



WINNF-TS-0122 Test Report

Applicant	Mavenir Systems, Inc.
Equipment	M2RU-OD-4-DC
Brand Name	Mavenir Systems, Inc.
Model Name	DRRU-R3184848
Marketing Name	M2RU
FCC ID	2AWAS-910-00027
Reference	WINNF-TS-0122 Version V1.0.1

The product was received on Nov. 20, 2020 and testing was started from Nov. 24, 2020 and completed on Dec. 18, 2020. We, SPORTON INTERNATIONAL (USA) INC., would like to declare that the tested sample has been evaluated in accordance with the test procedures given in WINNF-TS-0122 Version V1.0.1 and has been in compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of SPORTON INTERNATIONAL (USA) INC., the test report shall not be reproduced except in full.



Approved by: Neil Kao

Sporton International (USA) Inc.
1175 Montague Expressway, Milpitas, CA 95035

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Appendix A. Setup Plot**Appendix B. RF measurement plots**

Revision History

Report No.	Version	Description	Issued Date
FG200825001	01	Initial issue of report	Dec. 25, 2020

1. Administration Data

1.1 Testing Laboratory

Test Site	SPORTON INTERNATIONAL (USA) INC.
Test Site Location	1175 Montague Expressway, Milpitas, CA 95035 TEL: (408) 904-3300
Test Engineer	Janssen Wongso
Temperature	21 ~ 25 °C
Relative Humidity	45 ~ 56 %

1.2 Applicant

Company Name	Mavenir Systems, Inc.
Address	1700 International Parkway, Suite 200, Richardson, TX 75081 USA

2. General Information

2.1 Description of Equipment Under Test (EUT)

Product Feature & Specification	
EUT Type	M2RU-OD-4-DC
Brand Name	Mavenir Systems, Inc.
Model Number	DRRU-R3184848
FCC ID	2AWAS-910-00027
Professional Installation	☒ Yes ☐ No
UUT Category	☐ Category A ☒ Category B
Unit Under Test in Test ID	☒ UUT with Domain Proxy ☐ UUT without Domain Proxy
UUT FW Version	1
UUT Serial Number	DRRU-R3181DNN B200003P DRRU-R3181DNN B200004P
Domain Proxy HW Version	N/A (The DP is SW only and is installed in the supported equipment, CU, listed in clause 2.3)
Domain Proxy SW Version	1.1

2.2 Protocol Test Summary

Section	Test Case ID	Test Case Title	Test Result
6.1.4.1.6	WINNF.FT.D.REG.6	Domain Proxy Single-Step registration for CBSD with CPI signed data	PASS
6.1.4.2.2	WINNF.FT.D.REG.9	Domain Proxy Missing Required parameters (responseCode 102)	PASS
6.1.4.2.4	WINNF.FT.D.REG.11	Domain Proxy Pending registration (responseCode 200)	PASS
6.1.4.2.6	WINNF.FT.D.REG.13	Domain Proxy Invalid parameters (responseCode 103)	PASS
6.1.4.2.8	WINNF.FT.D.REG.15	Domain Proxy Blacklisted CBSD (responseCode 101)	PASS
6.1.4.2.10	WINNF.FT.D.REG.17	Domain Proxy Unsupported SAS protocol version (responseCode 100)	PASS
6.1.4.2.12	WINNF.FT.D.REG.19	Domain Proxy Group Error (responseCode 201)	PASS
6.3.4.2.1	WINNF.FT.C.GRA.1	Unsuccessful Grant responseCode=400 (INTERFERENCE)	PASS
6.3.4.2.2	WINNF.FT.C.GRA.2	Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	PASS
6.4.4.1.2	WINNF.FT.D.HBT.2	Domain Proxy Heartbeat Success Case (first Heartbeat Response)	PASS
6.4.4.2.1	WINNF.FT.C.HBT.3	Heartbeat responseCode=105 (DEREGISTER)	PASS
6.4.4.2.3	WINNF.FT.C.HBT.5	Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	PASS
6.4.4.2.4	WINNF.FT.C.HBT.6	Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	PASS
6.4.4.2.5	WINNF.FT.C.HBT.7	Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	PASS
6.4.4.2.6	WINNF.FT.D.HBT.8	Domain Proxy Heartbeat responseCode=500 (TERMINATED_GRANT)	PASS
6.4.4.3.1	WINNF.FT.C.HBT.9	Heartbeat Response Absent (First Heartbeat)	PASS
6.4.4.3.2	WINNF.FT.C.HBT.10	Heartbeat Response Absent (Subsequent Heartbeat)	PASS
6.4.4.4.1	WINNF.FT.C.HBT.11	Successful Grant Renewal in Heartbeat Test Case	PASS
6.6.4.1.2	WINNF.FT.D.RLQ.2	Domain Proxy Successful Relinquishment	PASS
6.6.4.2.2	WINNF.FT.D.RLQ.4	Domain Proxy Unsuccessful Relinquishment, responseCode=102	PASS
6.6.4.3.2	WINNF.FT.D.RLQ.6	Domain Proxy Unsuccessful Relinquishment, responseCode=103	PASS

Section	Test Case ID	Test Case Title	Test Result
6.7.4.1.2	WINNF.FT.D.DRG.2	Domain Proxy Successful Deregistration	PASS
6.7.4.2.2	WINNF.FT.D.DRG.4	Domain Proxy Deregistration responseCode=102	PASS
6.7.4.3.1	WINNF.FT.C.DRG.5	Deregistration responseCode=103	PASS
6.8.4.1.1	WINNF.FT.C.SCS.1	Successful TLS connection between UUT and SAS Test Harness	PASS
6.8.4.2.1	WINNF.FT.C.SCS.2	TLS failure due to revoked certificate	PASS
6.8.4.2.2	WINNF.FT.C.SCS.3	TLS failure due to expired server certificate	PASS
6.8.4.2.3	WINNF.FT.C.SCS.4	TLS failure when SAS Test Harness certificate is issue by unknown CA	PASS
6.8.4.2.4	WINNF.FT.C.SCS.5	TLS failure when certificate at the SAS Test Harness is corrupted	PASS
7.1.4.1.1	WINNF.PT.C.HBT	UUT RF Transmit Power Measurement	PASS

2.3 Support Equipment

Name	Item Name	Manufacturer	Model Number	HW Version	SW Version	Serial Number
CU: Central Unit Domain proxy	Dell R740 Server	Dell	PowerEdge R740	Dell R740, Centos 7.6 OS	Mavenir 5_5_1_0	104309559705
DU: Distributed Unit (Baseband Unit)	Server	Kontron	ME1100	ME1100 Centos 7.6 OS	Mavenir 5_5_1_0	9017049531
	CPRI Network Adapter Card	Mavenir	910-02026-01	Mavenir 4.23	Mavenir 4.23	092000169
Laptop	Dell Laptop	Dell	Inspiron 15 3000	Windows	Windows	6TLYS33
Laptop	Dell Laptop	Dell	Inspiron 15 3000	Windows	Windows	9T3VRY1
Power Supply for M2RU DUT #1	Power Supply	XP	DNR240PS48-I	NA	NA	181503-9601071900196
Power Supply for M2RU DUT #2	Power Supply	XP	DNR240PS48-I	NA	NA	181503-9601071900143
Power Supply for DU	Power Supply	XP	DNR240PS48-I	NA	NA	181503-9601071900194
Switch	Switch	Arista	DCS	NA	NA	DCS-7124SX
Switch	Switch	Netgear	S350	NA	NA	GS308

2.4 Test Equipment List

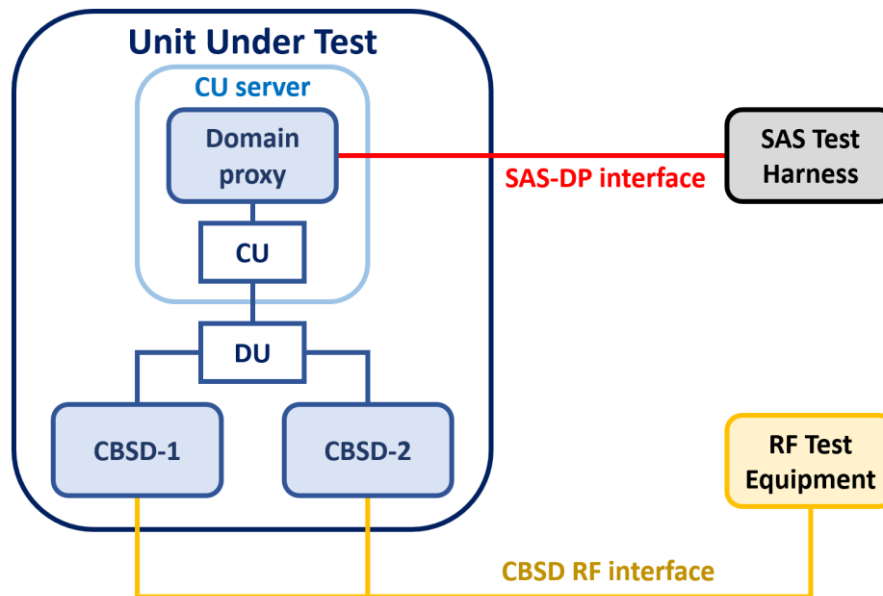
Name	Manufacturer	Type/Model	Serial Number	Calibration	
				Last Cal.	Due Date
Spectrum Analyzer	Rohde & Schwarz	FSV40	101089	2020-09-14	2021-09-13

3. Measurement Environment

Measurement Environment Information	
SAS Test Harness version	1.0.0.3
Operating System	Windows 10
TLS version	V 1.2
Python version	V 2.7

Conditional Test Case		
Support (Yes / No)	Condition	Definition
No	C1	Mandatory for UUT which supports multi-step registration message
No	C2	Mandatory for UUT which supports single-step registration with no CPI-signed data in the registration message. By definition, this is a subset of Category A devices which determine all registration information, including location, without CPI intervention.
Yes	C3	Mandatory for UUT which supports single-step registration containing CPIsigned data in the registration message.
No	C4	Mandatory for UUT which supports RECEIVED_POWER_WITHOUT_GRANT measurement report type
No	C5	Mandatory for UUT which supports RECEIVED_POWER_WITH_GRANT measurement report type.
No	C6	Mandatory for UUT which supports parameter change being made at the UUT and prior to sending a deregistration.

3.1 Test configuration with Domain Proxy



Note:

1. The Unit Under Test (UUT) includes Domain Proxy and identical CBSD-1, CBSD-2.
2. The support equipment CU and DU are list in clause 2.3 in this report.

3.2 Standards

[n.1]. WINNF-TS-0122 Version 1.0.1, "Conformance and Performance Test Technical Specification; CBSD/DP as Unit Under Test (UUT)", 28 September 2018

[n.2]. WINNF-TS-0016 Version 1.2.5, "SAS to CBSD Technical Specification", 18 May 2020

4. Protocol Test Results

4.1 [WINNF.FT.D.REG.6] Domain Proxy Single-Step registration for CBSD with CPI signed data

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state • All of the required and REG-Conditional parameters shall be configured and CPI signature provided	--
2	The DP with two CBSDs sends Registration requests in the form of one 2-element Array or as individual messages to the SAS Test Harness: • The required <i>userId</i>, <i>fcId</i> and <i>cbsdSerialNumber</i> and REG-Conditional <i>cbsdCategory</i>, <i>airInterface</i>, <i>measCapability</i> and <i>cpiSignatureData</i> registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges. • Any optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges.	PASS
3	• SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: – <i>cbsdId</i> = <i>Ci</i> – <i>measReportConfig</i> for each CBSD shall not be included. – <i>responseCode</i> = 0 for each CBSD	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i>=0) to further request messages from the UUT.	--
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

4.2 [WINNF.FT.D.REG.9] Domain Proxy Missing Required parameters (responseCode 102)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: – SAS response does not include a <i>cbsdId</i>. – <i>responseCode</i> = Ri for CBSD1 and CBSD2	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

4.3 [WINNF.FT.D.REG.11] Domain Proxy Pending registration (responseCode 200)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: – SAS response does not include a <i>cbsdId</i>. – <i>responseCode</i> = Ri for CBSD1 and CBSD2	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =200) to further request messages from the UUT.	--
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

4.4 [WINNF.FT.D.REG.13] Domain Proxy Invalid parameters (responseCode 103)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: – SAS response does not include a <i>cbsdId</i>. – <i>responseCode</i> = Ri for CBSD1 and CBSD2	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> R1 = 0 for CBSD1 and R2 = 103 for CBSD2) to further request messages from the UUT.	--
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

4.5 [WINNF.FT.D.REG.15] Domain Proxy Blacklisted CBSD (responseCode 101)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: – SAS response does not include a <i>cbsdId</i>. – <i>responseCode</i> = Ri for CBSD1 and CBSD2	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> R1 = 0 for CBSD1 and R2 = 101 for CBSD2) to further request messages from the UUT.	--
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

4.6 [WINNF.FT.D.REG.17] Domain Proxy Unsupported SAS protocol version (responseCode 100)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: – SAS response does not include a <i>cbsdId</i>. – <i>responseCode</i> = Ri for CBSD1 and CBSD2	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> (Ri) = 100 for each CBSD) to further request messages from the UUT.	--
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

4.7 [WINNF.FT.D.REG.19] Domain Proxy Group Error (responseCode 201)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: – SAS response does not include a <i>cbsdId</i>. – <i>responseCode</i> = Ri for CBSD1 and CBSD2	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> R1 = 0 for CBSD1 and R2 = 201 for CBSD2) to further request messages from the UUT.	--
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

4.8 [WINNF.FT.C.GRA.1] Unsuccessful Grant responseCode=400 (INTERFERENCE)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has registered successfully with SAS Test Harness, with <i>cbsdId</i> = C	--
2	UUT sends valid Grant Request.	--
3	SAS Test Harness sends a Grant Response message, including • <i>cbsdId</i>=C • <i>responseCode</i> = R	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

4.9 [WINNF.FT.C.GRA.2] Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: UUT has registered successfully with SAS Test Harness, with <i>cbsdId</i> = C	--
2	UUT sends valid Grant Request.	--
3	SAS Test Harness sends a Grant Response message, including <i>cbsdId</i>=C <i>responseCode</i> = R	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =401) to further request messages from the UUT.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: UUT shall not transmit RF	PASS

4.10 [WINNF.FT.D.HBT.2] Domain Proxy Heartbeat Success Case (first Heartbeat Response)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry:	--
	DP has two CBSD registered successfully with SAS Test Harness, with <i>cbsdId</i> = Ci, i={1,2}	
2	DP sends a message: - If message is a Spectrum Inquiry Request, go to step 3 - If message is a Grant Request, go to step 5	--
3	DP sends a Spectrum Inquiry Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Spectrum Inquiry Request message is formatted correctly for each CBSD, including for CBSDi, i={1,2}: <i>cbsdId</i> = Ci - List of frequencyRange objects sent by DP are within the CBRS frequency range	PASS
4	If a separate Spectrum Inquiry Request message was sent for each CBSD, the SAS Test Harness shall respond to each Spectrum Inquiry Request message with a separate Spectrum Inquiry Response message. If a single Spectrum Inquiry Request message was sent containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Spectrum Inquiry Response message containing a 2-object array. Verify parameters for each CBSD within the Spectrum Inquiry Response message are as follows, for CBSDi, i={1,2}: <i>cbsdId</i> = Ci <i>availableChannel</i> is an array of availableChannel objects <i>responseCode</i> = 0	--

5	DP sends a Grant Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Grant Request message is formatted correctly for each CBSD, including for CBSDi, i={1,2}: • <i>cbsdId</i> = C • <i>maxEIRP</i> is at or below the limit appropriate for CBSD category as defined by Part 96 • <i>operationFrequencyRange</i>, <i>Fi</i>, sent by UUT is a valid range within the CBRS band	PASS
6	If a separate Grant Request message was sent for each CBSD, the SAS Test Harness shall respond to each Grant Request message with a separate Grant Response message.	--
	If a single Grant Request message was sent containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Grant Response message containing a 2-object array. Verify parameters for each CBSD within the Grant Response message are as follows, for CBSDi, i={1,2}: • <i>cbsdId</i> = Ci • <i>grantId</i> = Gi = a valid grant ID • <i>grantExpireTime</i> = UTC time greater than duration of the test • <i>responseCode</i> = 0	
7	Ensure DP sends first Heartbeat Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Heartbeat Request message is formatted correctly for each CBSD, including, for CBSDi i={1,2}: • <i>cbsdId</i> = Ci, i={1,2} • <i>grantId</i> = Gi, i={1,2} • <i>operationState</i> = "GRANTED"	PASS

8	If a separate Heartbeat Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Heartbeat Request message with a separate Heartbeat Response message. If a single Heartbeat Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Heartbeat Response message containing a 2-object array. Verify parameters for each CBSD within the Heartbeat Response message are as follows, for CBSDi: • <i>cbsdId</i> = Ci • <i>grantId</i> = Gi • <i>transmitExpireTime</i> = current UTC time + 200 seconds • <i>responseCode</i> = 0	--
9	For further Heartbeat Request messages sent from DP after completion of step 8, validate message is sent within latest specified heartbeatInterval for CBSDi: • <i>cbsdId</i> = Ci • <i>grantId</i> = Gi • <i>operationState</i> = "AUTHORIZED" and SAS Test Harness responds with a Heartbeat Response message including the following parameters, for CBSDi • <i>cbsdId</i> = Ci • <i>grantId</i> = Gi • <i>transmitExpireTime</i> = current UTC time + 200 seconds	PASS
	• <i>responseCode</i> = 0	
10	Monitor the RF output of the UUT from start of test until UUT transmission commences. Monitor the RF output of the UUT from start of test until RF transmission commences. Verify: • UUT does not transmit at any time prior to completion of the first heartbeat response • UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range Fi.	PASS

4.11 [WINNF.FT.C.HBT.3] Heartbeat responseCode=105 (DEREGISTER)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including: • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: • <i>cbsdId</i> = C • <i>grantId</i> = G	--
	• <i>transmitExpireTime</i> = T = Current UTC time • <i>responseCode</i> = 105 (DEREGISTER)	
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--
5	Monitor the RF output of the UUT. Verify: • UUT shall stop transmission within (T + 60 seconds) of completion of step 3	PASS

4.12 [WINNF.FT.C.HBT.5] Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request)	--
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "GRANTED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = T = current UTC time • <i>responseCode</i> = 501 (SUSPENDED_GRANT)	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--
5	Monitor the SAS-CBSD interface. Verify either A OR B occurs: A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters: • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "GRANTED" B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters: • <i>cbsdId</i> = C • <i>grantId</i> = G Monitor the RF output of the UUT. Verify: • UUT does not transmit at any time	PASS

4.13 [WINNF.FT.C.HBT.6] Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface	--
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = T = current UTC time • <i>responseCode</i> = 501 (SUSPENDED_GRANT)	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--

5	Monitor the SAS-CBSD interface. Verify either A OR B occurs: A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters: • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "GRANTED" B. UUT sends a Relinquishment Request message. Ensure message is correctly formatted with parameters: • <i>cbsdId</i> = C • <i>grantId</i> = G Monitor the RF output of the UUT. Verify:	PASS
	• UUT shall stop transmission within (<i>T</i> + 60 seconds) of completion of step 3	

4.14 [WINNF.FT.C.HBT.7] Heartbeat responseCode=502 (UNSYNC_OP_PARAM)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface	--
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is sent within latest specified <i>heartbeatInterval</i>, and is formatted correctly, including: • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = T = Current UTC Time • <i>responseCode</i> = 502 (UNSYNC_OP_PARAM)	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--
5	Monitor the SAS-CBSD interface. Verify: • UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters: ○ <i>cbsdId</i> = C ○ <i>grantId</i> = G Monitor the RF output of the UUT. Verify: • UUT shall stop transmission within (T+60) seconds of completion of step 3.	PASS

4.15 [WINNF.FT.D.HBT.8] Domain Proxy Heartbeat responseCode=500 (TERMINATED_GRANT)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: - DP has two CBSD registered successfully with SAS Test Harness - Each CBSD {1,2} has a valid single grant as follows: - valid <i>cbsdId</i> = Ci, i={1,2} - valid <i>grantId</i> = Gi, i={1,2} - grant is for frequency range Fi, power Pi <i>grantExpireTime</i> = UTC time greater than duration of the test - Both CBSD are in AUTHORIZED state and transmitting within their granted bandwidth on RF interface	--
2	DP sends a Heartbeat Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of size 2. Verify Heartbeat Request message is sent within latest specified <i>heartbeatInterval</i>, and is formatted correctly for each CBSD, including, for CBSDi i={1,2}: <i>cbsdId</i> = Ci, i = {1,2} <i>grantId</i> = Gi, i = {1,2} <i>operationState</i> = "AUTHORIZED"	PASS

3	If separate Heartbeat Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Heartbeat Request message with a separate Heartbeat Response message. If a single Heartbeat Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Heartbeat Response message containing a 2-object array. Parameters for each CBSD within the Heartbeat Response message should be as follows, for CBSDi: • <i>cbsdId</i> = Ci • <i>grantId</i> = Gi • For CBSD1: ○ <i>transmitExpireTime</i> = current UTC time + 200 seconds ○ <i>responseCode</i> = 0 • For CBSD2: ○ <i>transmitExpireTime</i> = T = current UTC time ○ <i>responseCode</i> = 500 (TERMINATED_GRANT)	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT. If CBSD sends further Heartbeat Request messages for CBSD1, SAS Test Harness shall respond with a Heartbeat Response message with parameters: • <i>cbsdId</i> = C1 • <i>grantId</i> = G1 • <i>transmitExpireTime</i> = current UTC time + 200 seconds • <i>responseCode</i> = 0 • Heartbeat Request message is within <i>heartbeatInterval</i> of previous Heartbeat Request message	--
5	Monitor the RF output of CBSD2. Verify: • CBSD2 shall stop transmission within bandwidth F2 within (T + 60 seconds) of completion of step 3	PASS

4.16 [WINNF.FT.C.HBT.9] Heartbeat Response Absent (First Heartbeat)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request)	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified <i>heartbeatInterval</i>, and is formatted correctly, including: • <i>cbsdId</i> = C • <i>grantId</i> = G	PASS
	• <i>operationState</i> = "GRANTED"	
3	After completion of Step 2, SAS Test Harness does not respond to any further messages from UUT to simulate loss of network connection	--
4	Monitor the RF output of the UUT from start of test to 60 seconds after step 3. Verify: • At any time during the test, UUT shall not transmit on RF interface	PASS

4.17 [WINNF.FT.C.HBT.10] Heartbeat Response Absent (Subsequent Heartbeat)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P ○ <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface	--
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message issent within the latest specified <i>heartbeatInterval</i>, and is formatted correctly, including: • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, with the following parameters: • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = current UTC time + 200 seconds • <i>responseCode</i> = 0	--
4	After completion of Step 3, SAS Test Harness does not respond to any further messages from UUT	--
5	Monitor the RF output of the UUT. Verify: • UUT shall stop all transmission on RF interface within (<i>transmitExpireTime</i> + 60 seconds), using the <i>transmitExpireTime</i> sent in Step 3.	PASS

4.18 [WINNF.FT.C.HBT.11] Successful Grant Renewal in Heartbeat Test Case

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: ○ valid <i>cbsdId</i> = C ○ valid <i>grantId</i> = G ○ grant is for frequency range F, power P • UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface. • Grant has the following parameters at the start of the test: ○ <i>grantExpireTime</i> = UTC time equal to time at start of test + 300 seconds = Tgrant_expire ○ <i>transmitExpireTime</i> = UTC time equal to time at start of test + 200 seconds ○ <i>heartbeatInterval</i> = 60 seconds	--
2	UUT sends a Heartbeat Request message. If Heartbeat Request message contains grantRenew = TRUE, go to Step 6, else go to Step 3.	--
3	Verify Heartbeat Request message is sent within the latest specified <i>heartbeatInterval</i>, and is formatted correctly, including: • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED"	PASS
4	SAS Test Harness sends a Heartbeat Response message, with the following parameters: • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = current UTC + 200 seconds • <i>grantExpireTime</i> = same as Step 1 • <i>responseCode</i> = 0	--
5	Go to Step 2	--
6	Verify Heartbeat Request message is sent within the latest specified <i>heartbeatInterval</i>, and is formatted correctly, including: • <i>cbsdId</i> = C	PASS

	• <i>grantId</i> = G	
	• <i>operationState</i> = "AUTHORIZED" • <i>grantRenew</i> = TRUE	
7	SAS Test Harness sends a Heartbeat Response message, with the following parameters: • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>grantExpireTime</i> = UTC time set far in the future • <i>transmitExpireTime</i> = current UTC time + 200 seconds • <i>responseCode</i> = 0	--
8	Continue to respond to any subsequentHeartbeat Request from CBSD with Heartbeat Response with the following parameters: • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = same as Step 7 • <i>responseCode</i> = 0	--
9	Monitor RF transmission of UUT from start of test until Tgrant_expire + 60 seconds and ensure UUT continues to transmit throughout the time period.	PASS

4.19 [WINNF.FT.D.RLQ.2] Domain Proxy Successful Relinquishment

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: - DP has successfully completed SAS Discovery and Authentication with SAS Test Harness - DP has successfully registered 2 CBSD with SAS Test Harness, each with <i>cbsdId</i>=Ci, i={1,2} - DP has received a valid grant with <i>grantId</i> = Gi, i={1,2} for each CBSD - Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants. Invoke trigger to relinquish each UUT Grant from the SAS Test Harness	--
2	Verify DP sends a Relinquishment Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Relinquishment Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi: <i>cbsdId</i> = Ci <i>grantId</i> = Gi	PASS
3	If a separate Relinquishment Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message. If a single Relinquishment Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array. Parameters for each CBSD within the Relinquishment Response shall be as follows: <i>cbsdId</i> = Ci <i>grantId</i> = Gi <i>responseCode</i> = 0	--
4	After completion of step 3, SAS Test Harness will not provide any additional positive response (<i>responseCode</i>=0) to further request messages from the UUT.	--

5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall stop RF transmission at any time between triggering the relinquishments and UUT sending the relinquishment requests for each CBSD.	PASS
---	--	------

4.20 [WINNF.FT.D.RLQ.4] Domain Proxy Unsuccessful Relinquishment, responseCode=102

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: - DP has successfully completed SAS Discovery and Authentication with SAS Test Harness - DP has successfully registered 2 CBSD with SAS Test Harness, each with <i>cbsdId</i>=Ci, i={1,2} - DP has received a valid grant with <i>grantId</i> = Gi, i={1,2} for each CBSD - Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants. Invoke trigger on UUT to Relinquish Grant from the SAS Test Harness	--
2	DP with two CBSDs sends Relinquishment Request with two objects to the SAS Test Harness. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify DP sends a Relinquishment Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Relinquishment Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi: <i>cbsdId</i> = Ci <i>grantId</i> = Gi	--
3	If a separate Relinquishment Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message. If a single Relinquishment Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array. Parameters for each CBSD within the Relinquishment Response Message shall be as follows: <i>cbsdId</i> = Ci - No <i>grantId</i>	--

	<i>responseCode</i> = Ri	
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: A. UUT stopped RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request	PASS

4.21 [WINNF.FT.D.RLQ.6] Domain Proxy Unsuccessful Relinquishment, responseCode=103

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: - DP has successfully completed SAS Discovery and Authentication with SAS Test Harness - DP has successfully registered 2 CBSD with SAS Test Harness, each with <i>cbsdId</i>=Ci, i={1,2} - DP has received a valid grant with <i>grantId</i> = Gi, i={1,2} for each CBSD - Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants. Invoke trigger on UUT to Relinquish Grant from the SAS Test Harness	--
2	DP with two CBSDs sends Relinquishment Request with two objects to the SAS Test Harness. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify DP sends a Relinquishment Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Relinquishment Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi: <i>cbsdId</i> = Ci <i>grantId</i> = Gi	--
3	If a separate Relinquishment Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message. If a single Relinquishment Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array. Parameters for each CBSD within the Relinquishment Response Message shall be as follows: <i>cbsdId</i> = Ci - No <i>grantId</i>	--

	<i>responseCode</i> = Ri	
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =103) to further request messages from the UUT.	--
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: A. UUT stopped RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request	PASS

4.22 [WINNF.FT.D.DRG.2] Domain Proxy Successful Deregistration

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: - Each UUT has successfully registered with SAS Test Harness - Each UUT is in the authorized state - DP has successfully completed SAS Discovery and Authentication with SAS Test Harness - DP has successfully registered 2 CBSD with SAS Test Harness, each with <i>cbsdId</i>=Ci, i={1,2} - DP has received a valid grant with <i>grantId</i> = Gi, i={1,2} for each CBSD - Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants. Invoke trigger to deregister each UUT from the SAS Test Harness	--
2	UUT sends a Relinquishment request and receives Relinquishment response with <i>responseCode</i> =0	--
3	Verify DP sends a Deregistration Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Deregistration Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi: <i>cbsdId</i> = Ci	PASS
4	If a separate Deregistration Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message. If a single Deregistration Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array. Parameters for each CBSD within the Deregistration Response shall be as follows: <i>cbsdId</i> = Ci <i>responseCode</i> = 0	--
5	After completion of step 4, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--

6	Monitor the RF output of each UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify: • UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs: A. UUT sending a Registration Request message, as this is not mandatory B. UUT sending a Deregistration Request message	PASS
---	---	------

4.23 [WINNF.FT.D.DRG.4] Domain Proxy Deregistration responseCode=102

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: - DP has successfully completed SAS Discovery and Authentication with SAS Test Harness - DP has successfully registered 2 CBSD with SAS Test Harness, each with <i>cbsdId</i>=Ci, i={1,2} - DP has received a valid grant with <i>grantId</i> = Gi, i={1,2} for each CBSD - Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants. Invoke trigger to deregister each UUT from the SAS Test Harness	--
2	UUT sends a Relinquishment request and receives Relinquishment response with <i>responseCode</i> =0 for each CBSD	--
3	Verify DP sends a Deregistration Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Deregistration Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi: <i>cbsdId</i> = Ci	--
4	If a separate Deregistration Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message. If a single Deregistration Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array. Parameters for each CBSD within the Deregistration Response Message shall be as follows: - No <i>cbsdId</i> in either response <i>responseCode</i> = Ri	--
5	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--

6	Monitor the RF output of each UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify: • UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs: A. UUT sending a Registration Request message, as this is not mandatory B. UUT sending a Deregistration Request message	PASS
---	---	------

4.24 [WINNF.FT.C.DRG.5] Deregistration responseCode=103

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT has successfully registered with SAS Test Harness, with <i>cbsdId</i>=C • UUT has received a valid grant with <i>grantId</i> = G • UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. Invoke trigger to deregister UUT from the SAS Test Harness	--
2	UUT sends a Relinquishment request and receives Relinquishment response with <i>responseCode</i> =0	--
3	UUT sends Deregistration Request to SAS Test Harness with <i>cbsdId</i> = C	--
4	The SAS Test Harness sends the Deregistration Response Message to UUT with: • No <i>cbsdId</i> • <i>responseCode</i> = 103	--
5	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	--
6	Monitor the RF output of the UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify: • UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs: A. UUT sending a Registration Request message, as this is not mandatory B. UUT sending a Deregistration Request message	PASS

4.25 [WINNF.FT.C.SCS.1] Successful TLS connection between UUT and SAS Test Harness

#	Test Execution Steps	Results
1	• UUT shall start CBSD-SAS communication with the security procedure • The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate. • Configure the SAS Test Harness to accept the security procedure and establish the connection	PASS
2	• Make sure that Mutual authentication happens between UUT and the SAS Test Harness. • Make sure that UUT uses TLS v1.2 • Make sure that cipher suites from one of the following is selected, • TLS_RSA_WITH_AES_128_GCM_SHA256 • TLS_RSA_WITH_AES_256_GCM_SHA384 • TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256 • TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384 • TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256	PASS
3	A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability. • UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with <i>responseCode</i> = 0 and <i>cbsdId</i>.	PASS
4	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

4.26 [WINNF.FT.C.SCS.2] TLS failure due to revoked certificate

#	Test Execution Steps	Results
1	• UUT shall start CBSD-SAS communication with the security procedures	PASS
2	• Make sure that UUT uses TLS v1.2 for security establishment. • Make sure UUT selects the correct cipher suite. • UUT shall use CRL or OCSP to verify the validity of the server certificate. • Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.	PASS
3	UUT may retry for the security procedure which shall fail	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

4.27 [WINNF.FT.C.SCS.3] TLS failure due to expired server certificate

#	Test Execution Steps	Results
1	• UUT shall start CBSD-SAS communication with the security procedures	PASS
2	• Make sure that UUT uses TLS v1.2 for security establishment. • Make sure UUT selects the correct cipher suite. • UUT shall use CRL or OCSP to verify the validity of the server certificate. • Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.	PASS
3	UUT may retry for the security procedure which shall fail.	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

4.28 [WINNF.FT.C.SCS.4] TLS failure when SAS Test Harness certificate is issued by an unknown CA

#	Test Execution Steps	Results
1	• UUT shall start CBSD-SAS communication with the security procedures	PASS
2	• Make sure that UUT uses TLS v1.2 for security establishment. • Make sure UUT selects the correct cipher suite. • UUT shall use CRL or OCSP to verify the validity of the server certificate • Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.	PASS
3	UUT may retry for the security procedure which shall fail.	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

4.29 [WINNF.FT.C.SCS.5] TLS failure when certificate at the SAS Test Harness is corrupted

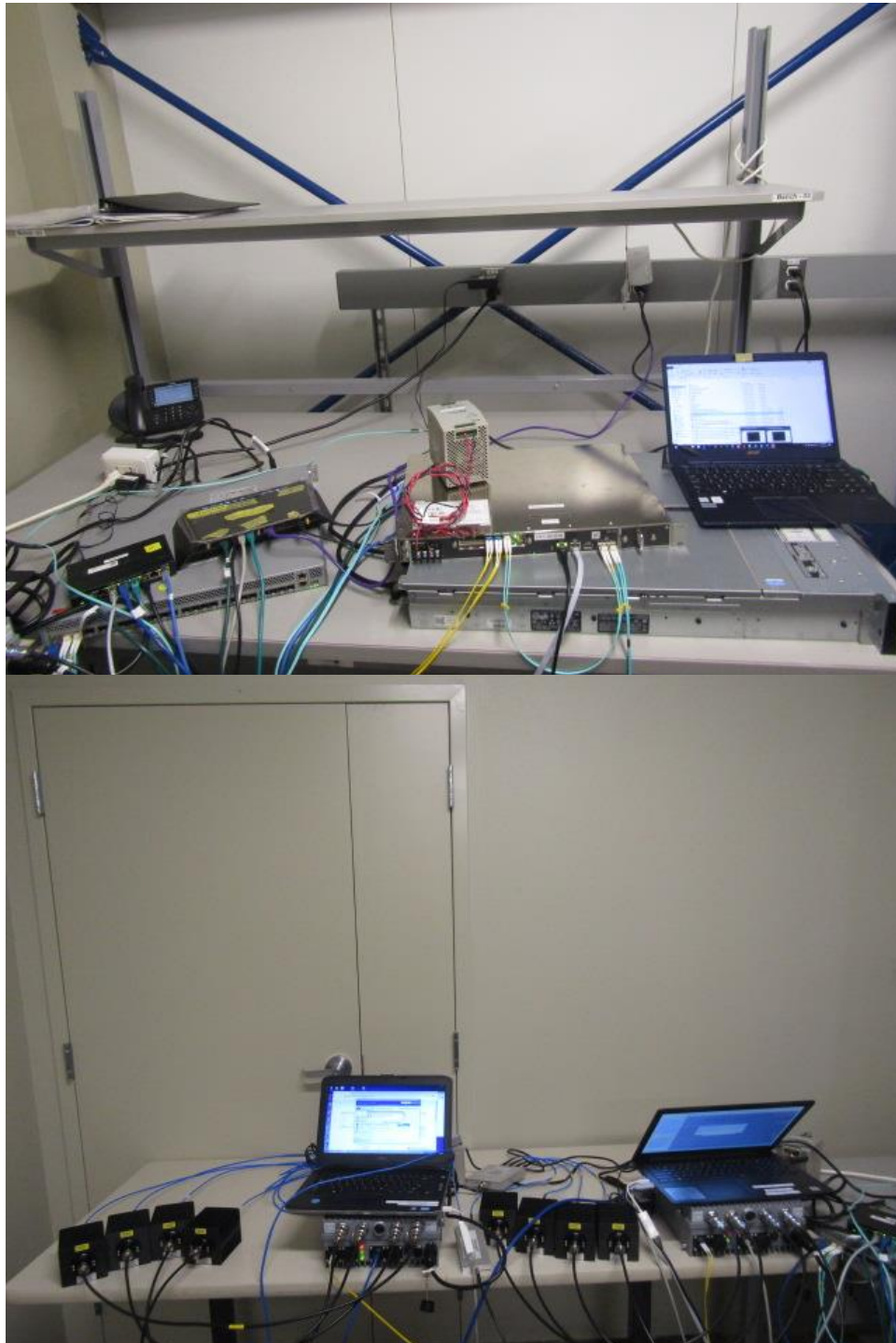
#	Test Execution Steps	Results
1	• UUT shall start CBSD-SAS communication with the security procedures	PASS
2	• Make sure that UUT uses TLS v1.2 for security establishment. • Make sure UUT selects the correct cipher suite. • UUT shall use CRL or OCSP to verify the validity of the server certificate. • Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.	PASS
3	UUT may retry for the security procedure which shall fail.	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

4.30 [WINNF.PT.C.HBT] UUT RF Transmit Power Measurement

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: • UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness • UUT has registered with the SAS, with CBSID ID = C • UUT has a single valid grant G with parameters {lowFrequency = FL, highFrequency = FH, maxEirp = Pi}, with grant in AUTHORIZED state, and grantExpireTime set to a value far past the duration of this test case <i>Note: in order for the UUT to request a grant with the parameters {lowFrequency, highFrequency, maxEirp}, the SAS Test Harness may need to provide appropriate guidance in the availableChannel object of the spectrumInquiry response message, and the operationParam object of the grant response message. Alternately, the UUT vendor may provide the ability to set those parameters on the UUT so that the UUT will request a grant with those parameters.</i>	--
2	UUT and SAS Test Harness perform a series of Heartbeat Request/Response cycles, which continues until the other test steps are complete. Messaging for each cycle is as follows: • UUT sends Heartbeat Request, including: ○ cbsdId = C ○ grantId = G • SAS Test Harness responds with Heartbeat Response, including: ○ cbsdId = C ○ grantId = G ○ transmitExpireTime = current UTC time + 200 seconds ○ responseCode = 0	--

3	Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, P_i. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfil the requirements of the power measurement method. <i>Note: it may be required for the vendor to provide a method or configuration to bring the UUT to a mode which is required by the measurement methodology. Any such mode is vendor-specific and depends upon UUT behavior and the measurement methodology.</i>	PASS
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Appendix A. Setup Plot



Appendix B. RF measurement plots

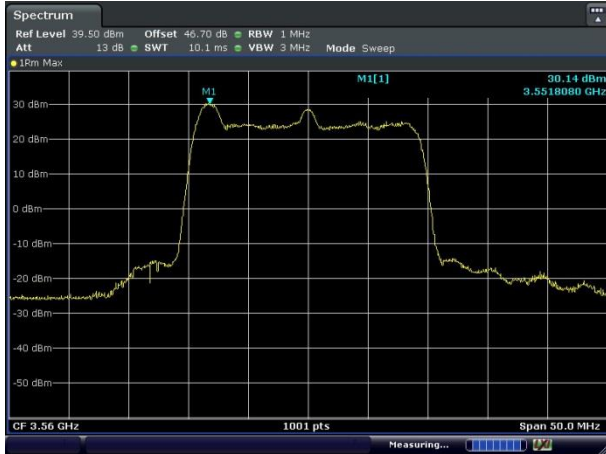
Report Clause 4.31 [WINNF.PT.C.HBT] UUT RF Transmit Power Measurement

Center Frequency [MHz]	Bandwidth [MHz]	Granted maxEIRP [dBm/MHz]	Conducted PSD [dBm/MHz]				Antenna Gain [dBi]	UUT total MaxEIRP [dBm/MHz]
			CH1	CH2	CH3	CH4		
3560	20	37	24.14	24.12	24.64	24.80	6	36.46
3580		34	21.58	21.79	21.91	21.97		33.84
3600		30	17.14	17.91	17.65	18.09		29.73
3620		27	14.23	14.80	14.74	14.84		26.68
3640		24	10.60	11.84	11.67	11.83		23.53
3660		20	6.89	7.70	7.61	7.92		19.57
3680		17	3.42	4.72	4.55	4.91		16.46

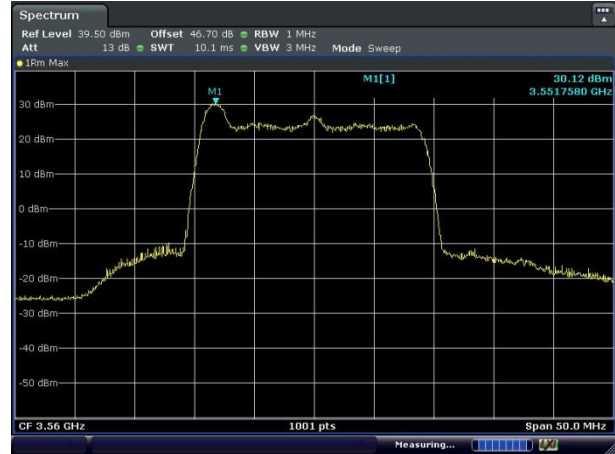
Note: The Spectrum Analyzer Ref Offset 46.7 dB includes the antenna gain 6 dBi and cable path loss 40.7 dB.

SAS Granted MaxEIRP 37 [dBm/MHz]

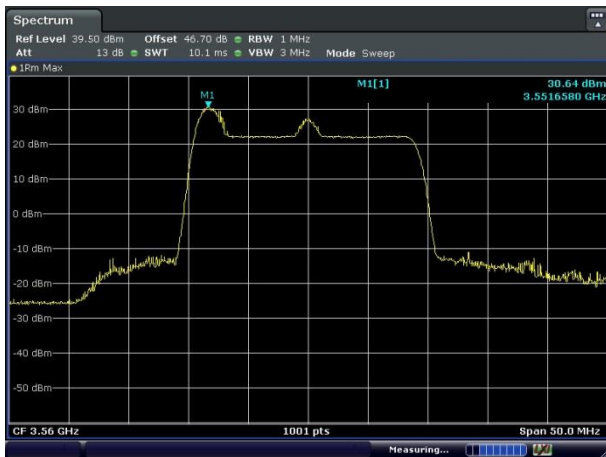
UUT total MaxEIRP 36.46 [dBm/MHz]



Date: 19 DEC 2020 02:56:26



Date: 18 DEC 2020 22:54:15



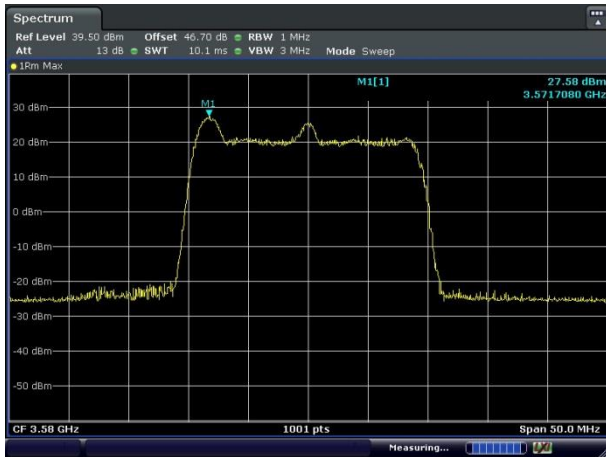
Date: 18 DEC 2020 23:12:16



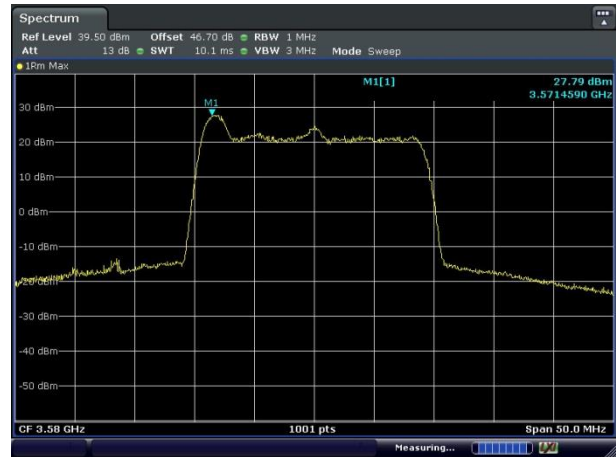
Date: 19 DEC 2020 01:42:33

SAS Granted MaxEIRP 34 [dBm/MHz]

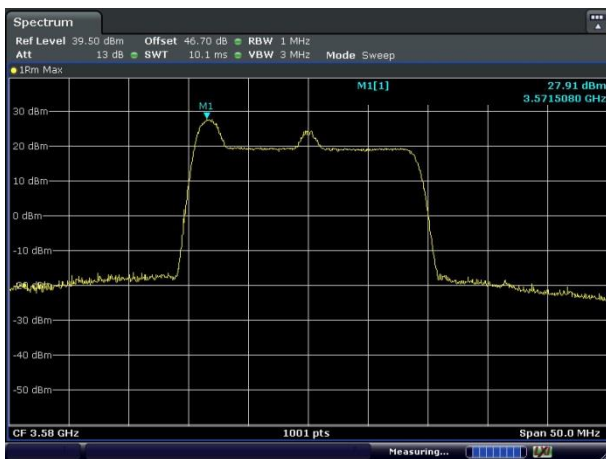
UUT total MaxEIRP 33.84 [dBm/MHz]



Date: 19 DEC 2020 03:06:34



Date: 18 DEC 2020 19:59:20



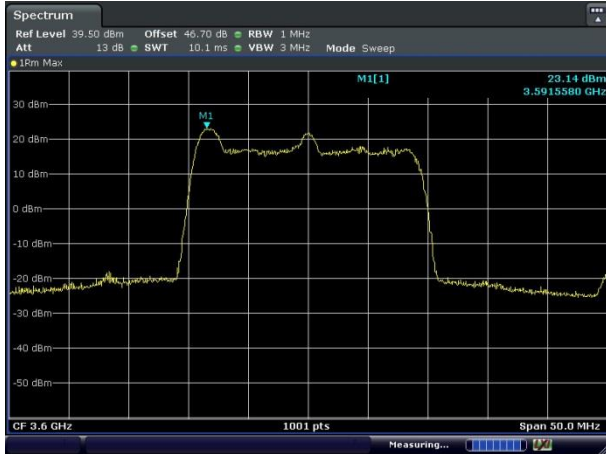
Date: 18 DEC 2020 23:21:24



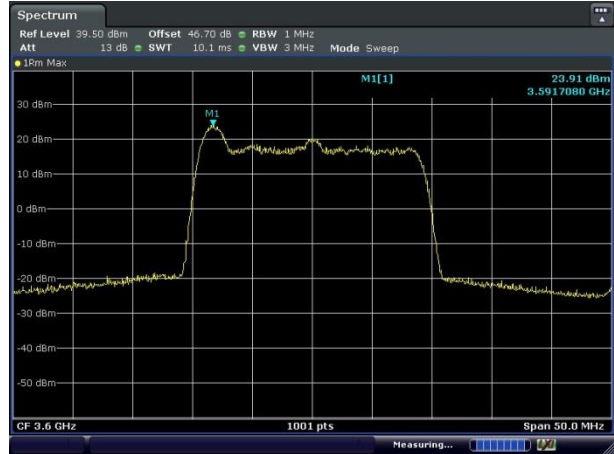
Date: 19 DEC 2020 01:51:45

SAS Granted MaxEIRP 30 [dBm/MHz]

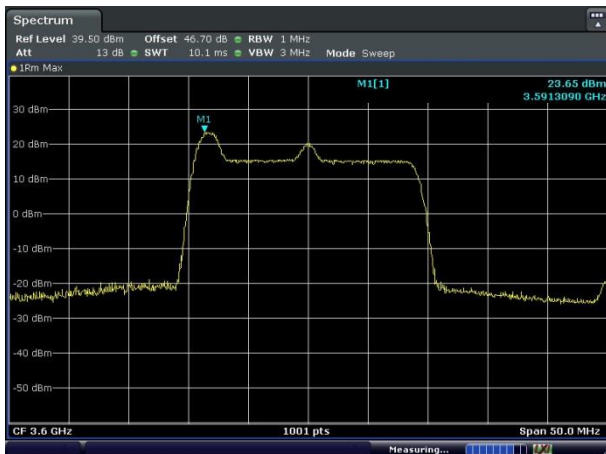
UUT total MaxEIRP 29.73 [dBm/MHz]



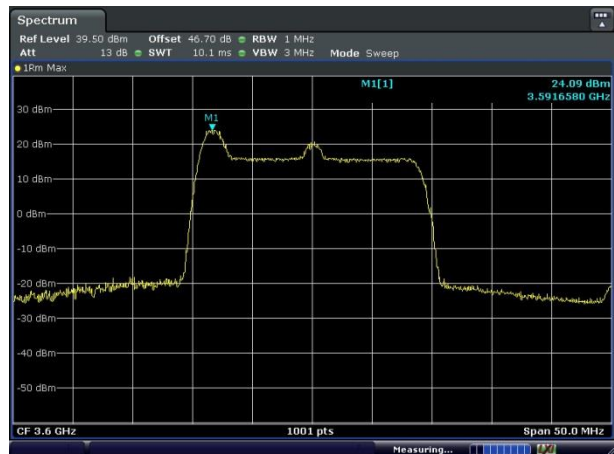
Date: 19 DEC 2020 03:33:13



Date: 18 DEC 2020 20:11:34



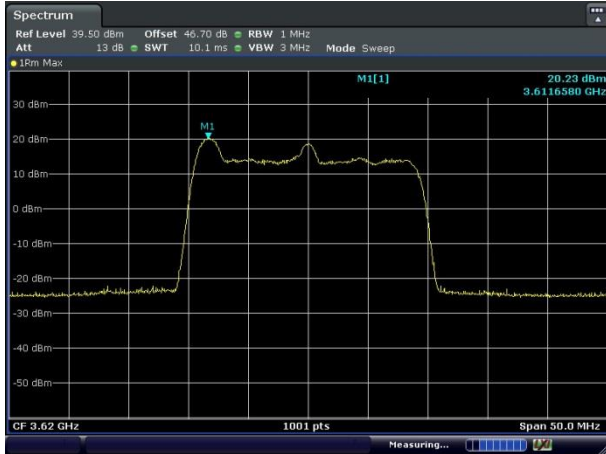
Date: 19 DEC 2020 00:40:14



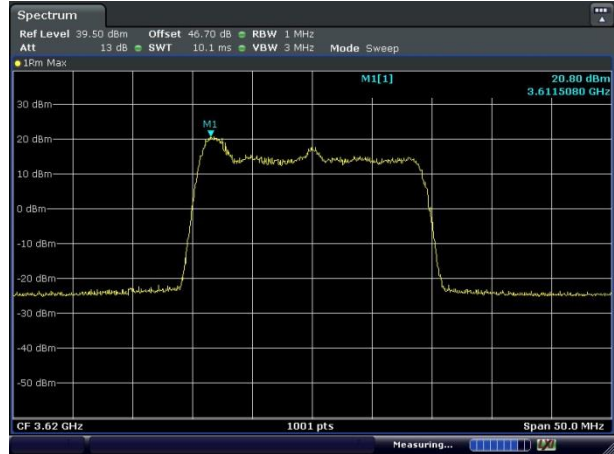
Date: 19 DEC 2020 02:04:02

SAS Granted MaxEIRP 27 [dBm/MHz]

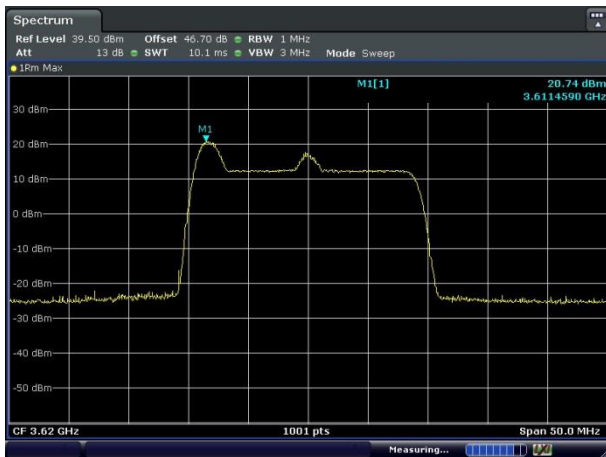
UUT total MaxEIRP 26.68 [dBm/MHz]



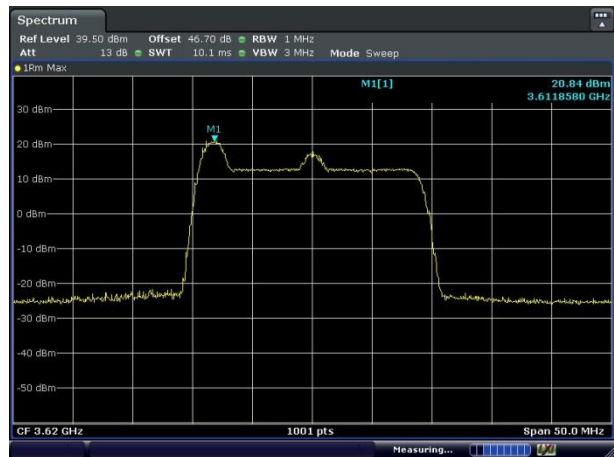
Date: 19 DEC 2020 03:44:09



Date: 18 DEC 2020 20:23:15



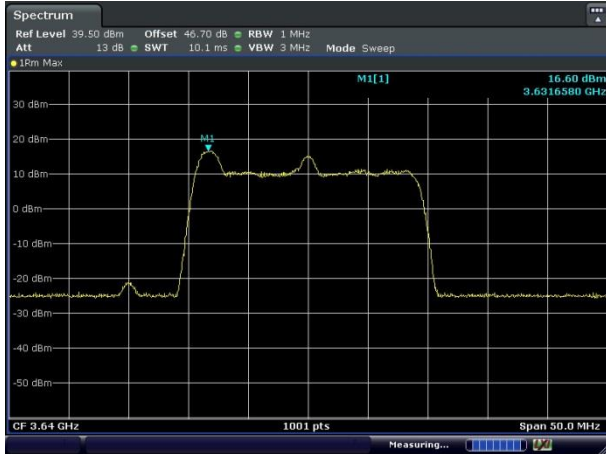
Date: 19 DEC 2020 00:49:42



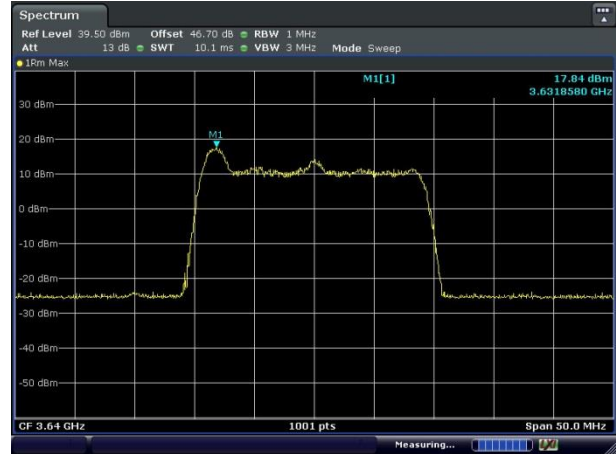
Date: 19 DEC 2020 02:13:33

SAS Granted MaxEIRP 24 [dBm/MHz]

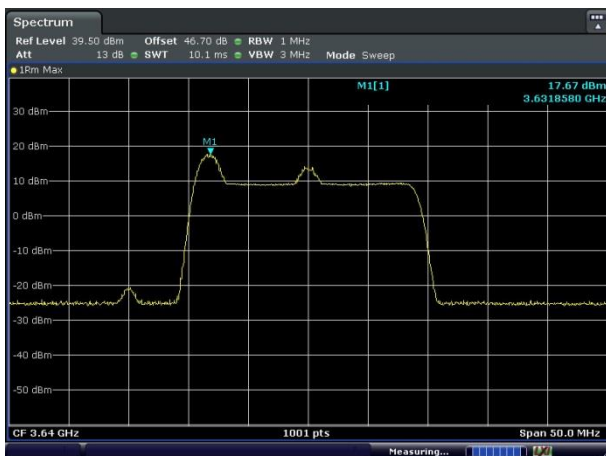
UUT total MaxEIRP 23.53 [dBm/MHz]



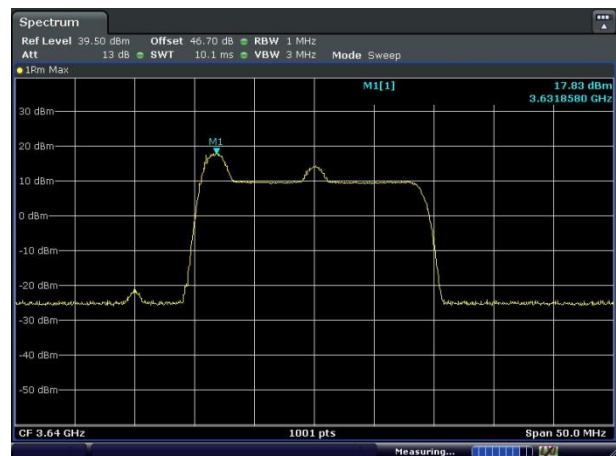
Date: 19 DEC 2020 03:53:39



Date: 18 DEC 2020 22:22:22



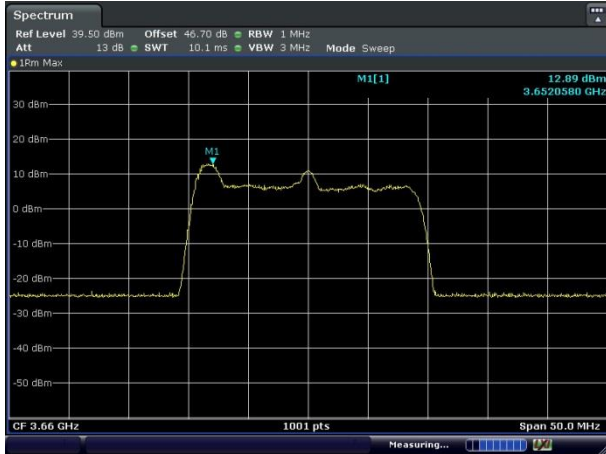
Date: 19 DEC 2020 01:11:21



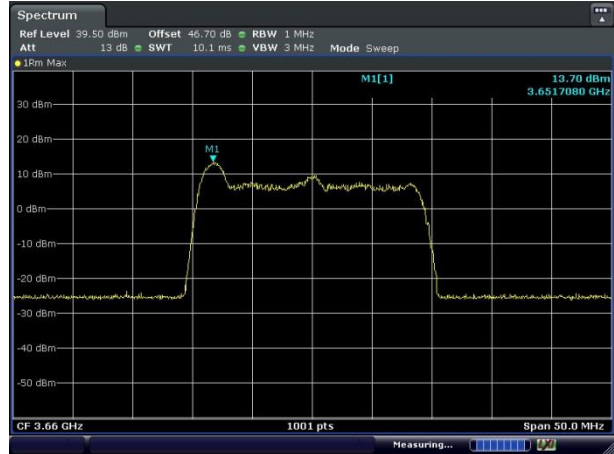
Date: 19 DEC 2020 02:22:20

SAS Granted MaxEIRP 20 [dBm/MHz]

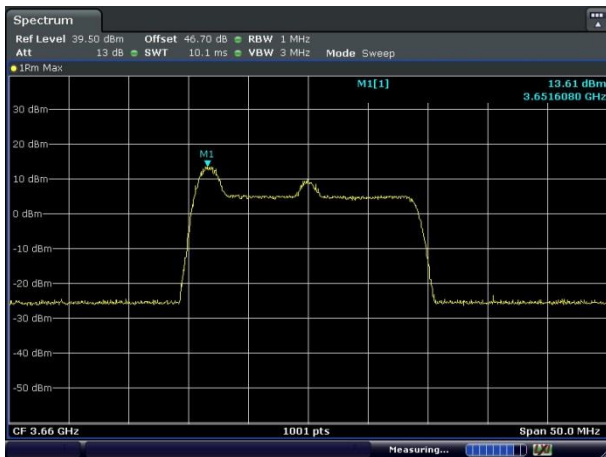
UUT total MaxEIRP 19.57 [dBm/MHz]



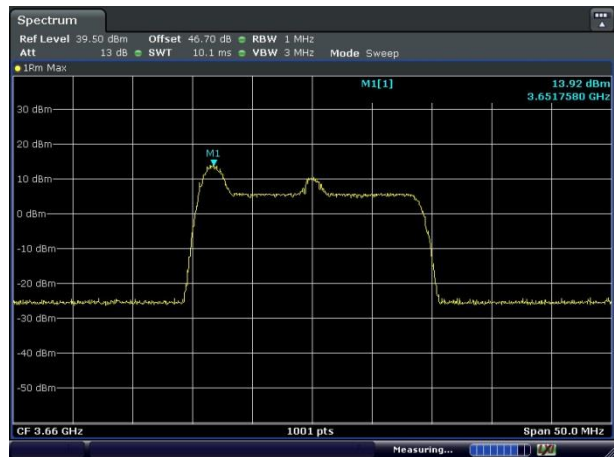
Date: 19 DEC 2020 04:05:36



Date: 18 DEC 2020 22:33:32



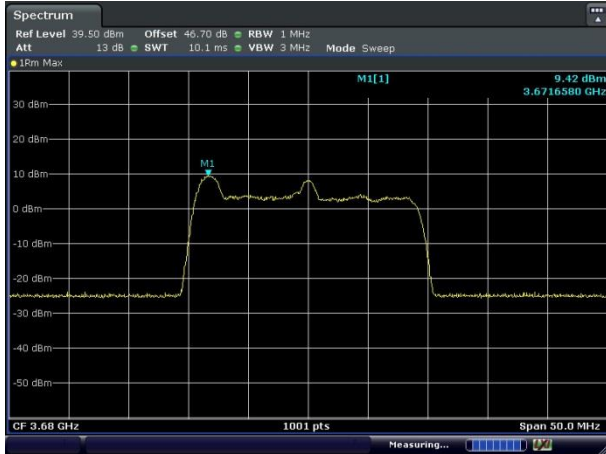
Date: 19 DEC 2020 01:24:48



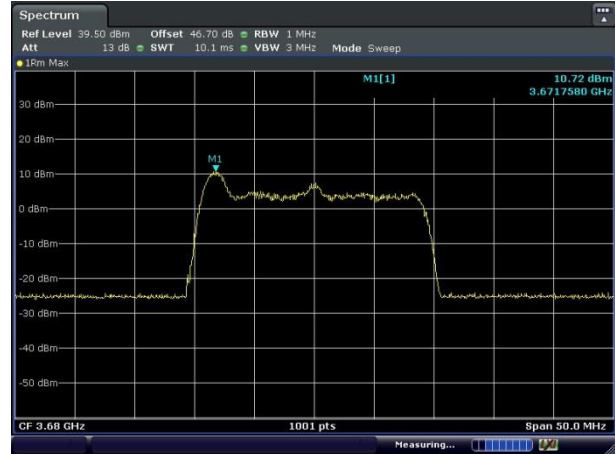
Date: 19 DEC 2020 02:31:42

SAS Granted MaxEIRP 17 [dBm/MHz]

UUT total MaxEIRP 16.46 [dBm/MHz]



Date: 19 DEC 2020 04:16:43



Date: 18 DEC 2020 22:44:38



Date: 19 DEC 2020 01:33:22



Date: 19 DEC 2020 02:41:37

—THE END—