APPENDIX F: DOWNLINK LTE CA RF CONDUCTED POWERS

1.1 LTE Downlink Only Carrier Aggregation Test Reduction Methodology

SAR test exclusion for LTE downlink Carrier Aggregation is determined by power measurements according to the number of component carriers (CCs) supported by the product implementation. Per April 2018 TCBC Workshop Notes, the following test reduction methodology was applied to determine the combinations required for conducted power measurements.

LTE DLCA Test Reduction Methodology:

- The supported combinations were arranged by the number of component carriers in columns.
- Any limitations on the PCC or SCC for each combination were identified alongside the combination (e.g. CA_2A-2A-4A-12A, but B12 can only be configured as a SCC).
- Power measurements were performed for "supersets" (LTE CA combinations with multiple components carriers) and any "subsets" (LTE CA combinations with fewer component carriers) that were not completely covered by the supersets.
- Only subsets that have the exact same components as a superset were excluded for measurement.
- When there were certain restrictions on component carriers that existed in the superset that were not applied for the subset, the subset configuration was additionally evaluated.
- Both inter-band and intra-band downlink carrier aggregation scenarios were considered.
- Downlink CA combinations for SISO and 4x4 Downlink MIMO operations were measured independently, per May 2017 TCBC Workshop notes.

Table 1 – Example of Exclusion Table for SISO Configurations

Index	2CC	Supported Channel Bandwidth [MHz]		Restriction	Completely Covered by Measurement Superset
		CC1	CC2		
2CC #1	CA_2C	5, 10, 15, 20	5, 10, 15, 20		3CC #4
2CC #2	CA_2A-5A	5, 10, 15, 20	5, 10		4CC #1
2CC #3	CA_2A-12A	5, 10, 15, 20	5, 10		3CC #3
2CC #4	CA_2A-13A	5, 10, 15, 20	10		3CC #9
2CC #5	CA_2A-17A	5, 10	5, 10		No
2CC #6	CA_2A-30A	5, 10, 15, 20	5, 10		3CC #14
2CC #8	CA_2A-66A (2)	5, 10, 15, 20	5, 10, 15, 20		4CC #1
2CC #9	CA_2A-71A	5, 10, 15, 20	5, 10, 15, 20		3CC #10
2CC #10	CA_4A-4A	5, 10, 15, 20	5, 10, 15, 20		3CC #6
2CC #11	CA_4A-5A (1)	5, 10, 15, 20	5, 10		3CC #11
2CC #12	CA_4A-12A (2)	5, 10, 15, 20	5, 10		3CC #8
2CC #13	CA_4A-13A	5, 10, 15, 20	10		3CC #9
2CC #14	CA_4A-17A	5, 10	5, 10	B17 SCC Only	No
2CC #15	CA_5B	5, 10	5, 10		3CC #1
2CC #16	CA_5A-25A	5, 10	5, 10, 15, 20		No
2CC #17	CA_7C	15, 20	15, 20		No
2CC #18	CA_7A-7A (1)	5, 10, 15, 20	5, 10, 15, 20		No
2CC #19	CA_7A-12A	5, 10, 15, 20	5, 10		3CC #12
2CC #20	CA_12B	5	5, 10		3CC #10
2CC #21	CA_12A-25A	5, 10	5, 10, 15, 20		No
2CC #22	CA_12A-30A	5, 10	5, 10		3CC #16
2CC #23	CA_66A-66A (2)	5, 10, 15, 20	5, 10, 15, 20		No

Index	3CC	Supported Channel Bandwidth [MHz]			Restriction	Completely Covered by Measurement Superset
		CC1	CC2	CC3		
3CC #1	CA_2A-2A-4A	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		4CC #1
3CC #2	CA_2A-2A-5A	5, 10, 15, 20	5, 10, 15, 20	5, 10		4CC #1
3CC #3	CA_2A-2A-12A	5, 10, 15, 20	5, 10, 15, 20	5, 10		No
3CC #4	CA_2C-66A	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #5	CA_2A-2A-71A	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #6	CA_2A-4A-4A	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #7	CA_2A-66-5A	5, 10, 15, 20	5, 10, 15, 20	5, 10		4CC #1
3CC #8	CA_2A-4A-12A	5, 10, 15, 20	5, 10, 15, 20	5, 10		No
3CC #9	CA_2A-4A-13A	5, 10, 15, 20	5, 10, 15, 20	10		No
3CC #10	CA_2A-4A-71A	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #11	CA_2A-5B	5, 10, 15, 20	5, 10	5, 10		4CC #2
3CC #12	CA_2A-7A-12A	5, 10, 15, 20	5, 10, 15, 20	5, 10		No
3CC #13	CA_2A-12B	5, 10, 15, 20	5	5, 10		No
3CC #14	CA_2A-12A-30A	5, 10, 15, 20	5, 10	5, 10		No
3CC #15	CA_4A-4A-5A	5, 10, 15, 20	5, 10, 15, 20	5, 10		No
3CC #16	CA_5B-30A	5, 10	5, 10	5, 10		3CC #1
3CC #17	CA_5B-66A	5, 10	5, 10	5, 10, 15, 20		3CC #1
3CC #18	CA_5A-30A-66A	5, 10	5, 10	5, 10, 15, 20		4CC #9
3CC #19	CA_12A-66C	5, 10	5, 10, 15, 20	5, 10, 15, 20		No
3CC #20	CA_30A-66A-66A	5, 10	5, 10, 15, 20	5, 10, 15, 20		4CC #9
3CC #21	CA_41D	10, 15, 20	10, 15, 20	10, 15, 20		No
3CC #22	CA_41A-41C	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #23	CA_66B	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #24	CA_66A-66C	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No

Index	4CC	Supported Channel Bandwidth [MHz]				Restriction	Completely Covered by Measurement Superset
		CC1	CC2	CC3	CC4		
4CC #1	CA_2A-4A-5A	5, 10, 15, 20	5, 10, 15, 20	5, 10	5, 10		No
4CC #2	CA_2A-4A-12A	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20	5, 10	B12 SCC Only	No
4CC #3	CA_2A-5A-30A	5, 10, 15, 20	5, 10, 15, 20	5, 10	5, 10		No
4CC #4	CA_2A-2A-5A-66A	5, 10, 15, 20	5, 10, 15, 20	5, 10	5, 10, 15, 20		No
4CC #5	CA_2A-5B-30A	5, 10, 15, 20	5, 10	5, 10	5, 10		5CC #1
4CC #6	CA_2A-5B-66A	5, 10, 15, 20	5, 10	5, 10	5, 10, 15, 20		5CC #1
4CC #7	CA_5B-30A-66A	5, 10	5, 10	5, 10	5, 10		5CC #1
4CC #8	CA_5B-66A-66A	5, 10	5, 10	5, 10, 15, 20	5, 10, 15, 20		5CC #2
4CC #9	CA_5A-30A-66A-66A	5, 10	5, 10	5, 10, 15, 20	5, 10, 15, 20		No
4CC #10	CA_46E	15, 20	15, 20	15, 20	20		No

Index	SCC	Supported Channel Bandwidth [MHz]				Restriction	Completely Covered by Measurement Superset
		CC1	CC2	CC3	CC4		
SCC #1	CA_1A-5B-30A-66A	5, 10, 15, 20	5, 10	5, 10	5, 10, 15, 20		No
SCC #2	CA_2A-5B-66A-66A	5, 10, 15, 20	5, 10	5, 10	5, 10, 15, 20		No

Table 2 – Example of Exclusion Table for 4x4 Downlink MIMO Configurations

Index	2CC	Supported Channel Bandwidth [MHz]		Restriction	Completely Covered by Measurement Superset
		CC1	CC2		
2CC #M1	CA_[2C]	5, 10, 15, 20	5, 10, 15, 20		3CC #M6
2CC #M2	CA_[2A]-2A	5, 10, 15, 20	5, 10, 15, 20		3CC #M1
2CC #M3	CA_[2A]-[2A]	5, 10, 15, 20	5, 10, 15, 20		No
2CC #M4	CA_[2A]-4A (2)	5, 10, 15, 20	5, 10, 15, 20		3CC #M1
2CC #M5	CA_[2A]-[4A] (2)	5, 10, 15, 20	5, 10, 15, 20		No
2CC #M6	CA_[2A]-5A	5, 10, 15, 20	5, 10		4CC #M3
2CC #M7	CA_[2A]-12A (1)	5, 10, 15, 20	3, 5, 10		No
2CC #M8	CA_[2A]-13A	5, 10, 15, 20	10		3CC #M4
2CC #M9	CA_[2A]-17A	5, 10	5, 10		No
2CC #M10	CA_[2A]-29A (2)	5, 10, 15, 20	5, 10	B29 SCC Only	3CC #M12
2CC #M11	CA_[2A]-30A	5, 10, 15, 20	5, 10		3CC #M5
2CC #M12	CA_[2A]-66A (2)	5, 10, 15, 20	5, 10, 15, 20		4CC #M1
2CC #M13	CA_[2A-66A] (2)	5, 10, 15, 20	5, 10, 15, 20		4CC #M2
2CC #M14	CA_[2A]-[66A] (2)	5, 10, 15, 20	5, 10, 15, 20		No
2CC #M15	CA_[2A]-71A	5, 10, 15, 20	5, 10, 15, 20		3CC #M11
2CC #M16	CA_5A-[66A]	5, 10	5, 10, 15, 20		3CC #M16
2CC #M17	CA_12A-[66A] (4)	5, 10	5, 10, 15, 20		3CC #M17
2CC #M18	CA_13A-[66A]	5, 10	5, 10, 15, 20		3CC #M19
2CC #M19	CA_30A-[66A]	5, 10	5, 10, 15, 20		3CC #M20
2CC #M20	CA_[66B]	5, 10, 15	5, 10, 15		4CC #M4
2CC #M21	CA_[66C]	5, 10, 15, 20	5, 10, 15, 20		4CC #M6
2CC #M22	CA_[66A]-66A	5, 10, 15, 20	5, 10, 15, 20		3CC #M28
2CC #M23	CA_[66A]-[66A]	5, 10, 15, 20	5, 10, 15, 20		No

Index	3CC	Supported Channel Bandwidth [MHz]			Restriction	Completely Covered by Measurement Superset
		CC1	CC2	CC3		
3CC #M1	CA_[2A]-2A-4A	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #M2	CA_[2A]-2A-5A	5, 10, 15, 20	5, 10, 15, 20	5, 10		No
3CC #M3	CA_[2A]-2A-12A	5, 10, 15, 20	5, 10, 15, 20	5, 10		No
3CC #M4	CA_[2A]-2A-13A	5, 10, 15, 20	5, 10, 15, 20	10		No
3CC #M5	CA_[2A]-2A-30A	5, 10, 15, 20	5, 10, 15, 20	5, 10		No
3CC #M6	CA_[2C]-66A	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #M7	CA_2C-[66A]	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #M8	CA_[2C]-[66A]	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #M9	CA_[2A]-2A-66A	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #M10	CA_2A-2A-[66A]	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #M11	CA_[2A]-2A-71A	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #M12	CA_[2A]-4A-29A	5, 10, 15, 20	5, 10, 15, 20	5, 10	B29 SCC Only	No
3CC #M13	CA_[2A]-4A-71A	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #M14	CA_[2A]-5B	5, 10, 15, 20	5, 10	5, 10		4CC #M1
3CC #M15	CA_[2A]-5A-66A	5, 10, 15, 20	5, 10	5, 10, 15, 20		No
3CC #M16	CA_2A-5A-[66A]	5, 10, 15, 20	5, 10	5, 10, 15, 20		No
3CC #M17	CA_2A-12A-[66A]	5, 10, 15, 20	5, 10	5, 10, 15, 20		No
3CC #M18	CA_[2A]-13A-66A	5, 10, 15, 20	5, 10	5, 10, 15, 20		No
3CC #M19	CA_2A-13A-[66A]	5, 10, 15, 20	5, 10	5, 10, 15, 20		No
3CC #M20	CA_2A-30A-[66A]	5, 10, 15, 20	5, 10	5, 10, 15, 20		No
3CC #M21	CA_[2A]-66B	5, 10, 15, 20	5, 10, 15	5, 10, 15		4CC #M3
3CC #M22	CA_2A-[66B]	5, 10, 15, 20	5, 10, 15	5, 10, 15		4CC #M4
3CC #M23	CA_[2A]-[66B]	5, 10, 15, 20	5, 10, 15	5, 10, 15		No
3CC #M24	CA_[2A]-66C	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		4CC #M5
3CC #M25	CA_2A-[66C]	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		4CC #M6
3CC #M26	CA_[2A]-[66C]	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #M27	CA_[2A]-66A-66A	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #M28	CA_2A-[66A]-66A	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No
3CC #M29	CA_[2A]-66A-71A	5, 10, 15, 20	5, 10, 15, 20	5, 10, 15, 20		No

Note: [CC] indicates component carrier with 4x4 DL MIMO antenna configuration

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1.2 LTE Downlink Only Carrier Aggregation Test Selection and Setup

SAR test exclusion for LTE downlink Carrier Aggregation is determined by power measurements according to the number component carriers (CCs) supported by the product implementation. For those configurations required by April 2018 TCBC Workshop Notes, conducted power measurements with LTE Carrier Aggregation (CA) (downlink only) active are made in accordance to KDB Publication 941225 D05Av01r02. The RRC connection is only handled by one cell, the primary component carrier (PCC) for downlink and uplink communications. After making a data connection to the PCC, the UE device adds secondary component carrier(s) (SCC) on the downlink only. All uplink communications and acknowledgements remain identical to specifications when downlink carrier aggregation is inactive on the PCC. Additional conducted output powers are measured with the downlink carrier aggregation active for the configuration with highest measured maximum conducted power with downlink carrier aggregation inactive measured among the channel bandwidth, modulation, and RB combinations in each frequency band.

Per FCC KDB Publication 941225 D05Av01r02, no SAR measurements are required for carrier aggregation configurations when the maximum average output power with downlink only carrier aggregation active is not more than 0.25 dB higher than the average output power with downlink only carrier aggregation inactive. All bands required for SAR testing per FCC KDB procedures were considered. Based on the measured maximum powers below, no additional SAR tests were required for DLCA SAR configurations.

General PCC and SCC configuration selection procedure

- PCC uplink channel, channel bandwidth, modulation and RB configurations were selected based on section C)3)b)ii) of KDB 941225 D05 V01r02. The downlink PCC channel was paired with the selected PCC uplink channel according to normal configurations without carrier aggregation.
- To maximize aggregated bandwidth, highest channel bandwidth available for that CA combination was selected for SCC. For inter-band CA, the SCC downlink channels were selected near the middle of their transmission bands. For contiguous intra-band CA, the downlink channel spacing between the component carriers was set to multiple of 300 kHz less than the nominal channel spacing defined in section 5.4.1A of 3GPP TS 36.521. For non-contiguous intra-band CA, the downlink channel spacing between the component carriers was set to be larger than the nominal channel spacing and provided maximum separation between the component carriers.
- All selected PCC and SCC(s) remained fully within the uplink/downlink transmission band of the respective component carrier.

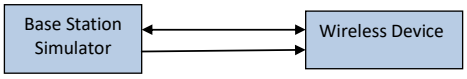

Figure 1
DL CA Power Measurement Setup

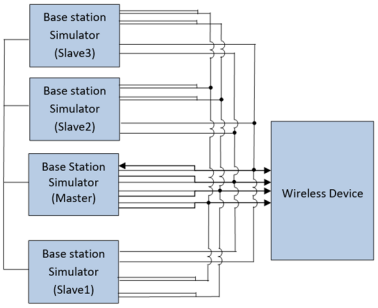

Figure 2
DL CA with DL 4x4 MIMO Power Measurement Setup

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1.3 Downlink Carrier Aggregation RF Conducted Powers

1.3.1 LTE Band 41 as PCC

Table 1
Maximum Output Powers

	PCC									SCC				Power	
Combination	PCC Band	PCC BW [MHz]	PCC (UL) Channel	PCC (UL) Freq. [MHz]	Modulation	PCC UL# RB	PCC UL RB Offset	PCC (DL) Ch.	PCC (DL) Freq. [MHz]	SCC Band	SCC BW [MHz]	SCC (DL) Ch.	SCC (DL) Freq. [MHz]	LTE Tx.Power with DL CA Enabled (dBm)	LTE Single Carrier Tx Power (dBm)
CA_41C (1)	LTE B41	10	39750	2506	QPSK	1	49	39750	2506	LTE B41	20	39894	2520.4	24.21	24.20

1.4 DL CA with DL 4x4 MIMO RF Conduction Powers

This device supports downlink 4x4 MIMO operations for some LTE bands. Uplink transmission is limited to a single output stream. When carrier aggregation was applicable, the general test selection and setup procedures described in Section 1.2 were applied.

Per May 2017 TCB Workshop Notes, SAR for 4x4 DL MIMO was not needed since the maximum average output power in 4x4 DL MIMO mode was not more than 0.25 dB higher than the maximum output power with 4x4 DL MIMO inactive. Additionally, SAR for 4x4 MIMO Downlink Carrier Aggregation was not needed since the maximum average output power in 4x4 MIMO Downlink Carrier Aggregation mode was not more than 0.25 dB higher than the maximum output power with 4x4 MIMO Downlink and downlink carrier aggregation inactive.

1.4.1 LTE 4x4 MIMO DL Standalone Powers

Table 2
Maximum Output Powers

LTE Band	Bandwidth [MHz]	Channel	Frequency [MHz]	Modulation	RB Size	RB Offset	4x4 DL MIMO Tx. Power [dBm]	Single Antenna Tx. Power [dBm]	Target Power [dBm]
41	10	39750	2506	QPSK	1	49	24.21	24.20	24.0

1.4.2 LTE Band 41 as PCC

Table 3
Maximum Output Powers

	PCC										SCC					Power	
Combination	PCC Band	PCC Bandwidth [MHz]	PCC (UL) Channel	PCC (UL) Frequency [MHz]	Modulation	PCC UL# RB	PCC UL RB Offset	PCC (DL) Channel	PCC (DL) Frequency [MHz]	DL Ant. Config.	SCC Band	SCC Bandwidth [MHz]	SCC (DL) Channel	SCC (DL) Frequency [MHz]	DL Ant. Config.	LTE Tx.Power with DL CA Enabled (dBm)	LTE Single Carrier Tx Power (dBm)
CA_[41C] (1)	LTE B41	10	39750	2506	QPSK	1	49	39750	2506	4x4	LTE B41	20	39894	2520.4	4x4	24.29	24.20

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1.5 Downlink Carrier Aggregation with CA_41C Uplink Carrier Aggregation enabled

This device supports uplink carrier aggregation (ULCA) with additional Carrier Aggregation configurations active in the downlink. Power measurements were performed with ULCA active and additional CA configurations active in the downlink for the configuration per Fall 2017 TCB Workshop Notes.

Per FCC Guidance, additional SAR measurements for these configurations were not required since their maximum output power was not more than 0.25 dB higher than the maximum output power for with only ULCA active.

1.5.1 DL Carrier Aggregation with DL 4x4 MIMO RF Conducted Powers

Note: 4x4 DL MIMO is only operating in the downlink. Uplink transmission is limited to a single output stream for each component carrier of ULCA.

Table 4
Maximum Output Powers

	PCC											SCC								Power	
Combination	PCC Band	PCC Bandwidth [MHz]	PCC (UL) Channel	PCC (UL) Frequency [MHz]	Modulation	PCC UL# RB	PCC UL RB Offset	PCC (DL) Channel	PCC (DL) Frequency [MHz]	DL Ant. Config.	SCC Band	SCC Bandwidth [MHz]	SCC (UL/DL) Channel	SCC (UL/DL) Frequency [MHz]	Modulation	SCC UL# RB	SCC UL RB Offset	DL Ant. Config.	ULCA Tx.Power with add'l DL CA Active (dBm)	ULCA Tx Power (dBm)
CA_[41C]	LTE B41	20	39750	2506.0	QPSK	1	99	39750	2506.0	4x4	LTE B41	20	39948	2525.8	QPSK	1	0	4x4	24.46	24.34

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