TTI-P-G 158

Report

Dosimetric Assessment of the Portable Device Siemens SX1 (FCC ID: PWX-SX1) According to the FCC Requirements

July 24, 2003

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Executive Summary

The SX1 is a mobile phone (Portable Device) from Siemens operating in the 900 MHz, 1800 MHz and 1900 MHz frequency range. The device has an integrated antenna. The system concepts used are the GSM 900, GSM 1800 and PCS 1900 standards including Bluetooth and GPRS capability with 2 TX slots.

The objective of the measurements done by IMST was the dosimetric assessment of two devices in the PCS 1900 standard. The examinations have been carried out with the dosimetric assessment system „DASY4“.

The measurements were made according to the Supplement C to OET Bulletin 65 of the Federal Communications Commission (FCC) Guidelines [FCC 2001] for evaluating compliance of mobile and portable devices with FCC limits for human exposure (general population) to radiofrequency emissions. All measurements have been performed in accordance to the recommendations given by SPEAG (Fig. 13).

The Siemens SX1 mobile phone (FCC ID: PWX-SX1) is in compliance with the Federal Communications Commission (FCC) Guidelines [FCC 2001] for uncontrolled exposure.

The phone was tested in the Body Worn configuration with the following accessories and combinations:

- **GSM mode (1 TX slot) with headset**
- **GPRS mode (2 TX slots) without and with beltcase**

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1 Subject of Investigation

The SX1 is a mobile phone (Portable Device) from Siemens operating in the 900 MHz, 1800 MHz and 1900 MHz frequency range. The device has an integrated antenna. The system concepts used are the GSM 900, GSM 1800 and PCS 1900 standards including Bluetooth and GPRS capability with 2 TX slots.

Fig. 1:Picture of the device under test.

The objective of the measurements done by IMST was the dosimetric assessment of two devices in the PCS 1900 standard. The examinations have been carried out with the dosimetric assessment system „DASY4“ described below.

2 The IEEE Standard C95.1 and the FCC Exposure Criteria

In the USA the recent FCC exposure criteria [FCC 2001] are based upon the IEEE Standard C95.1 [IEEE 1999]. The IEEE standard C95.1 sets limits for human exposure to radio frequency electromagnetic fields in the frequency range 3 kHz to 300 GHz.

2.1 Distinction Between Exposed Population, Duration of Exposure and Frequencies

The American Standard [IEEE 1999] distinguishes between controlled and uncontrolled environment. Controlled environments are locations where there is exposure that may be incurred by persons who are aware of the potential for exposure as a concomitant of employment or by other cognizant persons. Uncontrolled environments are locations where there is the exposure of individuals who have no knowledge or control of their exposure. The exposures may occur in living quarters or workplaces. For exposure in controlled environments higher field strengths are admissible. In addition the duration of exposure is considered.

Due to the influence of frequency on important parameters, as the penetration depth of the electromagnetic fields into the human body and the absorption capability of different tissues, the limits in general vary with frequency.

2.2 Distinction between Maximum Permissible Exposure and SAR Limits

The biological relevant parameter describing the effects of electromagnetic fields in the frequency range of interest is the specific absorption rate SAR (dimension: power/mass). It is a measure of the power absorbed per unit mass. The SAR may be spatially averaged over the total mass of an exposed body or its parts. The SAR is calculated from the r.m.s. electric field strength E inside the human body, the conductivity σ and the mass density ρ of the biological tissue:

$$SAR = \sigma \frac{E^2}{\rho} = c \left. \frac{\partial T}{\partial t} \right|_{t \to 0+} \quad . \tag{1}$$

The specific absorption rate describes the initial rate of temperature rise $\partial T / \partial t$ as a function of the specific heat capacity c of the tissue. A limitation of the specific absorption rate prevents an excessive heating of the human body by electromagnetic energy.

As it is sometimes difficult to determine the SAR directly by measurement (e.g. whole body averaged SAR), the standard specifies more readily measurable maximum permissible exposures in terms of external electric E and magnetic field strength H and power density S, derived from the SAR limits. The limits for E, H and S have been fixed so that even under worst case conditions, the limits for the specific absorption rate SAR are not exceeded.

For the relevant frequency range the maximum permissible exposure may be exceeded if the exposure can be shown by appropriate techniques to produce SAR values below the corresponding limits.

2.3 SAR Limit

In this report the comparison between the American exposure limits and the measured data is made using the spatial peak SAR; the power level of the device under test guarantees that the whole body averaged SAR is not exceeded.

Having in mind a worst case consideration, the SAR limit is valid for uncontrolled environment and mobile respectively portable transmitters. According to Table 1 the SAR values have to be averaged over a mass of 1 g (SAR_{1g}) with the shape of a cube.

Standard	Status	SAR limit [W/kg]
IEEE C95.1	In force	1.6

Table 1: Relevant spatial peak SAR limit averaged over a mass of 1 g.

3 The FCC Measurement Procedure

The Federal Communications Commission (FCC) has published a report and order on the 1st of August 1996 [FCC 1996], which requires routine dosimetric assessment of mobile telecommunications devices, either by laboratory measurement techniques or by computational modeling, prior to equipment authorization or use. In 2001 the Commission's Office of Engineering and Technology has released Edition 01-01 of Supplement C to OET Bulletin 65. This revised edition, which replaces Edition 97-01, provides additional guidance and information for evaluating compliance of mobile and portable devices with FCC limits for human exposure to radiofrequency emissions [FCC 2001].

3.1 General Requirements

The test shall be performed in a laboratory with an environment which avoids influence on SAR measurements by ambient EM sources and any reflection from the environment itself. The ambient temperature shall be in the range of 20°C to 26°C and 30-70% humidity.

3.2 Device Operating Next to a Person's Ear

3.2.1 Phantom Requirements

The phantom is a simplified representation of the human anatomy and comprised of material with electrical properties similar to the corresponding tissues. The physical characteristics of the phantom model shall resemble the head and the neck of a user since the shape is a dominant parameter for exposure.

3.2.2 Test Positions

As it cannot be expected that the user will hold the mobile phone exactly in one well defined position, different operational conditions shall be tested. The Supplement C to OET Bulletin 65 requires two test positions. For an exact description helpful geometrical definitions are introduced and shown in Fig. 2 - 3.

There are two imaginary lines on the mobile, the vertical centerline and the horizontal line. The vertical centerline passes through two points on the front side of the handset: the midpoint of the width w_t of the handset at the level of the acoustic output (point A on Fig. 2), and the midpoint of the width w_b of the bottom of the handset (point B). The horizontal line is perpendicular to the vertical centerline and passes through the center of the acoustic output (see Fig. 2). The two lines intersect at point A.

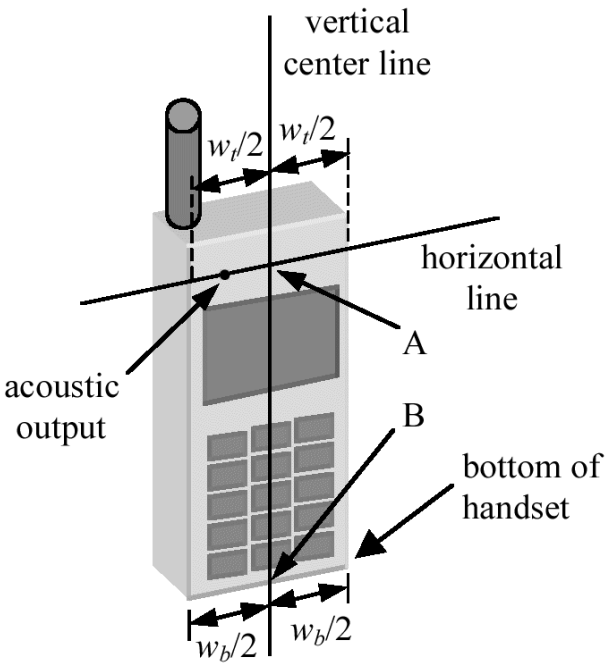

Fig. 2: Handset vertical and horizontal reference lines.

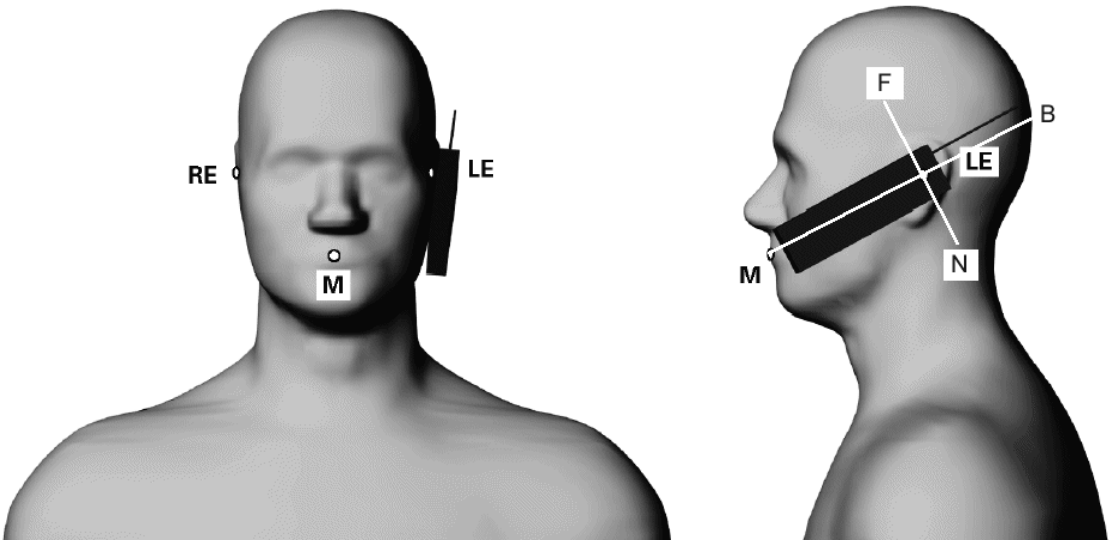

Fig. 3: Phantom reference points.

According to Fig. 3 the human head position is given by means of the following three reference points: auditory canal opening of both ears (RE and LE) and the center of the closed mouth (M). The ear reference points are 15-17 mm above the entrance to the ear canal along the BM line (back-mouth), as shown in Fig. 3. The plane passing through the two ear canals and M is defined as the reference plane. The line NF (Neck-Front) perpendicular to the reference plane and passing through the RE (or LE) is called the reference pivoting line. Line BM is perpendicular to the NF line. With this definitions the test positions are given by

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- **Cheek position (see Fig. 4):**

Position the handset close to the surface of the phantom such that point A is on the (virtual) extension of the line passing through points RE and LE on the phantom (see Fig. 3), such that the plane defined by the vertical center line and the horizontal line of the phone is approximately parallel to the sagittal plane of the phantom. Translate the handset towards the phantom along the line passing through RE and LE until the handset touches the ear. While maintaining the handset in this plane, rotate it around the LE-RE line until the vertical centerline is in the plane normal to MB-NF including the line MB (called the reference plane). Rotate the phone around the vertical centerline until the phone (horizontal line) is symmetrical with respect to the line NF. While maintaining the vertical centerline in the reference plane, keeping point A on the line passing through RE and LE, and maintaining the phone contact with the ear, rotate the handset about the line NF until any point on the handset is in contact with a phantom point below the ear.

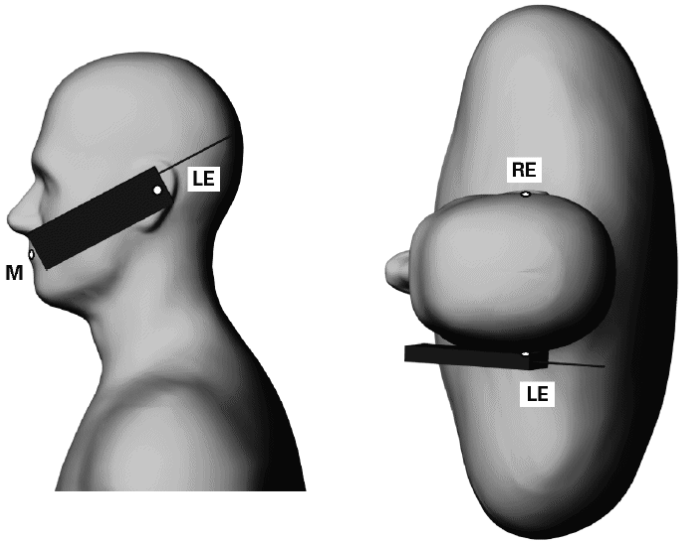

Fig. 4: The cheek position.

- **Tilted position (see Fig. 5):**

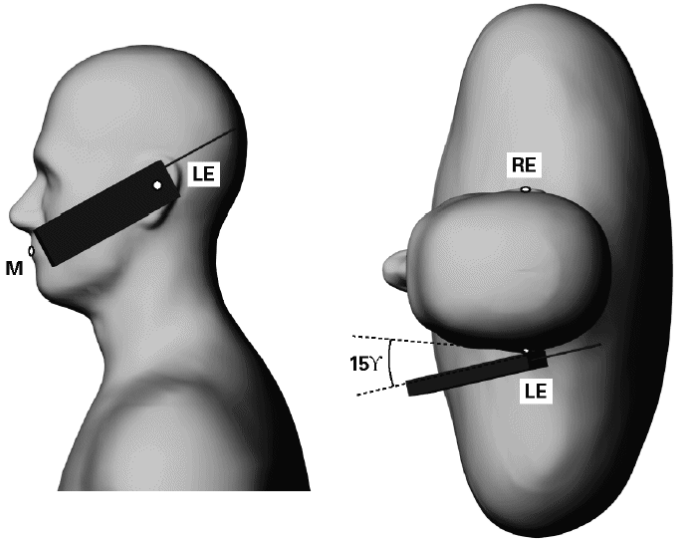

Fig. 5: The tilted position.

While maintaining the orientation of the phone retract the phone parallel to the reference plane far enough to enable a rotation of the phone by 15°. Rotate the phone around the horizontal line by 15°. While maintaining the orientation of the phone, move the phone parallel to the reference plane until any part of the phone touches the head. In this position, point A will be located on the line RE-LE.

3.2.3 Test to be Performed

The SAR test shall be performed with both phone positions described above, on the left and right side of the phantom. The device shall be measured for all modes operating when the device is next to the ear, even if the different modes operate in the same frequency band.

For devices with retractable antenna the SAR test shall be performed with the antenna fully extended and fully retracted. Other factors that may affect the exposure shall also be tested. For example, optional antennas or optional battery packs which may significantly change the volume, lengths, flip open/closed, etc. of the device, or any other accessories which might have the potential to considerably increase the peak spatial-average SAR value.

The SAR test shall be performed at the high, middle and low frequency channels of each operating mode. If the SAR measured at the middle channel for each test configuration is at least 3.0 dB lower than the SAR limit, testing at the high and low channels is optional.

3.3 Body-worn and Other Configurations

3.3.1 Phantom Requirements

For body-worn and other configurations a flat phantom shall be used which is comprised of material with electrical properties similar to the corresponding tissues.

3.3.2 Test Position

The body-worn configurations shall be tested with the supplied accessories (belt-clips, holsters, etc.) attached to the device in normal use configuration. Devices with a headset output shall be tested with a connected headset.

3.3.3 Test to be Performed

For purpose of determining test requirements, accessories may be divided into two categories: those that do not contain metallic components and those that do. For multiple accessories that do not contain metallic components, the device may be tested only with that accessory which provides the closest spacing to the body.

For multiple accessories that contain metallic components, the device must be tested with each accessory that contains a unique metallic component. If multiple accessories share an identical metallic component, only the accessory that provides the closest spacing to the body must be tested.

If the manufacturer provides none body-worn accessories a separation distance of 1.5 cm between the back of the device and the flat phantom is recommended. Other separation distances

may be used, but they shall not exceed 2.5 cm. In these cases, the device may use body-worn accessories that provide a separation distance greater than that tested for the device provided however that the accessory contains no metallic components.

For devices with retractable antenna the SAR test shall be performed with the antenna fully extended and fully retracted. Other factors that may affect the exposure shall also be tested. For example, optional antennas or optional battery packs which may significantly change the volume, lengths, flip open/closed, etc. of the device, or any other accessories which might have the potential to considerably increase the peak spatial-average SAR value.

The SAR test shall be performed at the high, middle and low frequency channels of each operating mode. If the SAR measured at the middle channel for each test configuration is at least 3.0 dB lower than the SAR limit, testing at the high and low channels is optional.

4 The Measurement System

DASY is an abbreviation of „Dosimetric Assessment System“ and describes a system that is able to determine the SAR distribution inside a phantom of a human being according to different standards. The DASY4 system consists of the following items as shown in Fig: 6. Additional Fig: 7 shows the equipment, similar to the installations in other laboratories [DASY 1995].

- High precision robot with controller
- Measurement server (for surveillance of the robot operation and signal filtering)
- Data acquisition electronics DAE (for signal amplification and filtering)
- Field probes calibrated for use in liquids
- Electro-optical converter EOC (conversion from the optical into a digital signal)
- Light beam (improving of the absolute probe positioning accuracy)
- Two SAM phantoms filled with tissue simulating liquid
- DASY4 software
- SEMCAD

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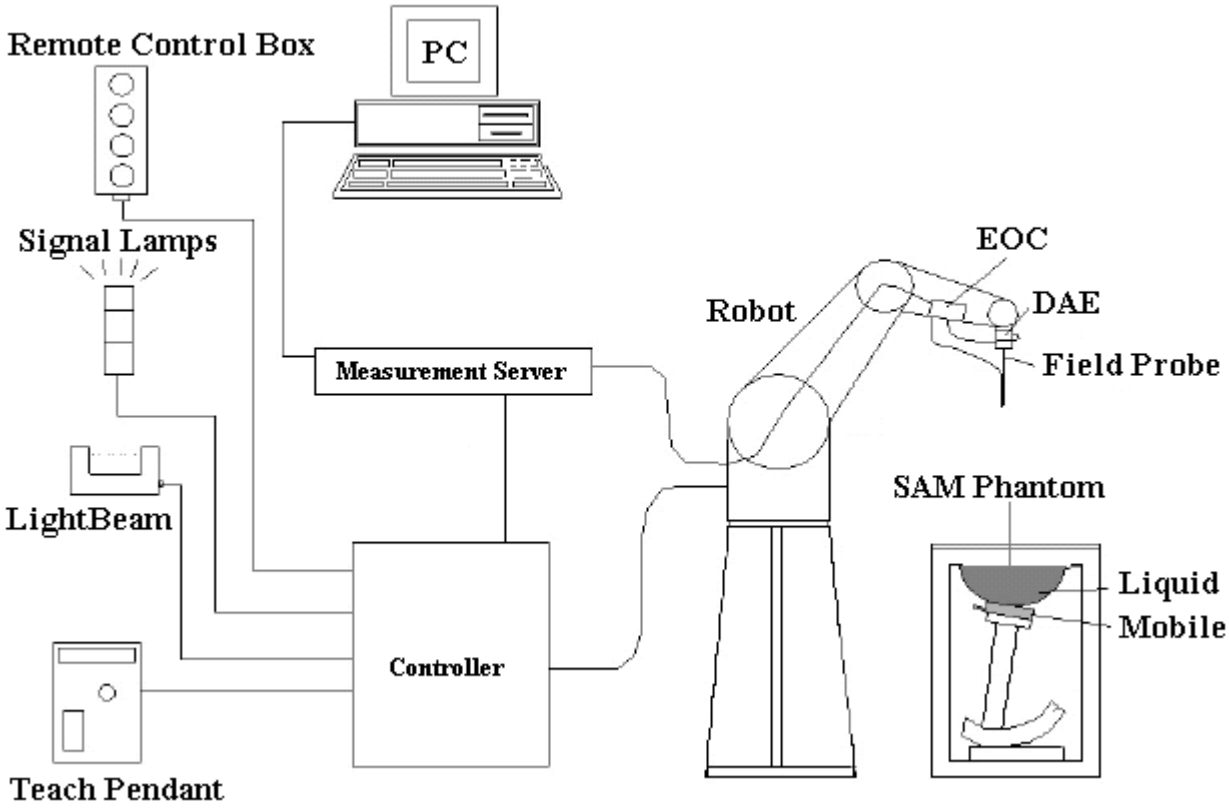

Fig. 6: The DASY4 measurement system.

Fig. 7: The measurement set-up with two SAM phantoms containing tissue simulating liquid.

The mobile phone operating at the maximum power level is placed by a non metallic device holder (delivered from Schmid & Partner) in the above described positions at a shell phantom of a human being. The distribution of the electric field strength *E* is measured in the tissue simulating liquid within the shell phantom. For this miniaturised field probes with high sensitivity and low field disturbance are used. Afterwards the corresponding SAR values are calculated with the known electrical conductivity σ and the mass density ρ of the tissue in the SEMCAD FDTD software. The software is able to determine the averaged SAR values (averaging region 1 g or 10 g) for compliance testing.

The measurements are done by two scans: first a coarse scan determines the region of the maximum SAR, afterwards the averaged SAR is measured in a second scan within the shape of a cube. The measurement time takes about 20 minutes.

4.1 Phantom

For the measurements the Specific Anthropomorphic Mannequin (SAM Twin Phantom V4.0) defined by the IEEE SCC-34/SC2 group and delivered by Schmid & Partner Engineering AG is used. The phantom is a fibreglass shell integrated in a wooden table. The thickness of the phantom amounts to 2 mm ± 0.2 mm. It enables the dosimetric evaluation of left and right hand phone usage and includes an additional flat phantom part for the simplified performance check. The phantom set-up includes a coverage (polyethylene), which prevents the evaporation of the liquid. The details and the Certificate of conformity can be found in Fig. 14.

4.2 Probe

For the measurements the Dosimetric E-Field Probe ET3DV6 with following specifications is used. It is manufactured and calibrated in accordance with FCC [FCC 2001] and IEEE [IEEE 1528] recommendations annually by Schmid & Partner Engineering AG.

- Dynamic range: 5µW/g to > 100mW/g
- Tip diameter: 6.8 mm
- Distance between probe tip and sensor center: 2.7 mm
- Distance between sensor center and the inner phantom surface: 4 mm (repeatability better than ± 1.0 mm)
- Boundary effect compensation included within the SEMCAD software
- Probe linearity: ± 0.2 dB (30 MHz to 3 GHz)
- Axial isotropy: ± 0.2 dB
- Spherical isotropy: ± 0.4 dB
- Calibration range: 835MHz/1900 MHz for head & body simulating liquid
- Angle between probe axis (evaluation axis) and surface normal line: less than 30°

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4.3 Measurement Procedure

The following steps are used for each test position:

- Establish a call with the maximum output power with a base station simulator. The connection between the mobile phone and the base station simulator is established via air interface.
- Measurement of the conducted output power
- Measurement of the local E-field value at a fixed location (P1). This value serves as a reference value for calculating a possible power drift.
- Measurement of the SAR distribution with a grid spacing of 15 mm x 15 mm and a constant distance to the inner surface of the phantom. Since the sensors can not directly measure at the inner phantom surface, the values between the sensors and the inner phantom surface are extrapolated. With this values the area of the maximum SAR is calculated by a interpolation scheme (combination of a least-square fitted function and a weighted average method). Additional all peaks within 2 dB of the maximum SAR are searched.
- Around this points, a cube of 30 mm x 30 mm x 30 mm is assessed by measuring 7 x 7 x 7 points whereby the first two measurement points are within the required 10 mm of the surface. With these data, the peak spatial-average SAR value can be calculated within the SEMCAD software.
- The used extrapolation and interpolation routines are all based on the modified Quadratic Shepard´s method [DASY4].
- Repetition of the E-field measurement at the fixed location (P1) and repetition of the whole procedure if the two results differ by more than ± 0.21dB.
- Additional remeasurement of the conducted output power

Since the measurements have been performed in accordance with the recommendations given by the manufacturer (Fig. 13) the system is designed to be fully compliant with the IEEE standard [IEEE 1528].

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4.4 Uncertainty Assessment

Table 2 includes the uncertainty budget suggested by the [IEEE 1528] and determined by Schmid & Partner Engineering AG. The expanded uncertainty (K=2) is assessed to be ± 20.6%. The requirements for the validity and the Certificate of conformity can be found in Fig. 13.

Error Sources	Uncertainty Value	Probability Distribution	Divisor	c_i	Standard Uncertainty	v_i^2 or v_{eff}
Measurement System						
Probe calibration	± 4.8 %	Normal	1	1	± 4.8 %	∞
Axial isotropy	± 4.7 %	Rectangular	√3	0.7	± 1.9 %	∞
Hemispherical isotropy	± 9.6 %	Rectangular	√3	0.7	± 3.9 %	∞
Boundary effects	± 1.0 %	Rectangular	√3	1	± 0.6 %	∞
Linearity	± 4.7 %	Rectangular	√3	1	± 2.7 %	∞
System detection limit	± 1.0 %	Rectangular	√3	1	± 0.6 %	∞
Readout electronics	± 1.0 %	Normal	1	1	± 1.0 %	∞
Response time	± 0.8 %	Rectangular	√3	1	± 0.5 %	∞
Integration time	± 2.6%	Rectangular	√3	1	± 1.5 %	∞
RF ambient conditions	± 3.0 %	Rectangular	√3	1	± 1.7 %	∞
Probe positioner	± 0.4 %	Rectangular	√3	1	± 0.2 %	∞
Probe positioning	± 2.9 %	Rectangular	√3	1	± 1.7 %	∞
Algorithms for max SAR eval.	± 1.0 %	Rectangular	√3	1	± 0.6 %	∞
Test Sample Related						
Device positioning	± 2.9 %	Normal	1	1	± 2.9 %	145
Device holder	± 3.6 %	Normal	1	1	± 3.6 %	5
Power drift	± 5.0 %	Rectangular	√3	1	± 2.9 %	∞
Phantom and Set-up						
Phantom uncertainty	± 4.0 %	Rectangular	√3	1	± 2.3 %	∞
Liquid conductivity (target)	± 5.0 %	Rectangular	√3	0.64	± 1.8 %	∞
Liquid conductivity (meas.)	± 2.5 %	Normal	1	0.64	± 1.6 %	∞
Liquid permittivity (target)	± 5.0 %	Rectangular	√3	0.6	± 1.7 %	∞
Liquid permittivity (meas.)	± 2.5 %	Normal	1	0.6	± 1.5 %	∞
Combined Uncertainty					± 10.3 %	

Table 2: Uncertainty budget of DASY4.

5 SAR Results

The Tables below contain the measured SAR values averaged over a mass of 1 g.

For the SAR measurements two samples were used. Sample 1 with activated Bluetooth was used for the GPRS measurements (Class 10, 2TX), Sample 2 without activated Bluetooth was used for the GSM measurements and to repeat the worst case GPRS position (to compare the influence of the Bluetooth modul).

Phantom Configuration (Liquid depth = 16.4 cm)	Test Position	SAR_{1g} [W/kg] (Drift[dB])			Temperature	
		Channel 512 1850.20 MHz 29.5 dBm	Channel 661 1880.00 MHz 29.8 dBm	Channel 810 1909.80 MHz 29.2 dBm	Ambient [° C]	Liquid [° C]
Left Side (Sample 2)	Cheek		0.344 (0.02)		22.4	19.7
	Tilted		0.381 (-0.02)		22.4	19.7
Right Head (Sample 2)	Cheek		0.594 (0.005)		22.8	19.7
	Tilted		0.533 (-0.005)		22.8	19.7

Table 3: Measured head phantom results for PCS 1900 for the Siemens SX1.

Accessory (Liquid depth = 16.3 cm)	SAR_{1g} [W/kg] (Drift[dB])			Temperature	
	Channel 512 1850.2 MHz 29.5 dBm	Channel 661 1880.0 MHz 29.8 dBm	Channel 810 1909.8 MHz 29.2 dBm	Ambient [° C]	Liquid [° C]
SX1 and Headset. Display away from phantom (Sample 2)		0.250* (-0.04)		21.5	20.3

Table 4: Measurement results in body-worn configuration for PCS 1900 for the Siemens SX1 in GSM mode, 1 TX slot (gap = 1.5 cm).

Accessory (Liquid depth = 16.3 cm)	SAR_{1g} [W/kg] (Drift[dB])			Temperature	
	Channel 512 1850.2 MHz 29.4 dBm	Channel 661 1880.0 MHz 29.7 dBm	Channel 810 1909.8 MHz 29.2 dBm	Ambient [° C]	Liquid [° C]
SX1 with activated Bluetooth. Display away from phantom (Sample 1) **1.5 cm distance**		0.462* (-0.1)		22.0	20.3
SX1 with activated Bluetooth and **beltcase**. Display away from phantom (Sample 1)		0.428* (-0.2)		22.0	20.3

Table 5: Measurement results in body-worn configuration for PCS 1900 for the Siemens SX1 in GPRS mode, 2 TX slots.

Accessory (Liquid depth = 16.3 cm)	SAR_{1g} [W/kg] (Drift[dB])			Temperature	
	Channel 512 1850.2 MHz 29.5 dBm	Channel 661 1880.0 MHz 29.8 dBm	Channel 810 1909.8 MHz 29.2 dBm	Ambient [° C]	Liquid [° C]
SX1 without Bluetooth. Display towards the ground (Sample 2) **1.5 cm distance**		0.462* (-0.1)		22.2	20.4

Table 6: Worst case body-worn measurement repeated without Bluetooth for PCS 1900 for the Siemens SX1 in GPRS mode, 2 TX slots.

The "* Max Cube" labeling indicates that during the grid scanning an additional peak was found which was within 2.0 dB of the highest peak. The value of the highest cube is given in the tables above, the value from the second assessed cube is given in the SAR distribution plots (appendix).

The above mentioned power values are conducted measured values, they were measured on the same samples which was prepared for the FCC approval. The values were delivered by Siemens Mobile Phones A/S Denmark

To control the output power stability during the SAR test the used DASY4 system calculates the power drift by measuring the e-field at the same location at the beginning and at the end of the measurement for each test position. These drift values can be found in the above tables labeled as: (Drift[dB]). This ensures that the power drift during one measurement is within 5%. Please note that we add the measured "power drift" values from the DASY4 system since the used CMU 200 delivers only 1 usable position after decimal point and therefore only one power level is listed in the above tables.

6 Evaluation

In Fig. 8 the head phantom SAR results for PCS 1900 given in Table 3 are summarized and compared to the limit. In Fig. 9 - 10 the SAR results in body-worn configuration for PCS 1900 given in Table 4 - 6 are summarized and compared to the limit.

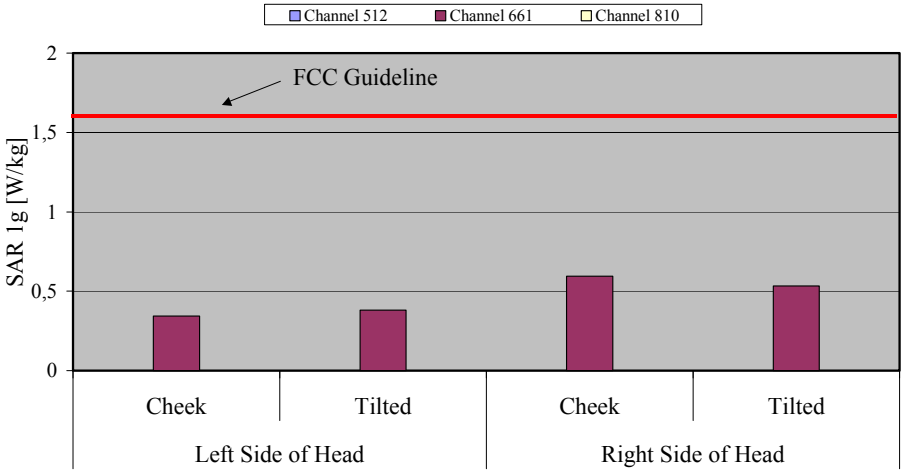

Fig. 8: The measured head phantom SAR values for the Siemens SX1 (Sample 2) for PCS 1900 in comparison to the FCC exposure limit.

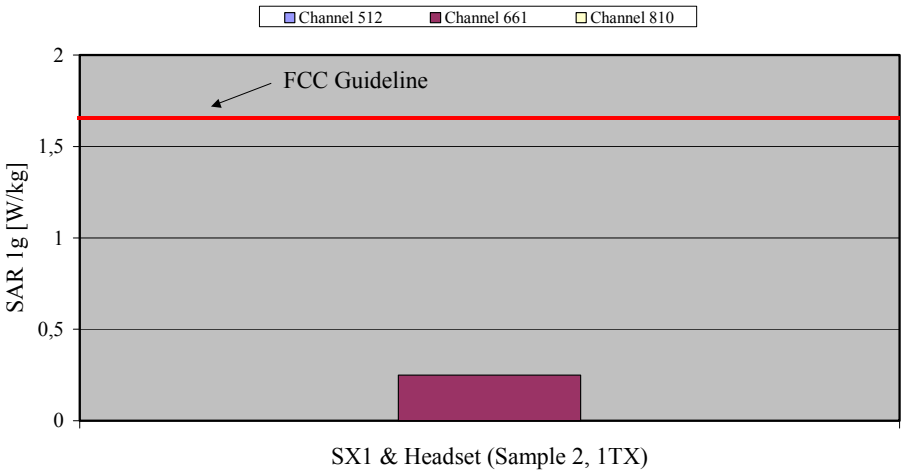

Fig. 9: The measured SAR values in body-worn configuration (GSM mode) for the Siemens SX1 with 1.5 cm distance for PCS 1900 in comparison to the FCC exposure limit.

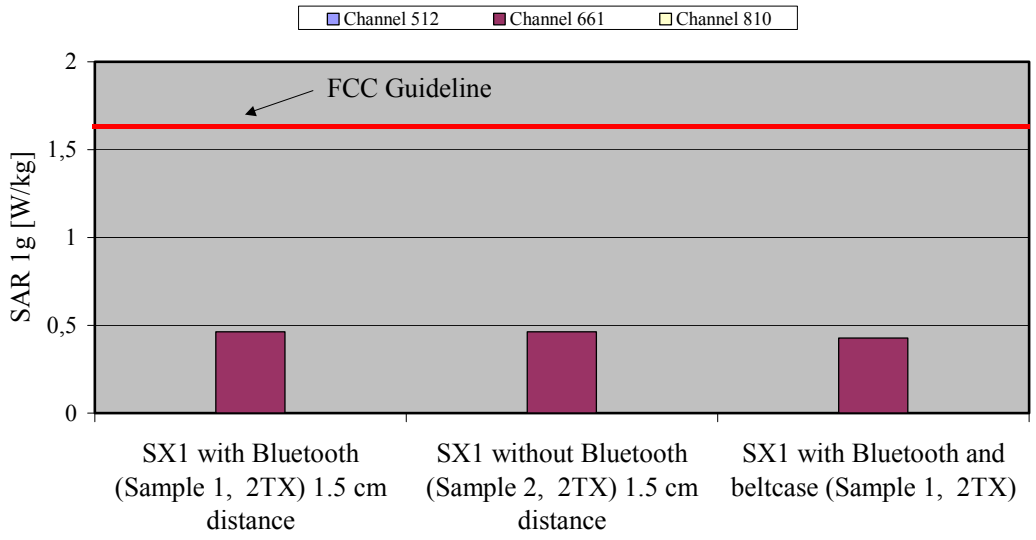

Fig. 10: The measured SAR values in body-worn configuration (GPRS mode) for the Siemens SX1 distance for PCS 1900 in comparison to the FCC exposure limit.

The Siemens SX1 mobile phone (FCC ID: PWX-SX1) is in compliance with the Federal Communications Commission (FCC) Guidelines [FCC 2001] for uncontrolled exposure.

The phone was tested in the Body Worn configuration with the following accessories and combinations:

- **GSM mode (1 TX slot) with headset**
- **GPRS mode (2 TX slots) without and with beltcase**

7 Appendix

7.1 Administrative Data

Date of validation:	1900 MHz, Head: July 22, 2003
	1900 MHz, Body: July 23, 2003
Date of measurement:	1900 MHz, Head: July 22, 2003
	1900 MHz, Body: July 23, 2003
Data stored:	Siemens_6575_330

7.2 Device under Test and Test Conditions

MTE:	Siemens SX1, Production Line Unit
Date of receipt:	July 21, 2003
IMEI:	Sample 1: 004400532666573
	Sample 2: 004400532665955
FCC ID:	PWX-SX1
Equipment class:	Portable device
Power Class:	PCS 1900: 1, tested with power level 0
RF exposure environment:	General Population/Uncontrolled
Power supply:	Internal Battery (Other batteries not available)
Antenna:	Antenna Typ: Integrated
Tested Accessories, Body:	headset and beltcase
Measured Standards:	PCS 1900
Method to establish a call:	Basestation simulator, using the air interface
Modulation:	GMSK
Crest Factor:	Talk Mode: 8
	GPRS: 4
TX range:	PCS 1900 : 1850.2 MHz – 1909.8 MHz
RX range:	PCS 1900 : 1930.2 MHz – 1989.8 MHz
Used TX Channels:	PCS 1900: low: ch. 512, center: ch. 661, high: ch. 810
Used Phantom:	SAM Twin Phantom V4.0, as defined by the IEEE SCC-34/SC2 group and delivered by Schmid & Partner Engineering AG

7.3 Tissue Recipes

The following recipes are provided in percentage by weight.

1900 MHz, Head:	45.65%	Diethylenglykol-monobutylether
	54.00%	De-Ionized Water
	0.35%	Salt
1900 MHz, Body:	29.68%	Diethylenglykol-monobutylether
	70.00%	De-Ionized Water
	0.32%	Salt

7.4 Material Parameters

For the measurement of the following parameters the HP 85070B dielectric probe kit is used, representing the open-ended coaxial probe measurement procedure.

Frequency		ε_r	σ [S/m]	Temperature	
				Ambient [° C]	Liquid [° C]
1900 MHz (Head)	Recommended Value	40.00 ± 2.00	1.40 ± 0.07	20.0 - 26.0	-
	Measured Value	40.20 ± 2.00	1.37 ± 0.14	22.0	19.7
1900 MHz (Body)	Recommended Value	53.30 ± 2.67	1.52 ± 0.08	20.0 - 26.0	-
	Measured Value	53.00 ± 2.60	1.50 ± 0.15	21.3	20.3

Table 7: Parameters of the tissue simulating liquids.

7.5 Simplified Performance Checking

The simplified performance check was realized using the dipole validation kits. The input power of the dipole antennas were 250 mW (cw signal) and they were placed under the flat part of the SAM phantom. The results are listed in the Table 8 and shown in Fig. 11-12. The target values were adopted from the manufactures calibration certificates which are attached in the appendix. Table 9 includes the uncertainty assessment for the system performance checking which was suggested by the [IEEE 1528] and determined by Schmid & Partner Engineering AG. The expanded uncertainty (K=2) is assessed to be ± 16.8%.

Frequency		SAR_{1g} [W/kg]	ε_r	σ [S/m]	Temperature	
					Ambient [° C]	Liquid [° C]
1900 MHz (Head)	Target Value	10.20	39.75	1.45	20.0 - 26.0	-
	Measured Value	9.96	40.20	1.37	22.0	19.7
1900 MHz (Body)	Target Value	10.3	53.50	1.46	20.0 - 26.0	-
	Measured Value	10.2	53.00	1.50	21.3	20.3

Table 8: Validation results, 1900 MHz.

Test Laboratory: IMST

File Name: 220703_1669.da4

DUT: Dipole 1900 MHz; Type: D1900V2; Serial: 535

Program: Unnamed Program

Communication System: CW; Frequency: 1900 MHz;Duty Cycle: 1:1

Medium: Head 1900 MHz (σ = 1.37 mho/m, ε_r = 40.2, ρ = 1000 kg/m^3)

Phantom section: Flat Section

DASY4 Configuration:

- Probe: ET3DV6 - SN1669; ConvF(5.2, 5.2, 5.2); Calibrated: 21.03.2003
- Sensor-Surface: 4mm (Mechanical And Optical Surface Detection)
- Electronics: DAE3 Sn335; Calibrated: 05.05.2003
- Phantom: SAM TP:1176; ;
- Measurement SW: DASY4, V4.1 Build 47; Postprocessing SW: SEMCAD, V1.6 Build 115

Unnamed procedure/Area Scan (5x5x1): Measurement grid: dx=20mm, dy=20mm

Reference Value = 96.4 V/m

Power Drift = -0.08 dB

Maximum value of SAR = 11.3 mW/g

Unnamed procedure/Zoom Scan (7x7x7)/Cube 0: Measurement grid: dx=5mm, dy=5mm, dz=5mm

Peak SAR (extrapolated) = 17.4 W/kg

SAR(1 g) = 9.96 mW/g; SAR(10 g) = 5.21 mW/g

Reference Value = 96.4 V/m

Power Drift = -0.08 dB

Maximum value of SAR = 11.2 mW/g

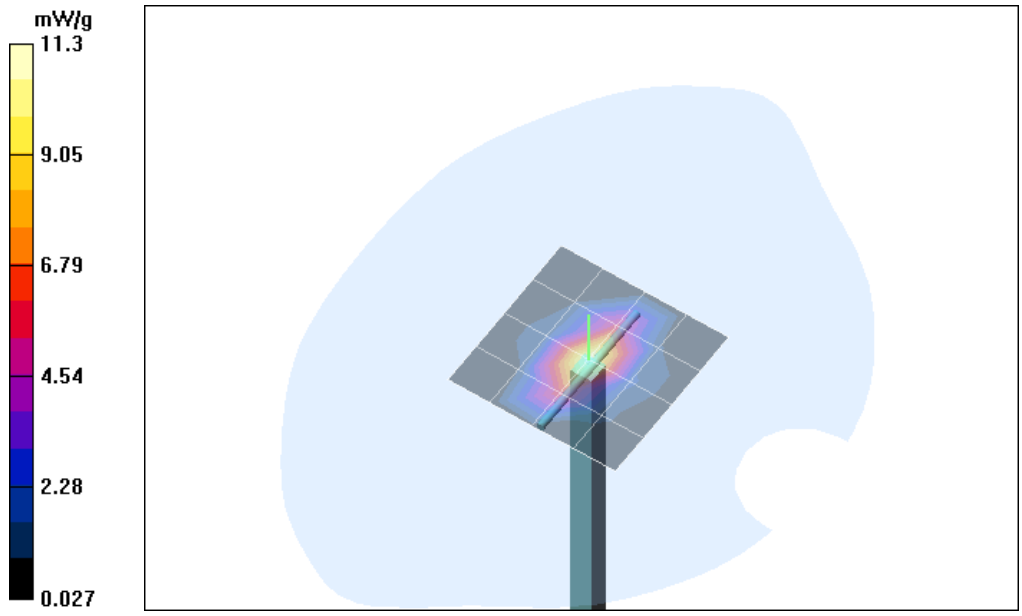

Fig. 11: Validation measurement 1900 MHz Head (22.07.2003), coarse grid. Ambient Temperature: 22.0° C, Liquid Temperature: 19.7° C.

Test Laboratory: IMST

File Name: 230703_1669.da4

DUT: Dipole 1900 MHz; Type: D1900V2; Serial: 535

Program: Unnamed Program

Communication System: CW; Frequency: 1900 MHz;Duty Cycle: 1:1

Medium: Body1900 MHz (σ = 1.5 mho/m, ε_r = 53, ρ = 1000 kg/m^3)

Phantom section: Flat Section

DASY4 Configuration:

- Probe: ET3DV6 - SN1669; ConvF(4.8, 4.8, 4.8); Calibrated: 21.03.2003
- Sensor-Surface: 4mm (Mechanical And Optical Surface Detection)
- Electronics: DAE3 Sn335; Calibrated: 05.05.2003
- Phantom: SAM TP:1176; ;
- Measurement SW: DASY4, V4.1 Build 47; Postprocessing SW: SEMCAD, V1.6 Build 115

Unnamed procedure/Area Scan (5x5x1): Measurement grid: dx=20mm, dy=20mm

Reference Value = 92.8 V/m

Power Drift = -0.04 dB

Maximum value of SAR = 11.3 mW/g

Unnamed procedure/Zoom Scan (7x7x7)/Cube 0: Measurement grid: dx=5mm, dy=5mm, dz=5mm

Peak SAR (extrapolated) = 17.9 W/kg

SAR(1 g) = 10.2 mW/g; SAR(10 g) = 5.35 mW/g

Reference Value = 92.8 V/m

Power Drift = -0.04 dB

Maximum value of SAR = 11.5 mW/g

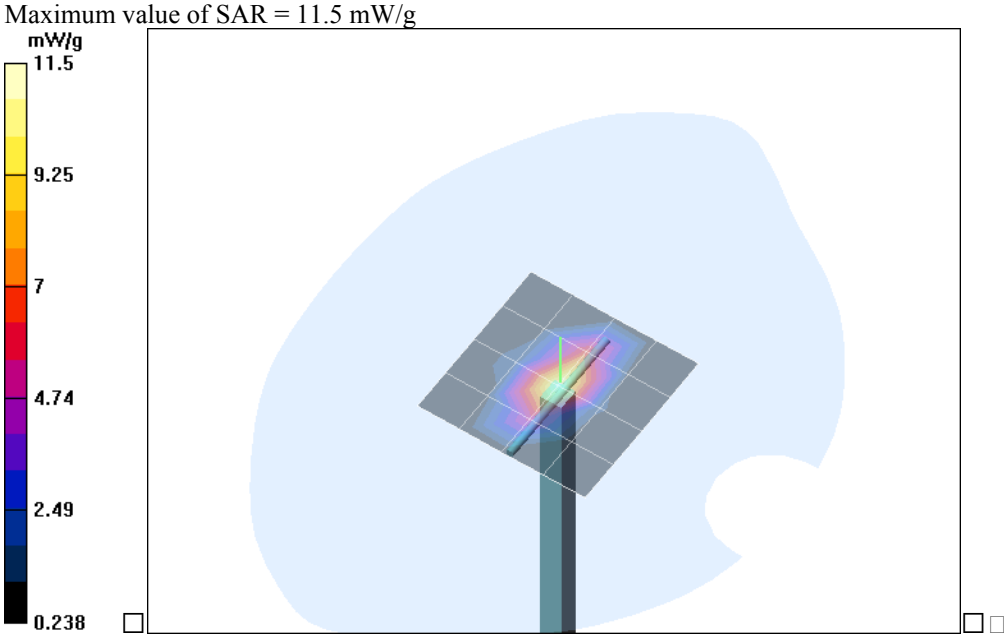

Fig. 12: Validation measurement 1900 MHz body (23.07.2003), coarse grid. Ambient Temperature: 21.3° C, Liquid Temperature: 20.3° C.

Error Sources	Uncertainty Value	Probability Distribution	Divisor	c_i	Standard Uncertainty	v_i^2 or v_{eff}
Measurement System						
Probe calibration	± 4.8 %	Normal	1	1	± 4.8 %	∞
Axial isotropy	± 4.7 %	Rectangular	√3	1	± 2.7 %	∞
Hemispherical isotropy	± 0 %	Rectangular	√3	1	± 0 %	∞
Boundary effects	± 1.0 %	Rectangular	√3	1	± 0.6 %	∞
Linearity	± 4.7 %	Rectangular	√3	1	± 2.7 %	∞
System detection limit	± 1.0 %	Rectangular	√3	1	± 0.6 %	∞
Readout electronics	± 1.0 %	Normal	1	1	± 1.0 %	∞
Response time	± 0 %	Rectangular	√3	1	± 0 %	∞
Integration time	± 0%	Rectangular	√3	1	± 0 %	∞
RF ambient conditions	± 3.0 %	Rectangular	√3	1	± 1.7 %	∞
Probe positioner	± 0.4 %	Rectangular	√3	1	± 0.2 %	∞
Probe positioning	± 2.9 %	Rectangular	√3	1	± 1.7 %	∞
Algorithms for max SAR eval.	± 1.0 %	Rectangular	√3	1	± 0.6 %	∞
Dipole						
Dipole Axis to Liquid Distance	± 2.0 %	Rectangular	1	1	± 1.2 %	∞
Input power and SAR drift mea.	± 4.7 %	Rectangular	√3	1	± 2.7 %	∞
Phantom and Set-up						
Phantom uncertainty	± 4.0 %	Rectangular	√3	1	± 2.3 %	∞
Liquid conductivity (target)	± 5.0 %	Rectangular	√3	0.64	± 1.8 %	∞
Liquid conductivity (meas.)	± 2.5 %	Normal	1	0.64	± 1.6 %	∞
Liquid permittivity (target)	± 5.0 %	Rectangular	√3	0.6	± 1.7 %	∞
Liquid permittivity (meas.)	± 2.5 %	Normal	1	0.6	± 1.5 %	∞
Combined Uncertainty					± 8.4 %	

Table 9: Uncertainty budget for the system performance check.

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7.6 Environment

To comply with the required noise level (less than 12 mW/kg) periodically measurements without a DUT were conducted.
Humidity: 45% ± 5 %

7.7 Test Equipment

Test Equipment	Model	Serial Number	Last Calibration	Next Calibration
DASY4 System				
Software Version	V3.1D	N/A	N/A	N/A
Dosimetric E-Field Probe	ET3DV6	1669	03/2003	03/2004
Data Acquisition Electronics	DAE3 V1	335	05/2003	05/2004
Phantom	SAM	1176	N/A	N/A
Performance Checking				
System Validation Dipole, Head	D1900V2	535	11/2002	11/2004
System Validation Dipole, Body	D1900V2	535	11/2002	11/2004
Power Meter, Agilent	E4416A	GB41050414	11/2002	11/2003
Power Sensor, Agilent	E9301H	US40010212	12/2002	12/2003
Power Meter, Agilent	E4417A	GB41050441	10/2002	10/2003
Power Sensor, Agilent	E9301A	MY41495584	09/2002	11/2003
RF Source (Network Analyzer)	HP8753D	3410A06555	11/2002	11/2003
RF Amplifier, Mini-Circuits	ZHL-42	D012296	N/A	N/A
Material Measurement				
Network Analyzer	HP8753D	3410A06555	11/2002	12/2003
Dielectric Probe Kit	HP85070B	US33020263	N/A	N/A
General				
Radio Tester, Rohde & Schwarz	CMU200	835305/050	12/2002	12/2003

Table 10: Test equipment.

7.8 Certificates of conformity

Schmid & Partner Engineering AG

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info@speag.com, http://www.speag.com

Certificate of conformity

Item	Dosimetric Assessment System DASY4
Type No	SD 000 401A, SD 000 402A
Software Version No	4.1
Manufacturer / Origin	Schmid & Partner Engineering AG Zeughausstrasse 43 CH-8004 Zürich Switzerland

References

[1] IEEE P1528-200X *Draft CD 1.1*, "Recommended Practice for Determining the Peak Spatial – Average Specific Absorption Rate (SAR) in the Human Body Due to Wireless Communication Devices: Experimental Techniques", December 2002.

Conformity

We certify that this system is designed to be fully compliant with the standard [1].

Uncertainty

The uncertainty of the measurements with this system was evaluated according to Section 7 and Annex E and F of [1]. The uncertainty values represent current state of methodology and are subject to changes. They are applicable for all laboratories using DASY4 provided the following requirements are met (responsibility of the system end user):

1) the system is used by an experienced engineer who follows the manual and the guidelines taught during the training provided by SPEAG,
2) the probe has been calibrated within the requested period and the stated uncertainty for the relevant frequency bands does not exceed 4.8% (k=1),
3) the validation dipole has been calibrated within the requested period and the system performance check has been successful,
4) the DAE has been calibrated within the requested period,
5) the "minimum distance" between probe sensor and inner phantom shell is selected to be between 4 and 5 mm,
6) the operational mode of the DUT is CW, CDMA, FDMA or TDMA (GSM, DCS, PCS, IS136, PDC) and the measurement/integration time per point is ≥ 500 ms,
7) the dielectric parameters of the liquid have been assessed with an accuracy of 2.5% (standard uncertainty) using Agilent 85070 dielectric probe kit or a more accurate method,
8) the dielectric parameters are within 5% of the target values,
9) the phone has been positioned as described in the manual.

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Uncertainty according to IEEE Std 1528-200X (Draft CD 1.1 – December 2002)

Error Description	Uncertainty value ±%	Probability distribution	Divisor	c_i 1g	Standard unc. (1g)	v_i or v_{eff}
Measurement System						
Probe Calibration	± 4.8	normal	1	1	± 4.8	∞
Axial Isotropy	± 4.7	rectangular	√3	$(1-c_p)^{1/2}$	± 1.9	∞
Hemispherical Isotropy	± 9.6	rectangular	√3	$(c_p)^{1/2}$	± 3.9	∞
Boundary effects	± 1.0	rectangular	√3	1	± 0.6	∞
Linearity	± 4.7	rectangular	√3	1	± 2.7	∞
System Detection limits	± 1.0	rectangular	√3	1	± 0.6	∞
Readout Electronics	± 1.0	normal	1	1	± 1.0	∞
Response time	± 0.8	rectangular	√3	1	± 0.5	∞
Integration time	± 2.6	rectangular	√3	1	± 1.5	∞
RF Ambient Conditions	± 3.0	rectangular	√3	1	± 1.7	∞
Probe Positioner Mechanical Tolerance	± 0.4	rectangular	√3	1	± 0.2	∞
Probe Positioning with respect to Phantom Shell	± 2.9	rectangular	√3	1	± 1.7	∞
Extrapolation, Interpolation and Integration Algorithms for Max. SAR Evaluation	± 1.0	rectangular	√3	1	± 0.6	∞
Test Sample Related						
Test Sample Positioning	± 2.9	normal	1	1	± 2.9	145
Device Holder Uncertainty	± 3.6	normal	1	1	± 3.6	5
Output Power Variation – SAR drift measurement	± 5.0	rectangular	√3	1	± 2.9	∞
Phantom and Tissue Parameters						
Phantom Uncertainty (shape and thickness tolerances)	± 4.0	rectangular	√3	1	± 2.3	∞
Liquid conductivity Target - tolerance	± 5.0	rectangular	√3	0.64	± 1.8	∞
Liquid conductivity – measurement uncertainty	± 2.5	normal	1	0.64	± 1.6	∞
Liquid permittivity Target - tolerance	± 5.0	rectangular	√3	0.6	± 1.7	∞
Liquid permittivity – measurement uncertainty	± 2.5	normal	1	0.6	± 1.5	∞
Combined Standard Uncertainty					± 10.3	330
Coverage Factor for 95%		**k = 2**				
Expanded Standard Uncertainty					**± 20.6**	

Date 31.03.2003

Signature / Stamp

s p e a g

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Fig. 13: Certificate of conformity for the used DASY4 system

Schmid & Partner Engineering AG

Zeughausstrasse 43, 8004 Zurich, Switzerland, Phone +41 1 245 97 00, Fax +41 1 245 97 79

Certificate of conformity / First Article Inspection

Item	SAM Twin Phantom V4.0
Type No	QD 000 P40 BA
Series No	TP-1002 and higher
Manufacturer / Origin	Untersee Composites Hauptstr. 69 CH-8559 Fruthwilen Switzerland

Tests

The series production process used allows the limitation to test of first articles.
Complete tests were made on the pre-series Type No. QD 000 P40 AA, Serial No. TP-1001 and on the series first article Type No. QD 000 P40 BA, Serial No. TP-1006. Certain parameters have been retested using further series units (called samples).

Test	Requirement	Details	Units tested
Shape	Compliance with the geometry according to the CAD model.	IT'IS CAD File (*)	First article, Samples
Material thickness	Compliant with the requirements according to the standards	2mm +/- 0.2mm in specific areas	First article, Samples
Material parameters	Dielectric parameters for required frequencies	200 MHz – 3 GHz Relative permittivity < 5 Loss tangent < 0.05.	Material sample TP 104-5
Material resistivity	The material has been tested to be compatible with the liquids defined in the standards	Liquid type HSL 1800 and others according to the standard.	Pre-series, First article

Standards

[1] CENELEC EN 50361
[2] IEEE P1528-200x draft 6.5
[3] IEC PT 62209 draft 0.9
(*) The IT'IS CAD file is derived from [2] and is also within the tolerance requirements of the shapes of [1] and [3].

Conformity

Based on the sample tests above, we certify that this item is in compliance with the uncertainty requirements of SAR measurements specified in standard [1] and draft standards [2] and [3].

Date 18.11.2001

Signature / Stamp Schmid & Partner Engineering AG
Zeughausstrasse 43, CH-8004 Zurich
Tel. +41 1 245 97 00, Fax +41 1 245 97 79

Fig. 14: Certificate of conformity for the used SAM phantom

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7.9 Pictures of the device under test

Fig. 15 – 18 show the device under test and the used accessories.

Fig. 15: Back and front view of the device.

Fig. 16: Side view of the device.

Fig. 17: Used headset.

Fig. 18: Phone with used beltcase.

7.10 Test Positions for the Device under Test

Fig. 19 – Fig. 25 shown the test positions for the SAR measurements.

Fig. 19: Cheek position, left side.

Fig. 20: Tilted position, left side.

Fig. 21: Cheek position, right side.

Fig. 22: Tilted position, right side.

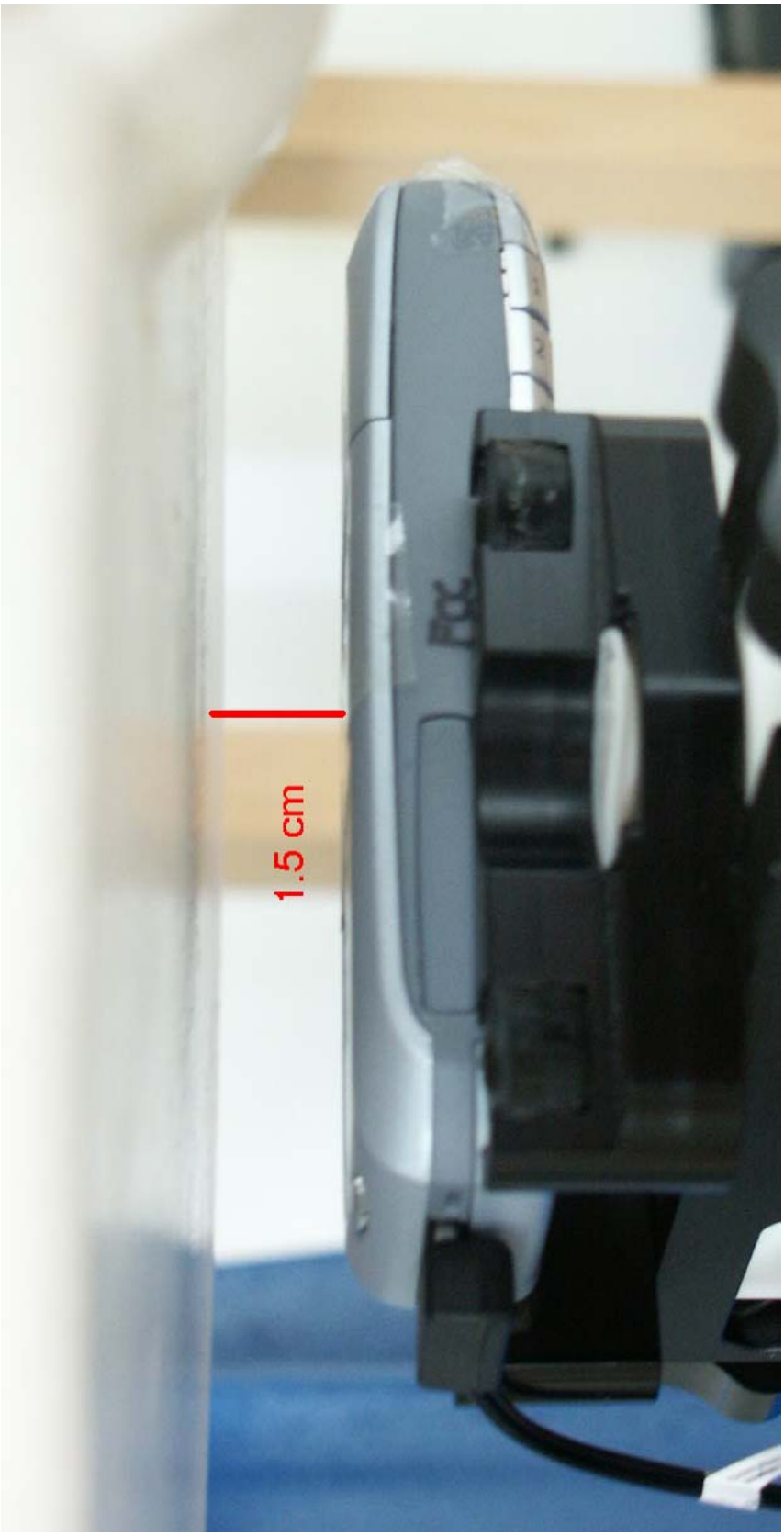

Fig. 23: Body worn configuration, GSM mode (1 TX slot).

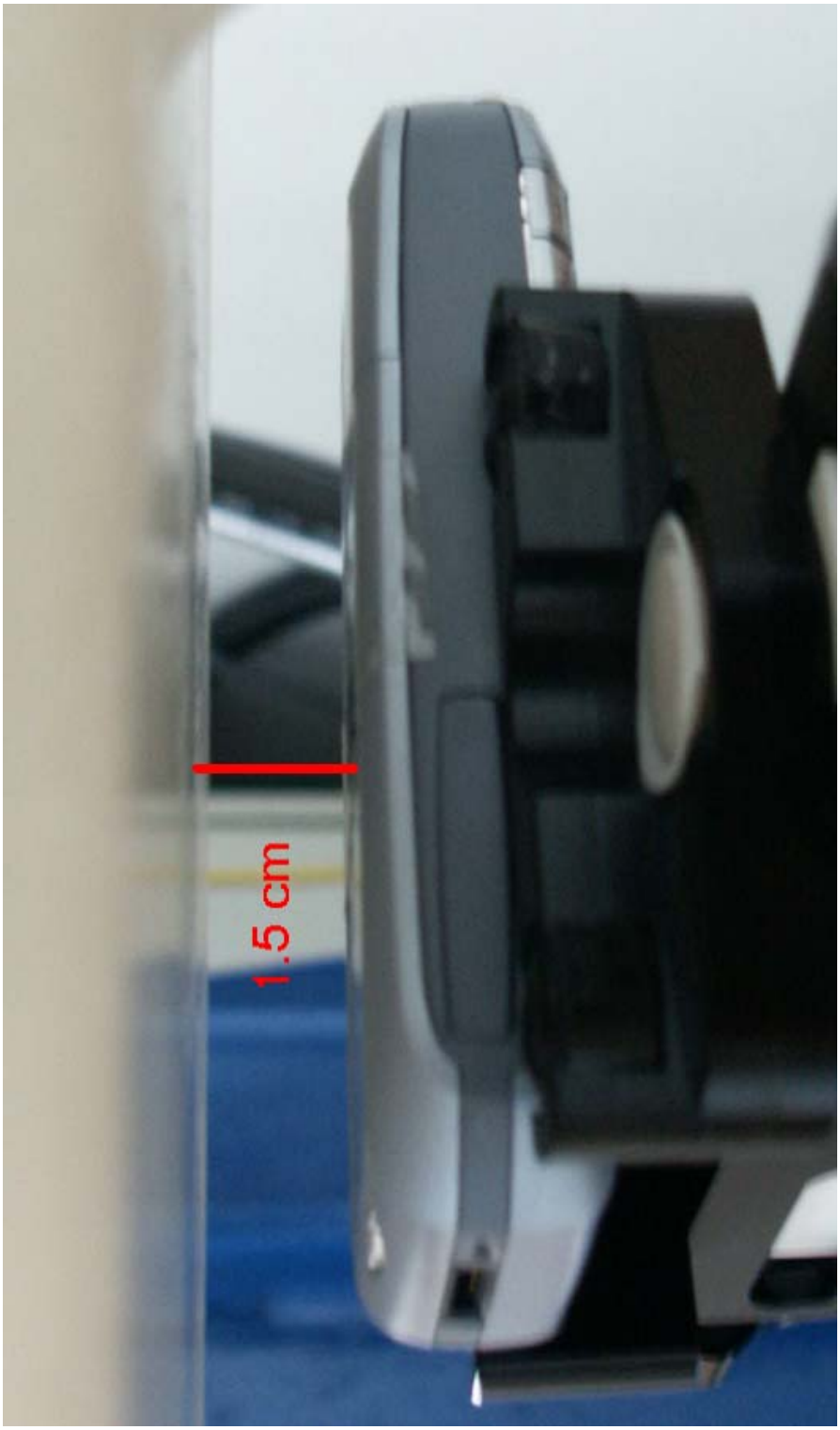

Fig. 24: Body worn configuration, GPRS mode (2 TX slots).

Fig. 25: Body worn configuration, GPRS mode (2 TX slots) with beltcase.

7.11 Pictures to demonstrate the required liquid depth

Picture 26 show the liquid depth in the used SAM phantoms.

Fig. 26: Liquid depth for PCS 1900 Head and Body measurements.

8 References

[DASY 1995] Referenzliste des Herstellers, der Fa. Schmid & Partner Engineering AG, über installierte DASY-Systeme mit RX90 Robotern: Deutsche Telekom, Forschungs- und Technologiezentrum; Motorola Cellular - MRO; Motorola; Ericsson Mobile Communications AB; Nokia Mobile Phones LTD; IMST GmbH, 1995.

[FCC 2001] Federal Communications Commission: Evaluating Compliance with FCC Guidelines for Human Exposure to Radiofrequency Electromagnetic Fields, Supplement C (Edition 01-01) to OET Bulletin 65 (Edition 97-01), FCC, 2001.

[IEEE 1999] IEEE Std C95.1-1999: IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz, Inst. of Electrical and Electronics Engineers, Inc., 1999.

[IEEE 1528] IEEE P1528/D1.2: Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques. April 21, 2003, Inst. of Electrical and Electronics Engineers.

[NIST 1994] NIST: Guidelines for Evaluating and Expressing the Uncertainty of NIST Measurement Results, Technical Note 1297 (TN1297), United States Department of Commerce Technology Administration, National Institute of Standards and Technology, 1994.

[DASY4] Schmid & Partner Engineering AG: DASY4 Manual. March 2003