Annex D

Appendix to Test Report No.: 1-9380/15-01-06

Testing Laboratory

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Accredited Test Laboratory:
The testing laboratory (area of testing) is accredited according to DIN EN ISO/IEC 17025 (2005) by the Deutsche Akkreditierungsstelle GmbH (DAkkS)
The accreditation is valid for the scope of testing procedures as stated in the accreditation certificate with the registration number: D-PL-12076-01-01

Appendix with Calibration data, Phantom certificate and system check information

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2 Calibration report "Probe ES3DV3"

Calibration Laboratory of
Schmid & Partner
Engineering AG
Zeughausstrasse 43, 8004 Zurich, Switzerland

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Accreditation No.: **SCS 108**

Client **Cetecom**

Certificate No: **ES3-3326_Aug14**

CALIBRATION CERTIFICATE

Object	ES3DV3 - SN:3326
Calibration procedure(s)	QA CAL-01.v9, QA CAL-23.v5, QA CAL-25.v6 Calibration procedure for dosimetric E-field probes
Calibration date:	August 18, 2014

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	03-Apr-14 (No. 217-01911)	Apr-15
Power sensor E4412A	MY41498087	03-Apr-14 (No. 217-01911)	Apr-15
Reference 3 dB Attenuator	SN: S5054 (3c)	03-Apr-14 (No. 217-01915)	Apr-15
Reference 20 dB Attenuator	SN: S5277 (20x)	03-Apr-14 (No. 217-01919)	Apr-15
Reference 30 dB Attenuator	SN: S5129 (30b)	03-Apr-14 (No. 217-01920)	Apr-15
Reference Probe ES3DV2	SN: 3013	30-Dec-13 (No. ES3-3013_Dec13)	Dec-14
DAE4	SN: 660	13-Dec-13 (No. DAE4-660_Dec13)	Dec-14
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-13)	In house check: Apr-16
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-13)	In house check: Oct-14

	Name	Function	Signature
Calibrated by:	Jeton Kastrati	Laboratory Technician	
Approved by:	Katja Pokovic	Technical Manager	

Issued: August 18, 2014

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: ES3-3326_Aug14 Page 1 of 11

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Accreditation No.: SCS 108

Glossary:

TSL	tissue simulating liquid
NORMx,y,z	sensitivity in free space
ConvF	sensitivity in TSL / NORMx,y,z
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A, B, C, D	modulation dependent linearization parameters
Polarization φ	φ rotation around probe axis
Polarization ϑ	ϑ rotation around an axis that is in the plane normal to probe axis (at measurement center), i.e., ϑ = 0 is normal to probe axis
Connector Angle	information used in DASY system to align probe sensor X to the robot coordinate system

Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

Methods Applied and Interpretation of Parameters:

- *NORMx,y,z:* Assessed for E-field polarization ϑ = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E^2-field uncertainty inside TSL (see below *ConvF*).
- *NORM(f)x,y,z = NORMx,y,z * frequency_response* (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of *ConvF*.
- *DCPx,y,z:* DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- *PAR:* PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- *Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D* are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. *VR* is the maximum calibration range expressed in RMS voltage across the diode.
- *ConvF and Boundary Effect Parameters:* Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to *NORMx,y,z * ConvF* whereby the uncertainty corresponds to that given for *ConvF*. A frequency dependent *ConvF* is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- *Spherical isotropy (3D deviation from isotropy)*: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- *Sensor Offset*: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- *Connector Angle*: The angle is assessed using the information gained by determining the *NORMx* (no uncertainty required).

Probe ES3DV3

SN:3326

Manufactured: January 10, 2012
Calibrated: August 18, 2014

Calibrated for DASY/EASY Systems
(Note: non-compatible with DASY2 system!)

ES3DV3– SN:3326

August 18, 2014

DASY/EASY - Parameters of Probe: ES3DV3 - SN:3326

Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm ($\mu V/(V/m)^2$)[A]	1.18	0.93	0.92	± 10.1 %
DCP (mV)[B]	102.0	103.0	97.0	

Modulation Calibration Parameters

UID	Communication System Name		A dB	B dB√µV	C	D dB	VR mV	Unc[E] (k=2)
0	CW	X	0.0	0.0	1.0	0.00	203.7	±3.8 %
		Y	0.0	0.0	1.0		208.6	
		Z	0.0	0.0	1.0		205.4	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

[A] The uncertainties of NormX,Y,Z do not affect the E^2-field uncertainty inside TSL (see Pages 5 and 6).
[B] Numerical linearization parameter: uncertainty not required.
[E] Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

ES3DV3– SN:3326 August 18, 2014

DASY/EASY - Parameters of Probe: ES3DV3 - SN:3326

Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) [C]	Relative Permittivity [F]	Conductivity (S/m) [F]	ConvF X	ConvF Y	ConvF Z	Alpha [G]	Depth [G] (mm)	Unct. (k=2)
750	41.9	0.89	6.54	6.54	6.54	0.56	1.34	± 12.0 %
835	41.5	0.90	6.32	6.32	6.32	0.34	1.84	± 12.0 %
900	41.5	0.97	6.20	6.20	6.20	0.49	1.46	± 12.0 %
1750	40.1	1.37	5.26	5.26	5.26	0.69	1.27	± 12.0 %
1900	40.0	1.40	5.10	5.10	5.10	0.80	1.24	± 12.0 %
2450	39.2	1.80	4.55	4.55	4.55	0.79	1.30	± 12.0 %

[C] Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

[F] At frequencies below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

[G] Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

ES3DV3– SN:3326

August 18, 2014

DASY/EASY - Parameters of Probe: ES3DV3 - SN:3326

Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) [C]	Relative Permittivity [F]	Conductivity (S/m) [F]	ConvF X	ConvF Y	ConvF Z	Alpha [G]	Depth [G] (mm)	Unct. (k=2)
750	55.5	0.96	6.22	6.22	6.22	0.80	1.16	± 12.0 %
835	55.2	0.97	6.26	6.26	6.26	0.40	1.45	± 12.0 %
900	55.0	1.05	6.06	6.06	6.06	0.80	1.13	± 12.0 %
1750	53.4	1.49	4.88	4.88	4.88	0.80	1.23	± 12.0 %
1900	53.3	1.52	4.66	4.66	4.66	0.47	1.66	± 12.0 %
2450	52.7	1.95	4.28	4.28	4.28	0.80	1.10	± 12.0 %

[C] Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

[F] At frequencies below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

[G] Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

3 Calibration report "1900 MHz System validation dipole"

Calibration Laboratory of
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Accreditation No.: **SCS 108**

Client **Cetecom**

Certificate No: **D1900V2-5d009_May13**

CALIBRATION CERTIFICATE

Object	D1900V2 - SN: 5d009
Calibration procedure(s)	QA CAL-05.v9 Calibration procedure for dipole validation kits above 700 MHz
Calibration date:	May 15, 2013

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter EPM-442A	GB37480704	01-Nov-12 (No. 217-01640)	Oct-13
Power sensor HP 8481A	US37292783	01-Nov-12 (No. 217-01640)	Oct-13
Reference 20 dB Attenuator	SN: 5058 (20k)	04-Apr-13 (No. 217-01736)	Apr-14
Type-N mismatch combination	SN: 5047.3 / 06327	04-Apr-13 (No. 217-01739)	Apr-14
Reference Probe ES3DV3	SN: 3205	28-Dec-12 (No. ES3-3205_Dec12)	Dec-13
DAE4	SN: 601	25-Apr-13 (No. DAE4-601_Apr13)	Apr-14

Secondary Standards	ID #	Check Date (in house)	Scheduled Check
Power sensor HP 8481A	MY41092317	18-Oct-02 (in house check Oct-11)	In house check: Oct-13
RF generator R&S SMT-06	100005	04-Aug-99 (in house check Oct-11)	In house check: Oct-13
Network Analyzer HP 8753E	US37390585 S4206	18-Oct-01 (in house check Oct-12)	In house check: Oct-13

	Name	Function	Signature
Calibrated by:	Jeton Kastrati	Laboratory Technician	
Approved by:	Katja Pokovic	Technical Manager	

Issued: May 15, 2013

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Accreditation No.: SCS 108

Glossary:

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM x,y,z
N/A	not applicable or not measured

Calibration is Performed According to the Following Standards:

a) IEEE Std 1528-2003, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", December 2003
b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
c) Federal Communications Commission Office of Engineering & Technology (FCC OET), "Evaluating Compliance with FCC Guidelines for Human Exposure to Radiofrequency Electromagnetic Fields; Additional Information for Evaluating Compliance of Mobile and Portable Devices with FCC Limits for Human Exposure to Radiofrequency Emissions", Supplement C (Edition 01-01) to Bulletin 65

Additional Documentation:

d) DASY4/5 System Handbook

Methods Applied and Interpretation of Parameters:

- *Measurement Conditions:* Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- *Antenna Parameters with TSL:* The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- *Feed Point Impedance and Return Loss:* These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- *Electrical Delay:* One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- *SAR measured:* SAR measured at the stated antenna input power.
- *SAR normalized:* SAR as measured, normalized to an input power of 1 W at the antenna connector.
- *SAR for nominal TSL parameters:* The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

Measurement Conditions

DASY system configuration, as far as not given on page 1.

DASY Version	DASY5	V52.8.6
Extrapolation	Advanced Extrapolation	
Phantom	Modular Flat Phantom	
Distance Dipole Center - TSL	10 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	1900 MHz ± 1 MHz	

Head TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	40.0	1.40 mho/m
Measured Head TSL parameters	(22.0 ± 0.2) °C	39.3 ± 6 %	1.35 mho/m ± 6 %
Head TSL temperature change during test	< 0.5 °C	----	----

SAR result with Head TSL

SAR averaged over 1 cm^3 (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	9.85 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	**40.1 W/kg ± 17.0 % (k=2)**

SAR averaged over 10 cm^3 (10 g) of Head TSL	condition	
SAR measured	250 mW input power	5.18 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	**21.0 W/kg ± 16.5 % (k=2)**

Body TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	53.3	1.52 mho/m
Measured Body TSL parameters	(22.0 ± 0.2) °C	53.6 ± 6 %	1.49 mho/m ± 6 %
Body TSL temperature change during test	< 0.5 °C	----	----

SAR result with Body TSL

SAR averaged over 1 cm^3 (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	10.1 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	**40.9 W/kg ± 17.0 % (k=2)**

SAR averaged over 10 cm^3 (10 g) of Body TSL	condition	
SAR measured	250 mW input power	5.38 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	**21.7 W/kg ± 16.5 % (k=2)**

Appendix

Antenna Parameters with Head TSL

Impedance, transformed to feed point	51.2 Ω + 2.1 jΩ
Return Loss	- 32.6 dB

Antenna Parameters with Body TSL

Impedance, transformed to feed point	46.8 Ω + 3.2 jΩ
Return Loss	- 26.5 dB

General Antenna Parameters and Design

Electrical Delay (one direction)	1.188 ns

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

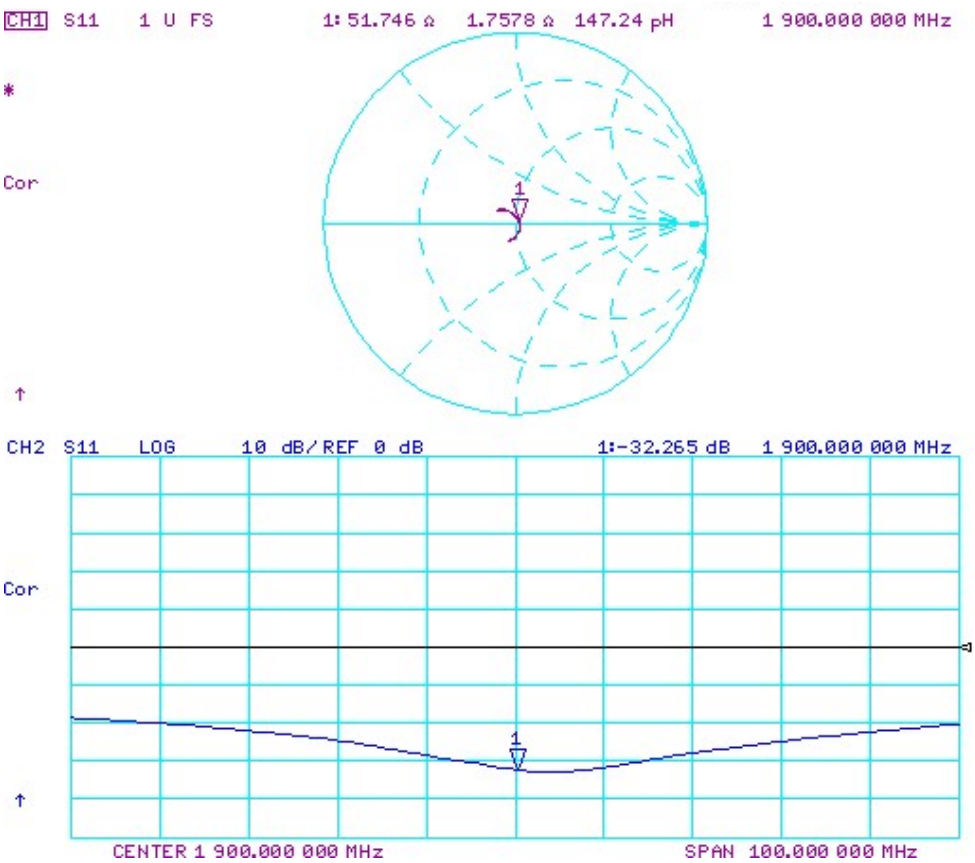
The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.
No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

Additional EUT Data

Manufactured by	SPEAG
Manufactured on	February 22, 2002

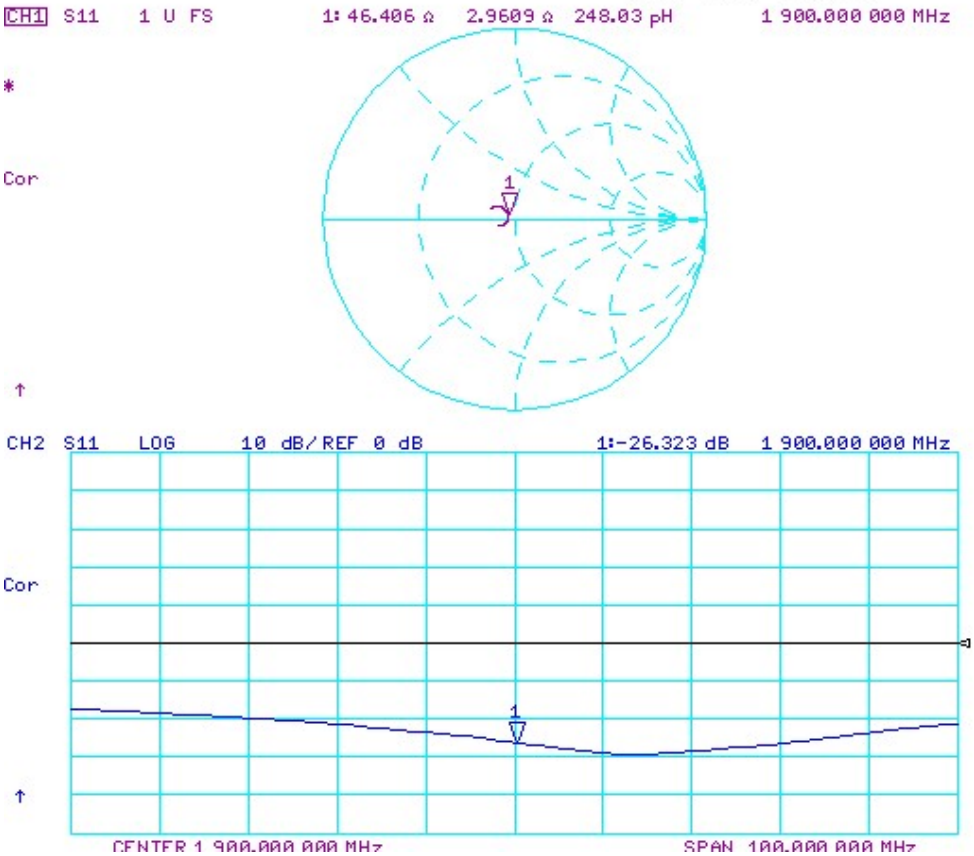
Antenna Parameters with Head TSL

	From cal. data	Measured 2014-08-28
Impedance; transformed to feed point	51.2Ω +2.1jΩ	51.8Ω +1.8jΩ
Return Loss	-32.6dB	-32.3dB



Antenna Parameters with Body TSL

	From cal. data	Measured 2014-08-28
Impedance; transformed to feed point	48.8Ω +3.2jΩ	46.4Ω +3.0jΩ
Return Loss	-26.5dB	-26.3dB



DASY5 Validation Report for Head TSL

Date: 15.05.2013

Test Laboratory: SPEAG, Zurich, Switzerland

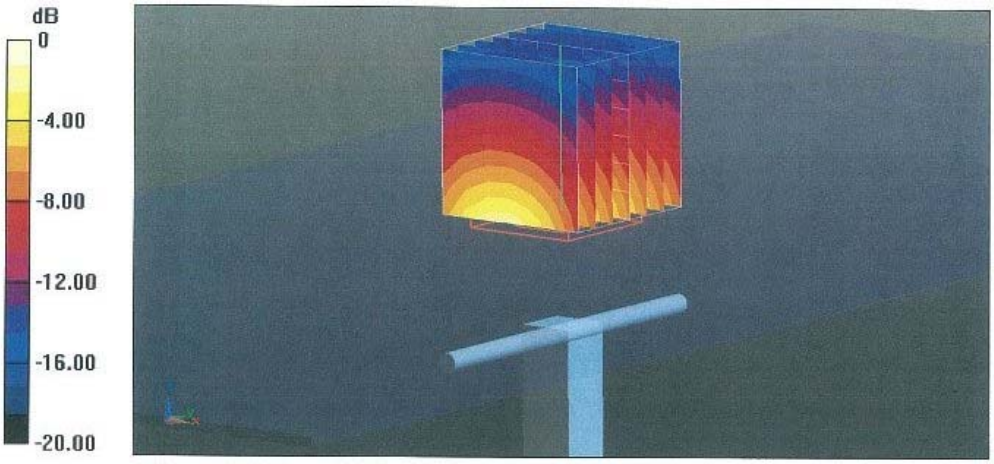
DUT: Dipole 1900 MHz; Type: D1900V2; Serial: D1900V2 - SN: 5d009

Communication System: UID 0 - CW ; Frequency: 1900 MHz
Medium parameters used: f = 1900 MHz; σ = 1.35 S/m; ε_r = 39.3; ρ = 1000 kg/m^3
Phantom section: Flat Section
Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

DASY52 Configuration:

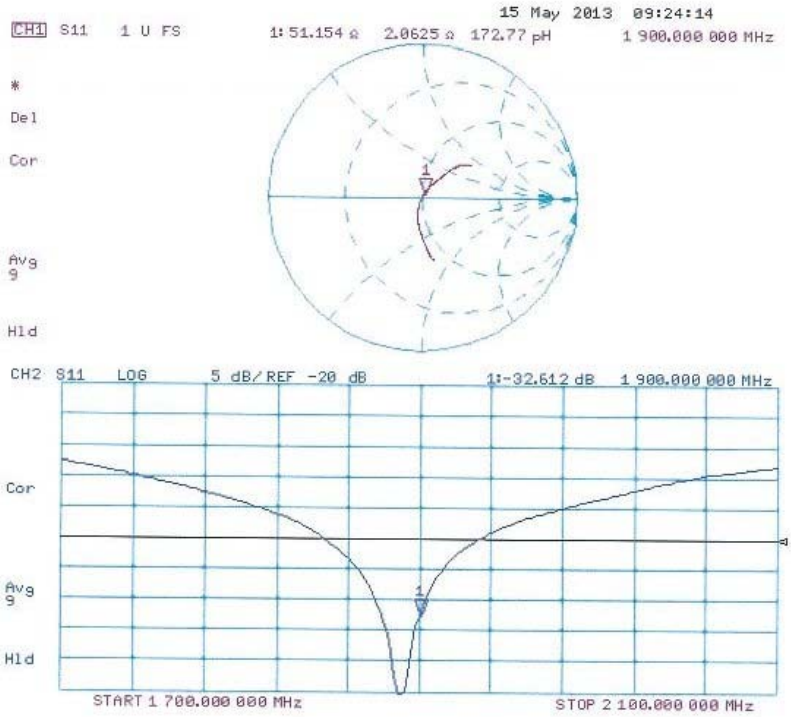
- Probe: ES3DV3 - SN3205; ConvF(4.98, 4.98, 4.98); Calibrated: 28.12.2012;
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 25.04.2013
- Phantom: Flat Phantom 5.0 (front); Type: QD000P50AA; Serial: 1001
- DASY52 52.8.6(1115); SEMCAD X 14.6.9(7117)

Dipole Calibration for Head Tissue/Pin=250 mW, d=10mm/Zoom Scan (7x7x7)/Cube 0:
Measurement grid: dx=5mm, dy=5mm, dz=5mm
Reference Value = 96.587 V/m; Power Drift = 0.06 dB
Peak SAR (extrapolated) = 17.9 W/kg
SAR(1 g) = 9.85 W/kg; SAR(10 g) = 5.18 W/kg
Maximum value of SAR (measured) = 12.2 W/kg



0 dB = 12.2 W/kg = 10.86 dBW/kg

Impedance Measurement Plot for Head TSL



DASY5 Validation Report for Body TSL

Date: 15.05.2013

Test Laboratory: SPEAG, Zurich, Switzerland

DUT: Dipole 1900 MHz; Type: D1900V2; Serial: D1900V2 - SN: 5d009

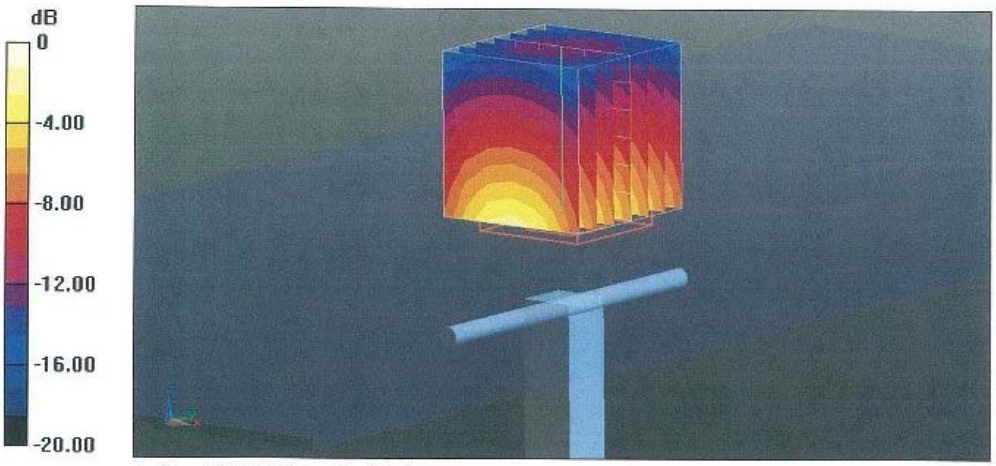
Communication System: UID 0 - CW ; Frequency: 1900 MHz
Medium parameters used: f = 1900 MHz; σ = 1.49 S/m; ε_r = 53.6; ρ = 1000 kg/m^3
Phantom section: Flat Section
Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

DASY52 Configuration:

- Probe: ES3DV3 - SN3205; ConvF(4.6, 4.6, 4.6); Calibrated: 28.12.2012;
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 25.04.2013
- Phantom: Flat Phantom 5.0 (back); Type: QD000P50AA; Serial: 1002
- DASY52 52.8.6(1115); SEMCAD X 14.6.9(7117)

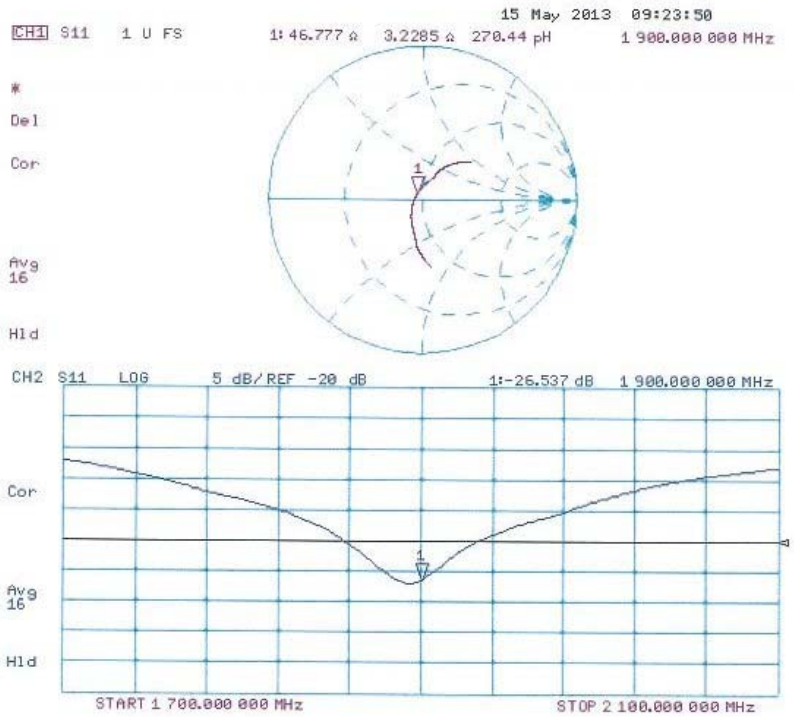
Dipole Calibration for Body Tissue/Pin=250 mW, d=10mm/Zoom Scan (7x7x7)/Cube 0:

Measurement grid: dx=5mm, dy=5mm, dz=5mm
Reference Value = 96.587 V/m; Power Drift = 0.00 dB
Peak SAR (extrapolated) = 17.2 W/kg
SAR(1 g) = 10.1 W/kg; SAR(10 g) = 5.38 W/kg
Maximum value of SAR (measured) = 12.7 W/kg



0 dB = 12.7 W/kg = 11.04 dBW/kg

Impedance Measurement Plot for Body TSL



4 Calibration certificate of Data Acquisition Unit (DAE)

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Accreditation No.: SCS 108

Client Cetecom

Certificate No: DAE4-1387_Aug14

CALIBRATION CERTIFICATE

Object	DAE4 - SD 000 D04 BM - SN: 1387
Calibration procedure(s)	QA CAL-06.v26 Calibration procedure for the data acquisition electronics (DAE)
Calibration date:	August 12, 2014

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Keithley Multimeter Type 2001	SN: 0810278	01-Oct-13 (No:13976)	Oct-14
Secondary Standards	**ID #**	**Check Date (in house)**	**Scheduled Check**
Auto DAE Calibration Unit	SE UWS 053 AA 1001	07-Jan-14 (in house check)	In house check: Jan-15
Calibrator Box V2.1	SE UMS 006 AA 1002	07-Jan-14 (in house check)	In house check: Jan-15

	Name	Function	Signature
Calibrated by:	Eric Hainfeld	Technician	
Approved by:	Fin Bomholt	Deputy Technical Manager	i.V.

Issued: August 12, 2014

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

5 Certificate of "SAM Twin Phantom V4.0/V4.0C"

Schmid & Partner Engineering AG

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Certificate of conformity / First Article Inspection

Item	SAM Twin Phantom V4.0
Type No	QD 000 P40 BA
Series No	TP-1002 and higher
Manufacturer / Origin	Untersee Composites Hauptstr. 69 CH-8559 Fruthwilen Switzerland

Tests

The series production process used allows the limitation to test of first articles.
Complete tests were made on the pre-series Type No. QD 000 P40 AA, Serial No. TP-1001 and on the series first article Type No. QD 000 P40 BA, Serial No. TP-1006. Certain parameters have been retested using further series units (called samples).

Test	Requirement	Details	Units tested
Shape	Compliance with the geometry according to the CAD model.	IT'IS CAD File (*)	First article, Samples
Material thickness	Compliant with the requirements according to the standards	2mm +/- 0.2mm in specific areas	First article, Samples
Material parameters	Dielectric parameters for required frequencies	200 MHz – 3 GHz Relative permittivity < 5 Loss tangent < 0.05.	Material sample TP 104-5
Material resistivity	The material has been tested to be compatible with the liquids defined in the standards	Liquid type HSL 1800 and others according to the standard.	Pre-series, First article

Standards

[1] CENELEC EN 50361
[2] IEEE P1528-200x draft 6.5
[3] IEC PT 62209 draft 0.9
(*) The IT'IS CAD file is derived from [2] and is also within the tolerance requirements of the shapes of [1] and [3].

Conformity

Based on the sample tests above, we certify that this item is in compliance with the uncertainty requirements of SAR measurements specified in standard [1] and draft standards [2] and [3].

Date 18.11.2001

Signature / Stamp

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6 Application Note System Performance Check

6.1 Purpose of system performance check

The system performance check verifies that the system operates within its specifications. System and operator errors can be detected and corrected. It is recommended that the system performance check is performed prior to any usage of the system in order to guarantee reproducible results.
The measurement of the Specific Absorption Rate (SAR) is a complicated task and the result depends on the proper functioning of many components and the correct settings of many parameters. Faulty results due to drift, failures or incorrect parameters might not be recognized, since they often look similar in distribution to the correct ones. The Dosimetric Assessment System DASY5 incorporates a system performance check procedure to test the proper functioning of the system. The system performance check uses normal SAR measurements in a simplified setup (the flat section of the SAM Twin Phantom) with a well characterized source (a matched dipole at a specified distance). This setup was selected to give a high sensitivity to all parameters that might fail or vary over time (e.g., probe, liquid parameters, and software settings) and a low sensitivity to external effects inherent in the system (e.g., positioning uncertainty of the device holder). The system performance check does not replace the calibration of the components. The accuracy of the system performance check is not sufficient for calibration purposes. It is possible to calculate the field quite accurately in this simple setup; however, due to the open field situation some factors (e.g., laboratory reflections) cannot be accounted for. Calibrations in the flat phantom are possible with transfer calibration methods, using either temperature probes or calibrated E-field probes. The system performance check also does not test the system performance for arbitrary field situations encountered during real measurements of mobile phones. These checks are performed at SPEAG by testing the components under various conditions (e.g., spherical isotropy measurements in liquid, linearity measurements, temperature variations, etc.), the results of which are used for an error estimation of the system. The system performance check will indicate situations where the system uncertainty is exceeded due to drift or failure.

6.2 System Performance check procedure

Preparation

The conductivity should be measured before the validation and the measured liquid parameters must be entered in the software. If the measured values differ from targeted values in the dipole document, the liquid composition should be adjusted. If the validation is performed with slightly different (measured) liquid parameters, the expected SAR will also be different. See the application note about SAR sensitivities for an estimate of possible SAR deviations. Note that the liquid parameters are temperature dependent with approximately – 0.5% decrease in permittivity and + 1% increase in conductivity for a temperature decrease of 1° C. The dipole must be placed beneath the flat phantom section of the Generic Twin Phantom with the correct distance holder in place. The distance holder should touch the phantom surface with a light pressure at the reference marking (little hole) and be oriented parallel to the long side of the phantom. Accurate positioning is not necessary, since the system will search for the peak SAR location, except that the dipole arms should be parallel to the surface. The device holder for mobile phones can be left in place but should be rotated away from the dipole. The forward power into the dipole at the dipole SMA connector should be determined as accurately as possible. The actual dipole input power level can be between 20mW and several watts. The result can later be normalized to any power level. It is strongly recommended to note the actually used power level in the „comment“-window of the measurement file; otherwise you loose this crucial information for later reference.

System Performance Check

The DASY5 installation includes predefined files with recommended procedures for measurements and validation. They are read-only document files and destined as fully defined but unmeasured masks, so you must save the finished validation under a different name. The validation document requires the Generic Twin Phantom, so this phantom must be properly installed in your system. (You can create your own measurement procedures by opening a new document or editing an existing document file). Before you start the validation, you just have to tell the system with which components (probe, medium, and device) you are performing the validation; the system will take care of all parameters. After the validation, which will take about 20 minutes, the results of each task are displayed in the document window. Selecting all measured tasks and opening the predefined "validation" graphic format displays all necessary information for validation. A description of the different measurement tasks in the predefined document is given below, together with the information that can be deduced from their results:

- The „reference" and „drift" measurements are located at the beginning and end of the batch process. They measure the field drift at one single point in the liquid over the complete procedure. The indicated drift is mainly the variation of the amplifier output power. If it is too high (above ± 0.1dB) the validation should be repeated; some amplifiers have very high drift during warm-up. A stable amplifier gives drift results in the DASY5 system below ± 0.02 dB.
- The „area scan" measures the SAR above the dipole on a parallel plane to the surface. It is used to locate the approximate location of the peak SAR with 2D spline interpolation. The proposed scan uses large grid spacing for faster measurement; due to the symmetric field the peak detection is reliable. If a finer graphic is desired, the grid spacing can be reduced. Grid spacing and orientation have no influence on the SAR result.
- The zoom scan job measures the field in a volume around the peak SAR value assessed in the previous „area" scan (for more information see the application note on SAR evaluation).

If the validation measurements give reasonable results, the peak 1g and 10g spatial SAR values averaged between the two cubes and normalized to 1W dipole input power give the reference data for comparisons. The next section analyzes the expected uncertainties of these values. Section 6 describes some additional checks for further information or troubleshooting.

6.3 Uncertainty Budget

Please note that in the following Tables, the tolerance of the following uncertainty components depends on the actual equipment and setup at the user location and need to be either assessed or verified on-site by the end user of the DASY5 system:

- RF ambient conditions
- Dipole Axis to Liquid Distance
- Input power and SAR drift measurement
- Liquid permittivity - measurement uncertainty
- Liquid conductivity - measurement uncertainty

Note: All errors are given in percent of SAR, so 0.1 dB corresponds to 2.3%. The field error would be half of that. The liquid parameter assessment give the targeted values from the dipole document. All errors are given in percent of SAR, so 0.1dB corresponds to 2.3%. The field error would be half of that.

System validation

In the table below, the system validation uncertainty with respect to the analytically assessed SAR value of a dipole source as given in the IEEE1528 standard is given. This uncertainty is smaller than the expected uncertainty for mobile phone measurements due to the simplified setup and the symmetric field distribution.

Uncertainty Budget for System Validation for the 0.3 - 6 GHz range									
Source of uncertainty	Uncertainty Value	Probability Distribution	Divisor	c_i (1g)	c_i (10g)	Standard Uncertainty ± %, (1g)	Standard Uncertainty ± %, (10g)	v_i^2 or v_{eff}
Measurement System								
Probe calibration	± 6.6 %	Normal	1	1	1	± 6.6 %	± 6.6 %	∞
Axial isotropy	± 4.7 %	Rectangular	√ 3	1	1	± 2.7 %	± 2.7 %	∞
Hemispherical isotropy	± 9.6 %	Rectangular	√ 3	0	0	± 0.0 %	± 0.0 %	∞
Boundary effects	± 1.0 %	Rectangular	√ 3	1	1	± 0.6 %	± 0.6 %	∞
Probe linearity	± 4.7 %	Rectangular	√ 3	1	1	± 2.7 %	± 2.7 %	∞
System detection limits	± 1.0 %	Rectangular	√ 3	1	1	± 0.6 %	± 0.6 %	∞
Readout electronics	± 0.3 %	Normal	1	1	1	± 0.3 %	± 0.3 %	∞
Response time	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Integration time	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
RF ambient conditions	± 1.0 %	Rectangular	√ 3	1	1	± 0.6 %	± 0.6 %	∞
Probe positioner	± 0.8 %	Rectangular	√ 3	1	1	± 0.5 %	± 0.5 %	∞
Probe positioning	± 6.7 %	Rectangular	√ 3	1	1	± 3.9 %	± 3.9 %	∞
Max. SAR evaluation	± 2.0 %	Rectangular	√ 3	1	1	± 1.2 %	± 1.2 %	∞
Dipole Related								
Dev. of exp. dipole	± 5.5 %	Rectangular	√ 3	1	1	± 3.2 %	± 3.2 %	∞
Dipole Axis to Liquid Dist.	± 2.0 %	Rectangular	√ 3	1	1	± 1.2 %	± 1.2 %	∞
Input power & SAR drift	± 3.4 %	Rectangular	√ 3	1	1	± 2.0 %	± 2.0 %	∞
Phantom and Set-up								
Phantom uncertainty	± 4.0 %	Rectangular	√ 3	1	1	± 2.3 %	± 2.3 %	∞
SAR correction	± 1.9 %	Rectangular	√ 3	1	0.84	± 1.1 %	± 0.9 %	∞
Liquid conductivity (meas.)	± 5.0 %	Normal	1	0.78	0.71	± 3.9 %	± 3.6 %	∞
Liquid permittivity (meas.)	± 5.0 %	Normal	1	0.26	0.26	± 1.3 %	± 1.3 %	∞
Temp. unc. - Conductivity	± 1.7 %	Rectangular	√ 3	0.78	0.71	± 0.8 %	± 0.7 %	∞
Temp. unc. - Permittivity	± 0.3 %	Rectangular	√ 3	0.23	0.26	± 0.0 %	± 0.0 %	∞
Combined Uncertainty						± 10.7 %	± 10.6 %	330
Expanded Std. Uncertainty						± **21.4** %	± **21.1** %	

Table 1: Measurement uncertainties of the System Validation with DASY5 (0.3-6GHz). The RF ambient noise uncertainty has been reduced to ±1.0, considering input power levels are ≥ 250mW.

Performance check repeatability

The repeatability check of the validation is insensitive to external effects and gives an indication of the variations in the DASY5 measurement system, provided that the same power reading setup is used for all validations. The repeatability estimates for frequencies below ad above 3GHz are given in the following tables:

Repeatability Budget for System Check for the 0.3 - 3 GHz range								
Source of uncertainty	Uncertainty Value	Probability Distribution	Divisor	c_i (1g)	c_i (10g)	Standard Uncertainty ± %, (1g)	Standard Uncertainty ± %, (10g)	v_i^2 or v_{eff}
Measurement System								
Repeatability of probe cal.	± 1.8 %	Normal	1	1	1	± 1.8 %	± 1.8 %	∞
Axial isotropy	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Hemispherical isotropy	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Boundary effects	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Probe linearity	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
System detection limits	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Modulation response	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Readout electronics	± 0.0 %	Normal	1	1	1	± 0.0 %	± 0.0 %	∞
Response time	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Integration time	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
RF ambient noise	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
RF ambient positioning	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Probe positioner	± 0.4 %	Rectangular	√ 3	1	1	± 0.2 %	± 0.2 %	∞
Probe positioning	± 2.9 %	Rectangular	√ 3	1	1	± 1.7 %	± 1.7 %	∞
Max. SAR evaluation	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Dipole Related								
Dev. of experimental dipole	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Dipole axis to liquid dist.	± 2.0 %	Rectangular	√ 3	1	1	± 1.2 %	± 1.2 %	∞
Input power & SAR drift	± 3.4 %	Rectangular	√ 3	1	1	± 2.0 %	± 2.0 %	∞
Phantom and Set-up								
Phantom uncertainty	± 4.0 %	Rectangular	√ 3	1	1	± 2.3 %	± 2.3 %	∞
SAR correction	± 1.9 %	Rectangular	√ 3	1	0.84	± 1.1 %	± 0.9 %	∞
Liquid conductivity (meas.)	± 5.0 %	Normal	1	0.78	0.71	± 3.9 %	± 3.6 %	∞
Liquid permittivity (meas.)	± 5.0 %	Normal	1	0.26	0.26	± 1.3 %	± 1.3 %	∞
Temp. unc. - Conductivity	± 1.7 %	Rectangular	√ 3	0.78	0.71	± 0.8 %	± 0.7 %	∞
Temp. unc. - Permittivity	± 0.3 %	Rectangular	√ 3	0.23	0.26	± 0.0 %	± 0.0 %	∞
Combined Uncertainty						± 5.9 %	± 5.7 %	
Expanded Std. Uncertainty						± **11.9** %	± **11.4** %	

Table 2: Repeatability of the System Check with DASY5 (0.3-3GHz)

Repeatability Budget for System Check for the 3 - 6 GHz range									
Source of uncertainty	Uncertainty Value	Probability Distribution	Divisor	c_i (1g)	c_i (10g)	Standard Uncertainty ± %, (1g)	Standard Uncertainty ± %, (10g)	v_i^2 or v_{eff}
Measurement System								
Repeatability of probe cal.	± 1.8 %	Normal	1	1	1	± 1.8 %	± 1.8 %	∞
Axial isotropy	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Hemispherical isotropy	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Boundary effects	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Probe linearity	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
System detection limits	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Modulation response	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Readout electronics	± 0.0 %	Normal	1	1	1	± 0.0 %	± 0.0 %	∞
Response time	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Integration time	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
RF ambient noise	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
RF ambient positioning	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Probe positioner	± 0.8 %	Rectangular	√ 3	1	1	± 0.5 %	± 0.5 %	∞
Probe positioning	± 6.7 %	Rectangular	√ 3	1	1	± 3.9 %	± 3.9 %	∞
Max. SAR evaluation	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Dipole Related								
Dev. of experimental dipole	± 0.0 %	Rectangular	√ 3	1	1	± 0.0 %	± 0.0 %	∞
Dipole axis to liquid dist.	± 2.0 %	Rectangular	√ 3	1	1	± 1.2 %	± 1.2 %	∞
Input power & SAR drift	± 3.4 %	Rectangular	√ 3	1	1	± 2.0 %	± 2.0 %	∞
Phantom and Set-up								
Phantom uncertainty	± 4.0 %	Rectangular	√ 3	1	1	± 2.3 %	± 2.3 %	∞
SAR correction	± 1.9 %	Rectangular	√ 3	1	0.84	± 1.1 %	± 0.9 %	∞
Liquid conductivity (meas.)	± 5.0 %	Normal	1	0.78	0.71	± 3.9 %	± 3.6 %	∞
Liquid permittivity (meas.)	± 5.0 %	Normal	1	0.26	0.26	± 1.3 %	± 1.3 %	∞
Temp. unc. - Conductivity	± 1.7 %	Rectangular	√ 3	0.78	0.71	± 0.8 %	± 0.7 %	∞
Temp. unc. - Permittivity	± 0.3 %	Rectangular	√ 3	0.23	0.26	± 0.0 %	± 0.0 %	∞
Combined Uncertainty						± 6.9 %	± 6.7 %	
Expanded Std. Uncertainty						± **13.8** %	± **13.4** %	

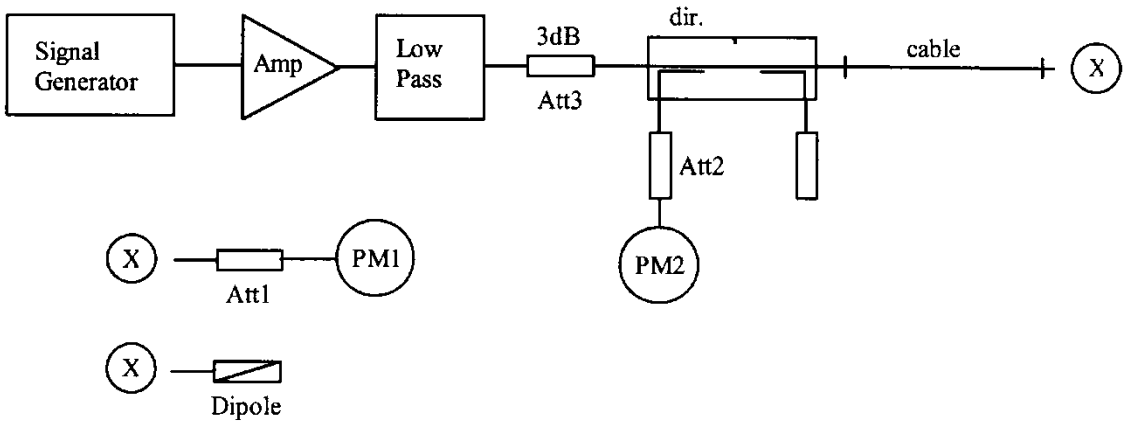
Table 3: Repeatability of the System Check with DASY5 (3-6GHz)

Note: Worst case probe calibration uncertainty has been applied for all probes used during the measurements.

The expected repeatability deviation is low. Excessive drift (e.g., drift in liquid parameters), partial system failures or incorrect parameter settings (e.g., wrong probe or device settings) will lead to unexpectedly high repeatability deviations. The repeatability gives an indication that the system operates within its initial specifications. Excessive drift, system failure and operator errors are easily detected.

6.4 Power set-up for validation

The uncertainty of the dipole input power is a significant contribution to the absolute uncertainty and the expected deviation in interlaboratory comparisons. The values in Section 2 for a typical and a sophisticated setup are just average values. Refer to the manual of the power meter and the detector head for the evaluation of the uncertainty in your system. The uncertainty also depends on the source matching and the general setup. Below follows the description of a recommended setup and procedures to increase the accuracy of the power reading:



The figure shows the recommended setup. The PM1 (incl. Att1) measures the forward power at the location of the validation dipole connector. The signal generator is adjusted for the desired forward power at the dipole connector and the power meter PM2 is read at that level. After connecting the cable to the dipole, the signal generator is readjusted for the same reading at power meter PM2. If the signal generator does not allow a setting in 0.01dB steps, the remaining difference at PM2 must be noted and considered in the normalization of the validation results. The requirements for the components are:

- The signal generator and amplifier should be stable (after warm-up). The forward power to the dipole should be above 10mW to avoid the influence of measurement noise. If the signal generator can deliver 15dBm or more, an amplifier is not necessary. Some high power amplifiers should not be operated at a level far below their maximum output power level (e.g. a 100W power amplifier operated at 250mW output can be quite noisy). An attenuator between the signal generator and amplifier is recommended to protect the amplifier input.
- The low pass filter after the amplifier reduces the effect of harmonics and noise from the amplifier. For most amplifiers in normal operation the filter is not necessary.
- The attenuator after the amplifier improves the source matching and the accuracy of the power head. (See power meter manual.) It can also be used also to make the amplifier operate at its optimal output level for noise and stability. In a setup without directional coupler, this attenuator should be at least 10dB.
- The directional coupler (recommended ³ 20dB) is used to monitor the forward power and adjust the signal generator output for constant forward power. A medium quality coupler is sufficient because the loads (dipole and power head) are well matched. (If the setup is used for reflective loads, a high quality coupler with respect to directivity and output matching is necessary to avoid additional errors.)
- The power meter PM2 should have a low drift and a resolution of 0.01dBm, but otherwise its accuracy has no impact on the power setting. Calibration is not required.
- The cable between the coupler and dipole must be of high quality, without large attenuation and phase changes when it is moved. Otherwise, the power meter head PM1 should be brought to the location of the dipole for measuring.
- The power meter PM1 and attenuator Att1 must be high quality components. They should be calibrated, preferably together. The attenuator (³10dB) improves the accuracy of the power reading. (Some higher power heads come with a built-in calibrated attenuator.) The exact attenuation of the attenuator at the frequency used must be known; many attenuators are up to 0.2dB off from the specified value.
- Use the same power level for the power setup with power meter PM1 as for the actual measurement to avoid linearity and range switching errors in the power meter PM2. If the validation is performed at various power levels, do the power setting procedure at each level.

- The dipole must be connected directly to the cable at location “X”. If the power meter has a different connector system, use high quality couplers. Preferably, use the couplers at the attenuator Att1 and calibrate the attenuator with the coupler.
- Always remember: We are measuring power, so 1% is equivalent to 0.04dB.

6.5 Laboratory reflection

In near-field situations, the absorption is predominantly caused by induction effects from the magnetic near-field. The absorption from reflected fields in the laboratory is negligible. On the other hand, the magnetic field around the dipole depends on the currents and therefore on the feed point impedance. The feed point impedance of the dipole is mainly determined from the proximity of the absorbing phantom, but reflections in the laboratory can change the impedance slightly. A 1% increase in the real part of the feed point impedance will produce approximately a 1% decrease in the SAR for the same forward power. The possible influence of laboratory reflections should be investigated during installation. The validation setup is suitable for this check, since the validation is sensitive to laboratory reflections. The same tests can be performed with a mobile phone, but most phones are less sensitive to reflections due to the shorter distance to the phantom. The fastest way to check for reflection effects is to position the probe in the phantom above the feed point and start a continuous field measurement in the DASY5 multi-meter window. Placing absorbers in front of possible reflectors (e.g. on the ground near the dipole or in front of a metallic robot socket) will reveal their influence immediately. A 10dB absorber (e.g. ferrite tiles or flat absorber mats) is probably sufficient, as the influence of the reflections is small anyway. If you place the absorber too near the dipole, the absorber itself will interact with the reactive near-field. Instead of measuring the SAR, it is also possible to monitor the dipole impedance with a network analyzer for reflection effects. The network analyzer must be calibrated at the SMA connector and the electrical delay (two times the forward delay in the dipole document) must be set in the NWA for comparisons with the reflection data in the dipole document. If the absorber has a significant influence on the results, the absorber should be left in place for validation or measurements. The reference data in the dipole document are produced in a low reflection environment.

6.6 Additional system checks

While the validation gives a good check of the DASY5 system components, it does not include all parameters necessary for real phone measurements (e.g. device modulation or device positioning). For system validation (repeatability) or comparisons between laboratories a reference device can be useful. This can be any mobile phone with a stable output power (preferably a device whose output power can be set through the keyboard). For comparisons, the same device should be sent around, since the SAR variations between samples can be large. Several measurement possibilities in the DASY5 software allow additional tests of the performance of the DASY5 system and components. These tests can be useful to localize component failures:

- The validation can be performed at different power levels to check the noise level or the correct compensation of the diode compression in the probe.
- If a pulsed signal with high peak power levels is fed to the dipole, the performance of the diode compression compensation can be tested. The correct crest factor parameter in the DASY5 software must be set (see manual). The system should give the same SAR output for the same averaged input power.
- The probe isotropy can be checked with a 1D-probe rotation scan above the feed point. The automatic probe alignment procedure must be passed through for accurate probe rotation movements (optional DASY5 feature with a robot-mounted light beam unit). Otherwise the probe tip might move on a small circle during rotation, producing some additional isotropy errors in gradient fields.