate, certificate Request, Server Hello Done
63	41.156096000	192.168.200.6	10.10.10.101	TLSv1.2	62	Alert (Level: Fatal, Description: Unknown CA)

Frame 58: 572 bytes on wire (4576 bits), 572 bytes captured (4576 bits) on interface 0

Ethernet II, Src: CadmusCo_7a:1a:84 (08:00:27:7a:1a:84), Dst: Sony_5c:5a:6a (f0:bf:97:5c:5a:6a)

Internet Protocol Version 4, Src: 192.168.200.6 (192.168.200.6), Dst: 10.10.10.101 (10.10.10.101)

Transmission Control Protocol, Src Port: 59238 (59238), Dst Port: 5000 (5000), Seq: 1, Ack: 1, Len: 517

Secure Sockets Layer

VSS-Monitoring ethernet trailer, Source Port: 0

0000 f0 bf 97 5c 5a 6a 08 00 27 7a 1a 84 08 00 45 00 ...Zj.. 'Z....E.

0010 02 2d 7c 88 40 00 3f 06 20 25 c0 a8 c8 06 0a 0a ...]@.? %.....

0020 0a 65 e7 66 13 88 d6 f0 38 fd 30 c1 e3 01 50 18 .e.f.... 8.0...P.

0030 03 91 22 7d 00 00 16 03 01 02 00 01 00 01 fc 03 ..").....

0040 03 ef 3b dd 5d 79 e7 a7 42 00 66 ec bf 1d 55 fb ...}y.. B.f...U.

File: "C:\Users\Bulh\Desktop\SRW310\Test h... Profile: Default

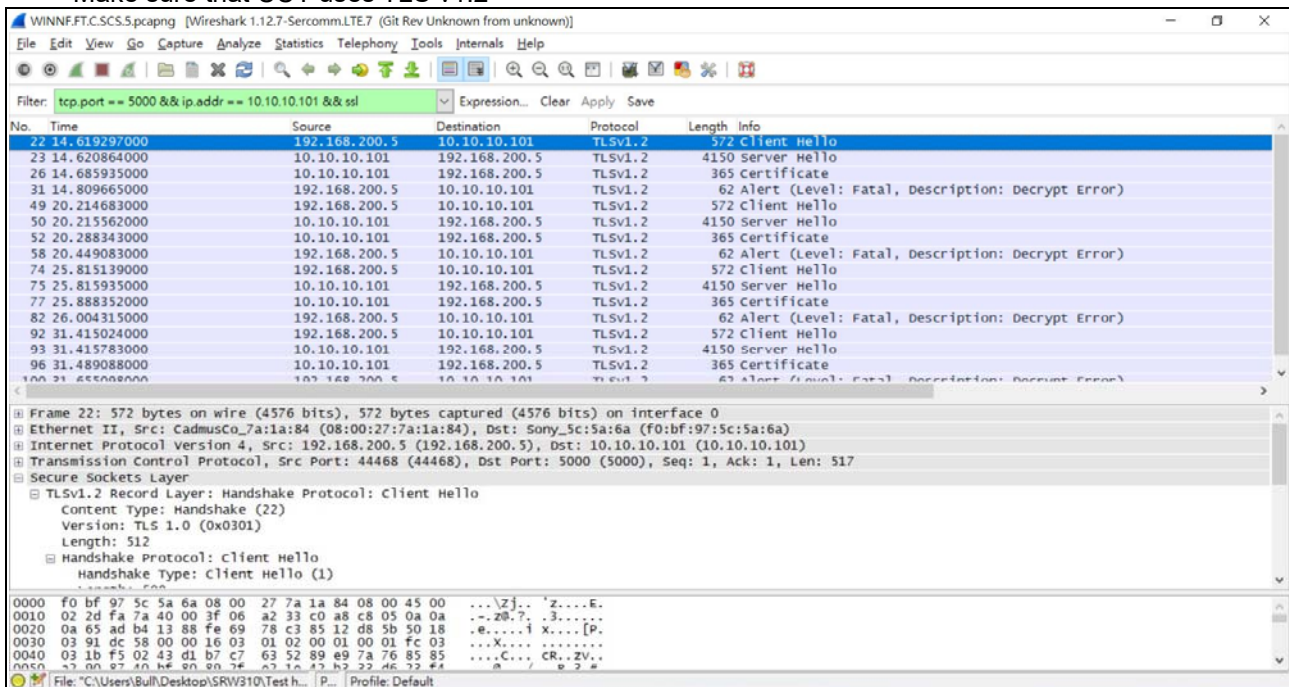
4.8.7.5 WINNF.FT.C.SCS.5

Test Case ID : WINNF.FT.C.SCS.5 ☐ NA

#	Test Execution Steps	Results	
1	UUT shall start CBSD-SAS communication with the security procedures	☒ Pass	☐ Fail
2	- Make sure that UUT uses TLS v1.2 for security establishment. - Make sure UUT selects the correct cipher suite. - UUT shall use CRL or OCSP to verify the validity of the server certificate - Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.	☒ Pass	☐ Fail
3	UUT may retry for the security procedure which shall fail.	☒ Pass	☐ Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: UUT shall not transmit RF	☒ Pass	☐ Fail

Wireshark Capture Example for Test Case :

- Make sure that UUT uses TLS v1.2



4.8.8 CBSD RF Power Measurement

4.8.8.1 WINNF.PT.C.HBT.1

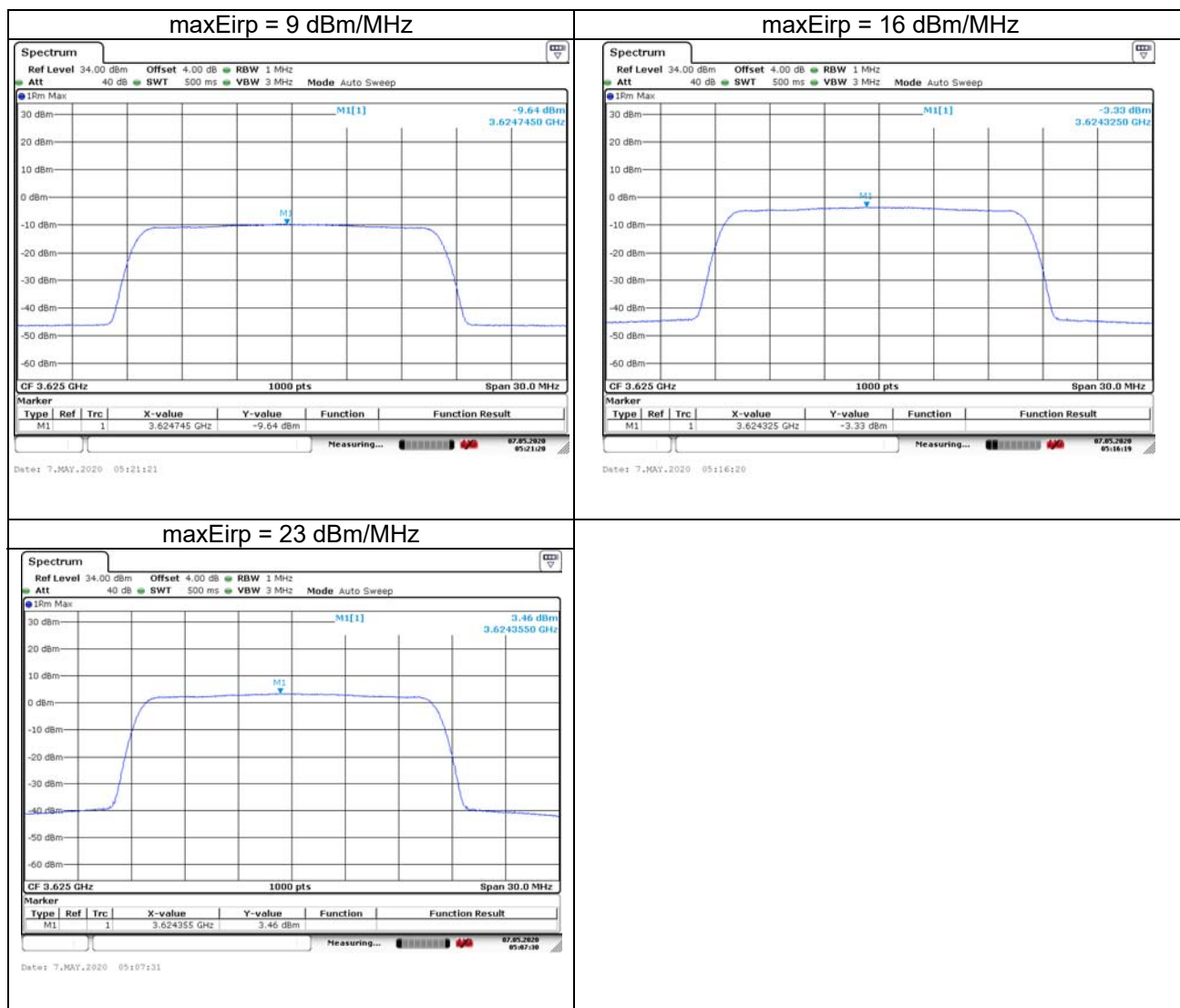
■ Test Case ID : WINNF.PT.C.HBT.1 □ NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness ● UUT has registered with the SAS, with CBSD ID = C ● UUT has a single valid grant G with parameters {lowFrequency = FL, highFrequency = FH, maxEirp = Pi}, with grant in AUTHORIZED state, and grantExpireTime set to a value far past the duration of this test case <i>Note: in order for the UUT to request a grant with the parameters {lowFrequency, highFrequency, maxEirp}, the SAS Test Harness may need to provide appropriate guidance in the availableChannel object of the spectrumInquiry response message, and the operationParam object of the grant response message. Alternately, the UUT vendor may provide the ability to set those parameters on the UUT so that the UUT will request a grant with those parameters</i>	--	--
2	UUT and SAS Test Harness perform a series of Heartbeat Request/Response cycles, which continues until the other test steps are complete. Messaging for each cycle is as follows: ● UUT sends Heartbeat Request, including: ○ cbsdId = C ○ grantId = G ● SAS Test Harness responds with Heartbeat Response, including: ○ cbsdId = C ○ grantId = G ○ transmitExpireTime = current UTC time + 200 seconds ○ responseCode = 0	--	--
3	Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method. <i>Note: it may be required for the vendor to provide a method or configuration to bring the UUT to a mode which is required by the measurement methodology. Any such mode is vendor-specific and depends upon UUT behavior and the measurement methodology.</i>	☒ Pass	☐ Fail

RF measurement plot for Test Case :

- Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.

Channel	Freq. (MHz)	20MHz			Limit	Pass / Fail
		Conducted Power Density (dBm/MHz)	Gain(dBi)	16		
		Chain 0	Power Density			
Middle	3625	-9.64	-9.64		9.0	Pass
Middle	3625	-3.33	-3.33		16.0	Pass
Middle	3625	3.46	3.46		23.0	Pass



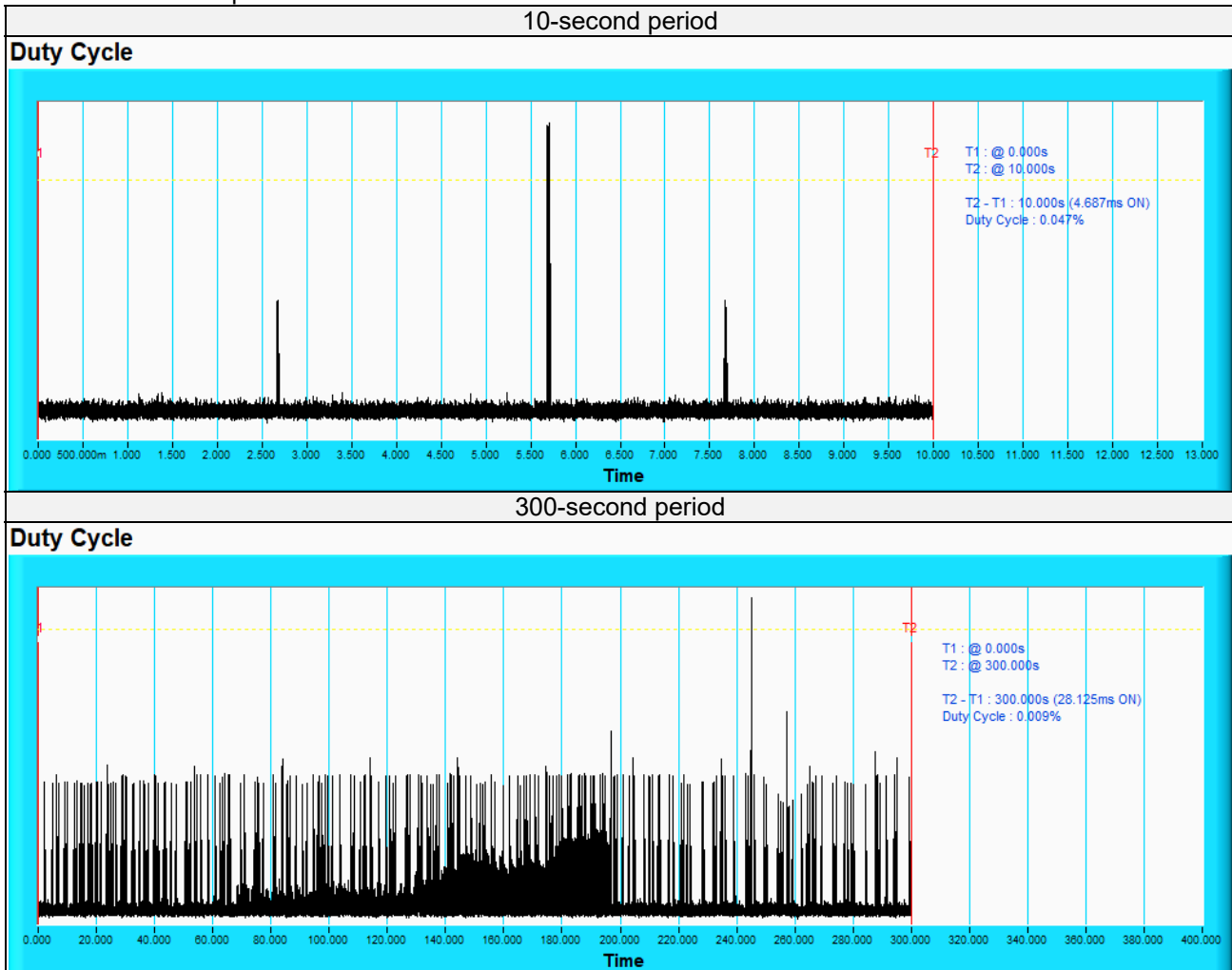
4.8.9 Duration and Duty Cycle

Duration and Duty Cycle			
Period	Minimum Time	Limit	Pass / Fail
10-second	4.687 msec	1-second	Pass
300-second	28.125 msec	10-second	Pass
3600-second	1.125 sec	20-second	Pass

Note:

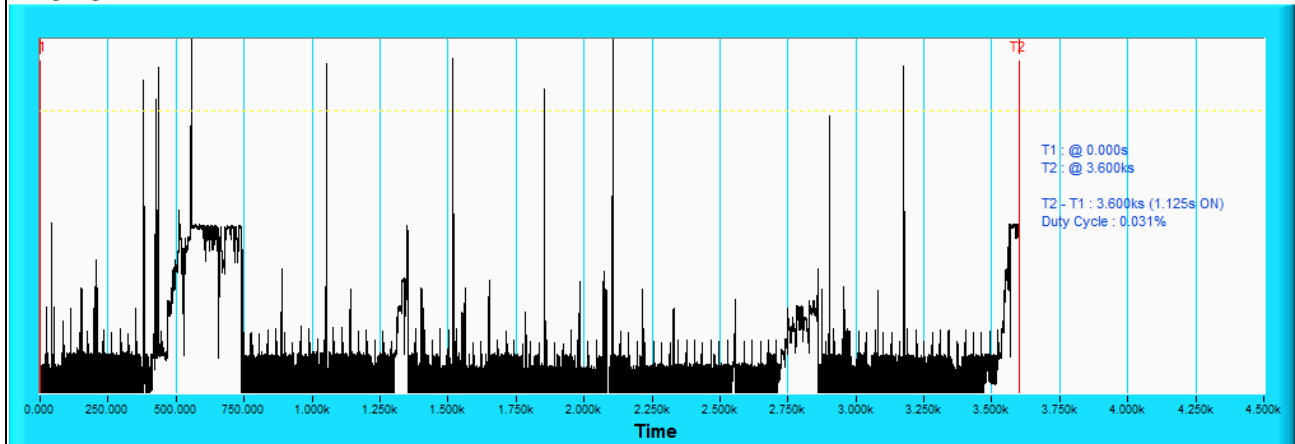
1. Limited in duration and duty cycle to the minimum time necessary to get a grant from the SAS. This time should not exceed 1 second within any 10-second period, 10seconds within any 300-second period, or 20 seconds within any 3600-second period.
2. Pass = Minimum Time < Limit

- Measurement plot for Test Case :



3600-second period

Duty Cycle

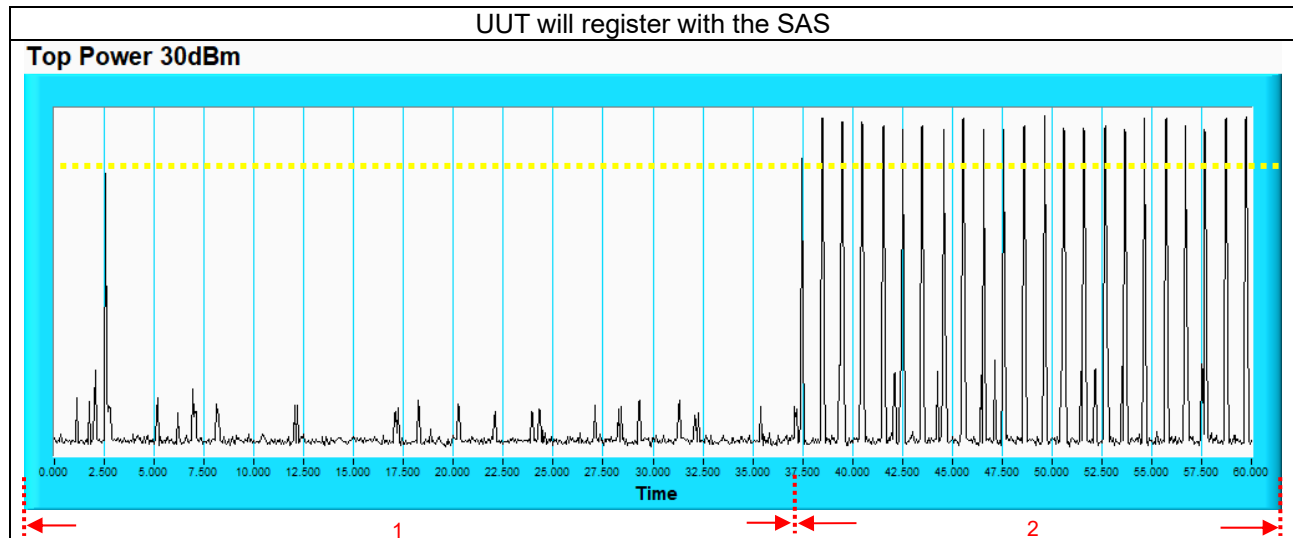


Note: Yellow color line in above plot represent the RF test equipment is logging the amount of time CPE-CBSD as UUT transmitted EIRP above 23 dBm/10MHz

4.8.10 Verify that the device will register with a SAS when operating below 23 dBm

Answer: Verified in test case WINNF.PT.C.HBT.1

Yes, the CPE-CBSD as UUT default EIRP is under 23 dBm and can register success with SAS.
After granted, the CPE-CBSD UUT will adjust the EIRP by maxEirp.



Note :

1. Yellow color line in above plot represent the 23 dBm.
2. Marker 1 : The CPE-CBSD as UUT will register with SAS and adjust the EIRP by maxEirp < 23 dBm.
3. Marker 2 : After granted, the CPE-CBSD as UUT will adjust the EIRP by maxEirp > 23 dBm.

5 Pictures of Test Arrangements

Please refer to the attached file (Test Setup Photo).

6 WinnForum Logs

Please refer to the attached file (Test Logs).

Appendix – Information of the Testing Laboratories

We, Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch, were founded in 1988 to provide our best service in EMC, Radio, Telecom and Safety consultation. Our laboratories are FCC recognized accredited test firms and accredited and approved according to ISO/IEC 17025.

If you have any comments, please feel free to contact us at the following:

Lin Kou EMC/RF Lab

Tel: 886-2-26052180

Fax: 886-2-26051924

Hsin Chu EMC/RF Lab/Telecom Lab

Tel: 886-3-6668565

Fax: 886-3-6668323

Hwa Ya EMC/RF/Safety Lab

Tel: 886-3-3183232

Fax: 886-3-3270892

Email: service.adt@tw.bureauveritas.com

Web Site: www.bureauveritas-adt.com

The address and road map of all our labs can be found in our web site also.

--- END ---